

## London Borough of Waltham Forest SCHOOLS FORUM

Day/Date/Time	Venue
Wednesday 16 September 2015 Tea/Coffee and Light Refreshments: 5:00pm Main Meeting: 5:30pm	Room 3, 1 <sup>st</sup> Floor, Waltham Forest Town Hall
Contact:	Telephone / Email:
<b>Debbie Callender-O'Neill,</b> Clerk to Schools Forum	<b>020 8496 3669</b> <a href="mailto:debbie.callender-oneill@walthamforest.gov.uk">debbie.callender-oneill@walthamforest.gov.uk</a>

<b>Maintained Primary Headteacher Representatives (4)</b>	
Jane Harris	Edinburgh Primary
Kate Jennings	Mission Grove
Lindsey Lampard	Chingford CofE Primary
Maureen Okoye ( <b>Vice-Chair</b> )	Davies Lane Primary
<b>Nursery School Representative (1)</b>	
Sandra Campbell	Church Hill Nursery
<b>Maintained Primary Governor Representatives (3)</b>	
Greta Akpeneye	Thorpe Hall Primary
Cllr Aktar Beg	Edinburgh Primary
Thomas Goodall	Edinburgh Primary
<b>Maintained Secondary Headteacher Representatives (3)</b>	
John Hernandez	Norlington School for Boys
Lynnette Parvez	Kelmscott School
Shona Ramsay ( <b>Chair</b> )	The Lammas School
<b>Maintained Secondary Governor Representative (1)</b>	
Ian Moyes	Heathcote School
<b>Special School and Special Academies Representative (1)</b>	
Gary Pocock	Hornbeam Academy
<b>Primary Academies and Primary Free Schools Representatives (3)</b>	
Matt Hanks	Roger Ascham Primary Academy
Lynn Harrowell	Larkswood
Anne Powell	Riverley Primary
<b>Secondary Academies and Secondary Free Schools Representatives (2)</b>	
Mark Morrall	Rushcroft / Chingford Foundation
Jon Ashwell	Highams Park School
<b>PRU(1)</b>	
Julian Lee	Hawkswood Group
<b>Non School Members (4)</b>	
<b>Early Years Providers</b>	Sarah Kendrick (Redwood Pre-School)
<b>16-19 Providers</b>	Paolo Ramello (Sir George Monoux College)
<b>Trade Unions</b>	Steve White (NUT)
<b>Diocesan</b>	Moira Bishop (Brentwood Diocese)
<b>Total Membership 23</b>	
<b>The Forum is quorate if at least 40% (9) of the members are present</b>	

## AGENDA

Agenda Item	Report Name	Report Authors
1	Welcome all and Apologies	Chair
2	Minutes of the meeting held on 10 June 2015	Chair
2.1	Matters Arising	Chair
3	Dedicated Schools Grant Outturn 2014-15 and Control Total for 2015-16	Rishi Peetamsingh Shehwar Sultan
4	Schools Capital Programme	Brendan Wells
5	Growth Fund Considerations for 2016-17	Rishi Peetamsingh Duncan Pike
6	Report of the High Needs Task and Finish Group	Andrew Beckett Shehwar Sultan
7	Report of the Schools Block Task & Finish Group	Duncan Pike Rishi Peetamsingh
8	Trade Union Facility Time Funding	Gerry Kemble
9	Feedback from the Schools Forum Self-Assessment Survey	Florence Fadahunsi Debbie Callender-O'Neill
10	Early Closure of Schools Accounts 2015-16	Rishi Peetamsingh Linda Burton
11	<b>Date of Next Meeting:</b> 11 November 2015 5:30pm (Light refreshments from 5:00pm) Committee Room 3, Waltham Forest Town Hall	All

**MINUTES OF SCHOOLS FORUM MEETING**  
**Wednesday 10 June 2015**  
**Committee Room 2, Waltham Forest Town Hall**  
**5:30 – 7:00pm**

<b>PRESENT</b>	
Shona Ramsay	Chair of Schools Forum and Secondary Headteacher Representative
Debbie Callender-O'Neill	Clerk to Schools Forum <a href="mailto:debbie.callender-oneill@walthamforest.gov.uk">debbie.callender-oneill@walthamforest.gov.uk</a> <b>020 8496 3669</b>
<b>Maintained Primary Headteacher Representatives (4)</b>	
Jane Harris	Edinburgh Primary
Kate Jennings	Mission Grove Primary
Lindsey Lampard	Chingford CofE Primary
Maureen Okoye (Vice-Chair)	Davies Lane Primary School
<b>Nursery School Representative (1)</b>	
Sandra Campbell	Church Hill Nursery School and Children's Centre
<b>Maintained Primary Governor Representatives (3)</b>	
Thomas Goodall	Edinburgh Primary
<b>Maintained Secondary Headteacher Representatives (3)</b>	
John Hernandez	Norlington School for Boys
<b>Maintained Secondary Governor Representative (1)</b>	
Ian Moyes	Heathcote School
<b>Special School and Special Academies Representative (1)</b>	
Gary Pocock	Hornbeam Academy
<b>Primary Academies and Primary Free School Representatives (3)</b>	
Anne Powell	Riverley Primary
<b>Secondary Academies and Secondary Free School Representatives (2)</b>	
Mark Morrall	Rushcroft / Chingford Foundation
Jon Ashwell	Highams Park School

<b>Non-School Representatives (4)</b>	
Moira Bishop	Diocesan (Brentwood Diocese)
Sarah Kendrick	Early Years Providers (Redwood Pre School)
Steve White	Trade Unions (NUT)
<b>LBWF Officers</b>	
Linzi Roberts-Egan	Deputy Chief Executive, Families Directorate
Rosalind Turner	Interim Director of School Standards
Andrew Beckett	Head of Service and Assistant Director – SEN Team
Gerry Kemble	Head of Schools HR Service
Eve McLoughlin	Head of Education Support – Agenda Item 5
Rishi Peetamsingh	Group Accountant – Schools
Duncan Pike	Strategic Finance Advisor – Families
Shehwar Sultan	Principal Accountant – Schools & High Needs
<b>Report Author</b>	
Wendy Kow-Loomes	Support Functions Unit Manager – Revenues and Benefits – Agenda Item 4
Nick Cornell	Support Functions – Revenues and Benefits

## Minutes

### 1. Welcome all and Apologies

The Chair welcomed all to the meeting.

Clerk received the following apologies: Greta Akpeneye (Primary Governor, Thorpe Hall Primary); Cllr Mark Rusling (Portfolio Lead Member: Children and Young People); Lynnette Parvez (Secondary Headteacher, Kelmscott School); Cllr Aktar Beg (Primary Governor, Edinburgh); Lynne Harrowell (Primary Headteacher, Larkwood Primary Academy); Julian Lee (Executive Headteacher, The Hawkswood Group).

Chair explained the reason for Julian Lee's absence.

An Ofsted inspection will be taking place at the Primary PRU on Thursday 11 June.

Andy Beckett (Head of Service and Assistant Director – SEN Team) will arrive at the meeting at 6pm.

Chair paid a special welcome to Jon Ashwell, who has been appointed the newly elected Secondary Academy representative

Chair paid a special welcome to Rosalind Turner who has been appointed as the Interim Director of School Standards. Rosalind thanked colleagues for making her feel very welcome in joining the Council.

Chair asked if there were any declaration of interest.

There were none

### 2. Minutes of the last meeting held on 11 February 2015 and Matters Arising

Chair paid a special thanks to Graham Moss who no longer serves as a Schools Finance consultant. Chair thanked Graham for his contribution to Schools Forum from the very beginning. Chair especially thanked Graham for his contribution at Headteachers groups and for his valued opinions and guidance. On behalf of Schools Forum, Chair wishes Graham well in the future.

The minutes of the last meeting were reviewed for accuracy.

The Clerk was asked to make the following amendments:

Page 1:

Lindsey Lampard (Chingford CofE Primary) did attend the February meeting

### Matters Arising

JA requested a Profit and Loss balance sheet to be available at today's meeting.

Finance confirmed this will be an addendum to the minutes when they are circulated.

Chair confirmed the new arrangement that aim is to dispatch the minutes one week after the meeting

Chair agreed and sign the minutes as a true record of the meeting

### **3. Presentation: Resourcing Update – Linzi Roberts-Egan, Deputy Chief Executive, Families Directorate**

Deputy Chief Executive delivered a presentation on resourcing update. In the September Schools Forum, Deputy Chief Executive and Cate Duffy, the then Divisional Director for Education Improvement discussed the request for de-delegation from the DSG.

- £2 million of DSG underspend was assigned to offset the cuts in School Improvement and Early Help budgets
- There is commitment on the decisions that were made on that spend
- They are very clear to headteachers about transparency and how they intend to spend
- In return there would be a Universal Offer to schools and academies (except Free Schools and Walthamstow Academy)
- Headteachers explained what things mattered, e.g. raising standards and reducing achievement gaps and implementing the SEND reforms, vulnerable children and an Early Help offer
- Strong steer from schools as they are in control of their domains
- School Standards is Council Funded: strategic oversight of education
- Gerry Kemble, Head of Schools HR has been seconded to the Families Directorate to oversee the traded services to schools.
- Much more needs to be done for schools and how to use resources moving forward
- Co-Funded School Standards: create a framework for specific needs. Some schools may require additional support
- School Improvement DSG Supported: Emergency support for unforeseen or critical incidents by senior officers or School Improvement Consultants.
- The Hub has been a successful resource for information to headteachers and schools
- Continuing investment to the Hub but need feedback from schools on its usage
- School Manager Advisory Support: increasing demands on back office staff. In the last 12 months there has been an increase in advice for Business Managers (mainly primary sector). This can be available either by telephone, outreach or online
- Safeguarding in Schools Support: This is clear in the role of the Local Authority Designation Officer (LADO). They support professionals with allegations that take place
- Early Help - Council Funded: Tier 2 CAMHS intervention, Early Help, CAMHS support

The presentation was tabled to the meeting. Further comments made:

- Purpose is providing central support and valuing relationships with schools, ascertaining what it is schools need and want
- As ex-headteacher, Deputy Chief Executive explained she would not feel comfortable just doing the statutory functions.
- Ability to gather intelligence to improve systems is important

## Schools Forum – 10 June 2015

- Systems Improvement: Improve secondary performance as there is more that needs to be done in that sector
- £0.300 million has been allocated to the Secondary Challenge which has the strategy and plan in place
- £500,000 unallocated would be held so that discussions can take place with headteachers. What does System Improvement look like? Conversations are on-going.

Chair asked for any questions

JA asked that if there is an idea, how you ensure that it is fed back?

Deputy Chief Executive welcomed these as there are mechanisms to accept ideas.

Interim Director of School Standards suggested JA liaise with her

- What is the potential longevity of the Secondary Challenge? There are aspirations to becoming outstanding.
- There is money sitting and waiting to be spent. As we are working through the process, we need to start to build a business proposal.
- There are recruitment issues in schools
- As a primary representative, Vice-Chair expressed concerns that the money is only allocated to the secondary schools. There are many primary schools that are struggling financially. Early Years settings should also be considered
- We should look at the equity across all sectors
- Deputy Chief Executive expressed that Schools Forum agreed to de-delegate this fund for these purposes. We need to think holistically across all the phases
- The DSG Outturn for 2014-15 including school reserves will be reviewed in the September meeting

#### **4. Update on Pupil Premium Claims following the introduction of Universal Infant Free School Meals (UIFSM)**

**Report Author: Rishi Peetamsingh, Group Accountant-Schools**

**Verbal Presentation: Wendy Kow-Loomes, Unit Manager Support Functions – Revenues and Benefits Service**

WK-L provided a verbal presentation to the Pupil Premium claims following the introduction of the UIFSM.

- Waltham Forest Council has been given responsibility for providing UIFSM to all infants in reception, year 1 and year 2.
- The report provides an update of the steps this Authority has taken to minimise the loss of pupil premium funding.
- The Revenues and Benefits team has administered this piece of work as the grant calculation does not include those infants who are entitled to receive Free School Meals (FSM).
- Schools have been better off as a result of this additional administrative work
- For 2014-15, over 1,200 claims have generated additional pupil premium of £1,617,200.

## Schools Forum – 10 June 2015

- This is a net benefit to schools of £1,527,000
- The recommendation is to continue to work with schools with these actions into 2015-16.
- It is estimated that the cost of providing this service in 2015-16 will be £91,000 with a net benefit (estimated £72 per pupil cost to the receiving primary school)

Chair asked for any questions or comments

- Vice-Chair thanked the service for all the work they have done. If they had to buy into the service, they would
- There are still lots of families who have not applied
- Chair thanked the team for the work they have achieved so far

### **DECISION**

#### **2.1.1 Schools Forum noted:**

- a. The net benefit to schools as a result of the steps taken to minimise the loss of pupil premium**
- b. The intention of the local authority to recover the cost of the checking service initiative from schools in 2015-16. This is estimated to be £91,000 or £72 per child registered**

<http://www.walthamforest.gov.uk/schoolsforum>      **Agenda Item: 4**

### **5. Early Years Funding Block: 2013-14 Update and Proposals for 2016-17**

**Report Authors: Rishi Peetamsingh, Group Accountant – Schools and Eve McLoughlin, Head of Education Support**

The report outlines the procedural recommendations in relations to the provision of free education for 3-4 year olds.

This will allow more accurate and timely payments to settings.

There is also an update on the 2013-14 reconciliation.

- In the February meeting, Schools Forum agreed providers' budgets will be reviewed using actual termly headcount data
- Data from the January and October Census will be used to more accurately forecast the number of hours that will be delivered by providers
- Final January census data is not always available until April/May
- The final October census information is usually available by December
- An exercise was undertaken to establish whether there were any specific differences to the IDACI data – the comparisons are set out in *Appendix A*
- It was evidenced that there were no considerable differences in the data sets, therefore was minimal impact on the payment rate
- Early Years is seeking approval by Schools Forum to agree to the proposed procedure for the collection of the IDACI information



## Schools Forum – 10 June 2015

- JA expressed his support and acknowledged the considerable amount of work on this exercise to collect data more accurately and is in full support of this.
- The next step is for the Early Years to set up a Task and Finish Group which will include contribution from the PVI settings

### **DECISION**

#### **Schools Forum:**

- 2.2.1 Agreed** to include the inclusion of the proposed procedure for the collection of IDACI information as set out in section 4 which would form the basis for the 2016-17 EYSFF in the consultation process.
- 2.2.2 Agreed** to establish an Early Years Block Task and Finish Group to meet in Autumn 2015
- 2.2.3 Noted** the reconciliation position for 2013-14

### **6 Establishment of High Needs Block Task and Finish Group** **Report Authors: Andrew Beckett, Interim Assistant Director and Shehwar Sultan, Group Accountant, Inclusion & Early Help**

AB requested volunteers to participate in the High Needs Block Task and Finish group meeting.

There are four strategic issues which will form part of the discussions of the Task and Finish Group:

- (a) The balance between SEN school based formula funding and centrally retained HNB funding including any impact of Free schools on HNB expenditure*
- (b) Forecast need for mainstream based SEN provision (Special Resourced Provision etc) and the balance between this and special school provision*
- (c) Review of expenditure on support services and how these could be delivered in the future*
- (d) Monitoring and quality assurance processes*

There will also be discussions around the monitoring of quality assurance processes and best value.

We are required to consult on the Task and Finish and ideally would like a combination of primary, secondary, special and academy representation.

Chair asked whether there will be any approach to participants who are non-School Forum members. AB responded there should be a balance between all sectors.

## Schools Forum – 10 June 2015

The following volunteered to participate in the Task and Finish Group:

- Sandra Campbell (Nursery School Representative) – Church Hill Nursery School and Children's Centre
- Jane Harris (Primary Headteacher Representative) – Edinburgh Primary School
- Mark Morrall (Secondary Academy Headteacher Representative) Chingford Foundation/Rushcroft Schools
- Gary Pocock (Special School Headteacher Representative) – Hornbeam Academy Trust
- Shona Ramsay (Secondary Headteacher Representative and Chair of Schools Forum – The Lammas School

Chair announced Julian Lee had expressed an interest to participate in the Group.

AB will scope this and suggested there should be two meetings before the end of term.

### **DECISION**

#### **Schools Forum agreed:**

2.1 To establish a Task and Finish Group of school and academy members to meet the commitment to review the strategic issues identified in the report to Schools Forum on 14 January 2015 and repeated in paragraph 1.2 of this report.

2.2 The commitment to consider the balance between SEN school based formula funding and centrally retained HNB funding (including any impact of Free schools on HNB expenditure) will require the Task and Finish group to review the current funding bands applied to Education Health and Care Plans (EHCPs).

2.3 To nominate school and academy members to serve on the Task and Finish Group and to nominate other stakeholders to be invited to the Group.

### **7. Establishment of Schools Block Task and Finish Group**

**Report Authors: Rishi Peetamsingh, Group Accountant – Schools and Duncan Pike, Strategic Finance Advisor**

This report seeks to establish a Task and Finish group to review the rates applied to the local formula factors allowed by regulation and the operation and size of the Growth Fund.

- The Local Authority is required to consult Schools Forum on any formula change
- *Appendix A* shows the criteria for the allocation of funding through the Growth Fund
- *Appendix B* shows the Growth Fund criteria
- *Appendix C* sets out the current local funding formula
- *Appendix D* sets out comparisons between the Waltham Forest funding formula and its statistical neighbours
- The Growth Fund is deducted from the Schools Block funding which reduces the funding available for delegation to schools
- The Task and Finish should meet at two to three times during June and July

## Schools Forum – 10 June 2015

- The Group may decide that changes are made and these will be reported to the Schools Forum in September for a decision
- Core members should participate but would welcome Business Managers

The following volunteered to participate in the Schools Block Task and Finish Group:

- John Hernandez (Secondary Headteacher Representative) – Norlington School
  - Kate Jennings (Primary Headteacher Representative) – Mission Grove School
  - Graham Jackson (School Business Manager) – Willowfield Humanities College
  - Lindsey Lampard (Primary Headteacher Representative) – Chingford CofE
  - Jon Ashwell (newly elected Secondary Academy Representative) – Highams Park School
  - Ian Moyes (Secondary Governor Representative) – Heathcote School
  - Shona Ramsay (Secondary Headteacher Representative & Chair of Schools Forum) – The Lammas School, subject to dates of meetings
- For the task and finish discussions, suggest a model and see the distribution effect and engage with headteachers
  - Discuss the principles as well as the financial implications, so that people can start to think about those principles
  - Look at setting up a community group on the Hub where you can gain those views
  - Chair: 24<sup>th</sup> June to attend a meeting of headteachers

### **DECISION**

#### **Schools Forum agreed:**

2.1 To set up a Task and Finish Group of school and academy members to review the rates applied to the local formula factors allowed by regulation and the operation and size of the Growth Fund.

2.2 To nominate school and academy members to serve on the Task and Finish Group and to nominate other stakeholders to be invited to the Group.

### **8. Timelines of Key Actions Required by the Local Authority and Schools Forum Forward Plan for 2015-16**

**Report Authors: Duncan Pike, Strategic Finance Advisor and Rishi Peetamsingh, Group Accountant-Schools**

*Appendix A* outlines the proposed agenda for Schools Forum 2015-16. If there are any amendments these will be added.

The white boxes depict the Schools Forum dates

### **DECISION**

Schools Forum **noted** the key deadlines and reports to be presented during the year, subject to DFE consultations

## Schools Forum – 10 June 2015

### 9. Schools Forum Guidance

In March, the DfE published four documents concerning Schools Forums attached to this report as appendices

[Appendix A: Schools Forum Operational and Good Practice Guide 2015](#)

[Appendix B: Schools Forums structure](#)

[Appendix C: Schools Forum Powers and Responsibilities 2015 to 2016](#)

[Appendix D](#) shows the self-assessment toolkit which assesses the strengths and weaknesses of schools forums

A Survey Monkey questionnaire, based on the toolkit will be distributed to Forum members next week

### **DECISION**

#### **Schools Forum agreed**

2.1 Schools Forum noted the documents

2.2 Schools Forum agreed to complete the self-assessment questionnaire

#### **Any Other Business**

- Steve White (NUT) explained a campaign about Fairer Funding took place which campaigns for teachers to be paid the Inner London Allowance. This event was well attended which included Cllr Mark Rusling, parents and governors
- Walthamstow Schools For Girls agreed to host the next event that will be taking place on 7 July.
- SW asked for a headteacher to host to accommodate the next meeting

### **10. Date of Next Meeting**

16 September 2015

5:30pm (Light refreshments from 5:00pm)

Committee Room 3, Waltham Forest Town Hall

**DSG Block Control Total for 2015-16**

	Notes	Schools Block	Early Years Block	High Needs Block	Other Additions	Totals
Census Counts		35,669	2,970			
Unit of Funding		£5,197.35	£4,884.45			
Calculated Funding( Unit of funding x Census)		£185,384,300	£14,506,800			£199,891,100
Transfer for Non-Recoupment Academy and Free schools	Revised 25 March 2015	£7,370,900				£7,370,900
Pupil Premium for 3-4 year olds			£328,900			£328,900
Cash Limited Funding	Revised for NMSS			£34,286,400		£34,286,400
Newly Qualified Teachers					£53,500	£53,500
2 Year Old Funding(not yet announced)			£0			£0
Annoucement on DSG for 2015-16	Revised 25 March 2015	<u>£192,755,200</u>	<u>£14,835,700</u>	<u>£34,286,400</u>	<u>£53,500</u>	<u>£241,930,800</u>
Underspend from 2012-13 for growth	SF 18 September 2013:Agenda 4	£1,000,000				£1,000,000
Underspend from 2013-14 for growth	SF 14 January 2015: Agenda 4	£250,000				£250,000
Estimated Underspend for 2014-15	Final figure to be confirmed in SF September 2015	£73,200				£73,200
Underspend from 2013-14 from Early Years Block for 2 year olds	SF 17 September 2014: Agenda 6		£1,261,000			£1,261,000
Estimated Underspend on 2 Years Olds in 2014-15	Final figure to be confirmed in SF September 2015		£2,490,000			£2,490,000
Underspend for 2013-14 for 3-4 year olds	Final figure to be confirmed in SF September 2015		£800,000			£800,000
Estimated Underspend on Trajectory Funding for 2014-15	Final figure to be confirmed in SF September 2015		£394,000			£394,000
Estimated Budget for 2 year olds based 1,500 children	To be confirmed by DFE June/July 2015		£4,514,000			£4,514,000
Underspend from 2013-14 for SEN reforms	SF 18 September 2013: Agenda 5,amended SF 17 September 2014			£1,447,100		£1,447,100
Estimated Underspend on HNB for 2014-15	Final figure to be confirmed in SF September 2015			£615,200		£615,200
Inter-Block Transfer from Schools Block to HNB for PRUS	SF 12 November 2014: Agenda 5	-£125,000		£125,000		£0
Inter-Block Transfer from Early Years Block to HNB for SEN Inclusion and Under 5's	SF 12 November 2014: Agenda 5		-£500,000	£500,000		£0
Inter-Block Transfer from Early Years Block to Schools Block Rates at Early Years Centre	SF 12 November 2014: Agenda 7	£125,000	-£125,000			£0
<b>Totals Resources Available for allocation for spending</b>		<u>£194,078,400</u>	<u>£23,669,700</u>	<u>£36,973,700</u>	<u>£53,500</u>	<u>£254,775,300</u>
Growth Deduction for Schools Block	SF 14 January 2015: Agenda 4	-£3,750,000				-£3,750,000
Admissions and Appeals	SF 17 September 2014: Agenda 8	-£745,000				-£745,000
Schools Forum Administration	SF 17 September 2014: Agenda 8	-£43,600	-£4,100	-£8,200		-£55,900
Copyright Licensing	Confirmed by EFA 15 April 2015	-£159,900				-£159,900
Centrally Retained Early Years Funding	SF 14 January 2015: Agenda 8		-£1,053,000			-£1,053,000
Estimated Outturn on Trajectory Funding for 2014-15 retained	SF 14 January 2015: Agenda 8		-£382,003			-£382,003
Centrally Retained- Social Inclusion	SF 14 January 2015: Agenda 7			-£490,000		-£490,000
Retained for distribution to Schools to assist with training NQT's	As above				-£53,500	-£53,500
						£0
Sub- Total Centrally Retained Funding Deductions		<u>-£4,698,500</u>	<u>-£1,439,103</u>	<u>-£498,200</u>	<u>-£53,500</u>	<u>-£6,689,303</u>

**DSG Block Control Total for 2015-16**

	Notes	Schools Block	Early Years Block	High Needs Block	Other Additions	Totals	
<b>Allocations to Schools and Academies</b>							
Academy Deductions		-£60,761,400				-£60,761,400	
Maintained Primary Schools:	As returned to EFA on APT in January 2015, adjusted for the Larkwood conversion	-£69,025,800				-£69,025,800	
Maintained Secondary:		-£46,249,000				-£46,249,000	
Maintained All Through:		-£13,343,700				-£13,343,700	
Allocated to settings 3-4 year olds	SF 11 February 2015: Agenda 5		-£13,636,697.34			-£13,636,697	
Pupil Premium 3-4 Year Olds	Assumed to be fully allocated		-£328,900.00			-£328,900	
Allocated to settings 2 year olds	Based on estimate above		-£8,265,000			-£8,265,000	
Special Schools				-£15,648,400		-£15,648,400	
Specialist Provisions				-£4,086,500		-£4,086,500	
Mainstream Schools & PVI's				-£4,806,800		-£4,806,800	
Post-16 Provision				-£650,000		-£650,000	
Alternative Provision	SF 14 January 2015: Agenda 7			-£3,297,200		-£3,297,200	
SEN Placements				-£4,206,500		-£4,206,500	
Support Services in schools					-£1,809,000		-£1,809,000
SEN reforms and post 16 provision					-£1,447,100		-£1,447,100
In Year Contingency					-£524,000		-£524,000
<b>Total Allocations and Deductions</b>		<b>-£194,078,400</b>	<b>-£23,669,700</b>	<b>-£36,973,700</b>	<b>-£53,500</b>	<b>-£254,775,300</b>	
<b>Net Position after allocations</b>		<b>£0</b>	<b>£0</b>	<b>£0</b>	<b>£0</b>	<b>£0</b>	

LONDON BOROUGH OF WALTHAM FOREST

Meeting / Date	<b>SCHOOLS FORUM</b> <b>16 September 2015</b>	Agenda Item	<b>3</b>
Report Title	<b>Dedicated Schools Grant Outturn 2014-15 and Control Total for 2015-16</b>		
Decision/ Discussion/ Information	For Information		
Report Author/ Contact details	Rishi Peetamsingh: Group Accountant-Schools 0208 496 6304 <a href="mailto:rishi.peetamsingh@walthamforest.gov.uk">rishi.peetamsingh@walthamforest.gov.uk</a> Shehwar Sultan: Group Accountant-High Needs 0208 496 6322 <a href="mailto:shehwar.sultan@walthamforest.gov.uk">shehwar.sultan@walthamforest.gov.uk</a>		
Appendices	Appendix A: DSG Balances Appendix B: Control Total for 2015-16 Appendix C: Schools Reserves as at 31.03.2015		

**1. SUMMARY**

- 1.1** This report updates School Forum on the final Outturn for the Dedicated Schools Grant (DSG) for the financial year 2014-15 and gives an update on the DSG notified by the DFE in July 2015 for the financial year 2015-16
- 1.2** The final Outturn for financial year 2014-15 was an underspend on the DSG of **£6.543 million**. **Appendix A** sets out the detail for the underspend balances.
- 1.3** Cumulative underspends brought forward of **£8.161 million** make the total cumulative underspend available **£14.704 million**.
- 1.4** Commitments from previous Schools Forum decisions and planned spend of **£14.414 million** leave a cumulative unallocated underspend of **£0.290 million**.
- 1.5** Schools revenue reserves totalled **£10.986 million** as at 31 March 2015.

## 2. RECOMMENDATIONS

### Schools Forum is asked to note:

- 2.1 The final Outturn position for 2014-15 of **£6.543 million** underspend, comprising:
- Schools Block £0.290 million
  - Early Years Block £4.478 million
  - High Needs Block £1.775 million
- 2.2 These underspends from 2014-15, together with DSG balances brought forward of **£8.161 million** total **£14.704 million** carried forward into 2015-16. **Appendix A** sets out the 2014-15 areas of underspend, brought forward balances, commitments, and proposed usage.
- 2.3 The latest announced DSG allocation for 2015-16 is **£244.507 million** before the recoupment deduction for academies. After these adjustments the DSG receivable in 2015-16 is **£176.065 million** comprising:
- Schools Block £132.047 million
  - Early Years Block £16.840 million
  - High Needs Block £27.178 million

The full control total is shown in **Appendix B**.

- 2.4 Maintained Schools' reserves balances as at 31 March 2015 are shown in **Appendix C**.

## 3. REASON

- 3.1 September School Forum usually receives a report on the updated DSG for the current year and the Outturn position for the previous financial year so as to inform the budget setting process for the coming financial years.

## 4. BLOCK OUTTURNS FOR 2014-15

### SCHOOLS BLOCK

- 4.1 Since 2013-14, schools funding arrangements have fundamentally changed. The Schools Block provides the funding for schools with pupils and students from 5 to 16 years old.



**4.2** The final Schools Block (SB) allocation for 2014-15 is shown in Table A below:

<b>Table A: Schools Block Allocation</b>	
	£million
<b>Final Allocation</b>	<b>183.202</b>
Less: Carbon Reduction commitment	-0.328
Add: Commitments from prior years balances	3.260
Less: Recoupment for Academies	-49.848
<b>Total Schools Block Resources for 2014-15</b>	<b>136.286</b>

**4.3** The in-year 2014-15 underspend was **£0.290** million. Table B below shows the final headline variances. The total underspend of the SB in balances including brought forward figure amounted to **£2.003** million.

<b>Table B-Summary of Major Underspends/(Overspends)</b>		£(000)
Admissions and Appeals		15
Contingency		129
Performing Rights Licences		20
Business Rates refunds		300
Growth Fund		-174
<b>Total</b>		<b>290</b>

## **EARLY YEARS BLOCK**

**4.4** The EYB provides the funding for settings with 2, 3 and 4 year olds. The final Early Years block allocation for 2014-15 is shown in Table C below.

<b>Table C: Early Years Block Allocation</b>	
	£million
<b>Final Allocation for 3 to 4 Year Olds</b>	<b>14.507</b>
Less: Carbon Reduction commitment	-0.014
Less: Interblock transfer to High Needs Block	-0.446
Add: 2 Year Old Funding	5.791
Add: Commitments from prior years balances	1.261
<b>Total Early Years Block Resources for 2014-15</b>	<b>21.099</b>

- 4.5** The full net underspend from 2013-14 on the EYB amounted to **£1.261 million**. This was the net position after taking account an underspend on 2 year olds of **£2.119 million** and an overspend of **£0.858 million** for 3 to 4 year olds. In September 2014, Schools Forum agreed on the use of this balance to support the EYB in 2014-15 and future years.
- 4.6** An additional allocation of **£0.333 million** based on the January 2014 census for 3 and 4 year was received in 2014-15 to confirm the final EYB for 2013-14. Further recoveries in 2014-15 for 2013-14 amounted to **£0.368 million**.
- 4.7** In 2014-15, 2 year old funding underspent by **£3.265 million** and **£0.512 million** for 3 to 4 year olds. The latter is committed to finance final 2014-15 adjustments for 3 to 4 year olds in 2015-16. The total underspend of the EYB in balances including brought forward figure amounted to **£5.739 million**. See Table D below.

<b>Table D: Early Years Block Balances</b>	
	£million
Early Years Block overspend for 3 to 4 year olds from 2013-14	-0.858
Early Years Block underspend for 2 year olds from 2013-14	2.119
Underspend for 3-4 year olds 2014-15	0.512
2 Year Old Place funding underspend in 2014-15	3.265
Claw back in funding for 2013-14 for 3-4 year olds	0.368
Final Allocation for 2013-14 received in 2014-15	0.333
<b>Total Early Years Block Balances for 2014-15</b>	<b>5.739</b>

## **HIGH NEEDS BLOCK**

- 4.8** The High Needs Block is largely responsible for the funding arrangements for Special Needs pupils and students from 0 to 25 years old. The final High Needs block allocation for 2014-15 is shown in Table E below.

<b>Table E: High Needs Block Allocation</b>	
	£million
<b>Final Allocation</b>	<b>34.041</b>
Less: Carbon Reduction commitment	-0.049
Add: Interblock transfer from Early Years Block	0.446
Less: Deduction for places and other adjustments	-7.580
Add: Commitments from prior years balances	1.447
<b>Total High Needs Block Resources for 2014-15</b>	<b>28.305</b>

**4.9** At the end of 2013-14, **£1.447 million** of underspend was unallocated and brought forward into 2014-15. These are marked committed as follows; **£0.200 million** towards SEND reforms; **£0.350 million (0.014m)** used in 2014-15) towards post 16 education reforms to identify gaps in post 16 provision and possible solutions to developing the local offer; the remaining uncommitted SEN underspend of **£0.897 million** is held as a contingency within the High Needs Block 2014-15 and future years. The in-year 2014-15 underspend is **£1.775 million**. The total underspend of the High Needs Block in balances including brought forward figure amounted to **£3.208 million**

**4.10** Table F shows the final headline variances and the main reasons for the underspend in 2014-15.

**Table F: 2014-15 HNB Underspend and Overspends**

<b>Budget Heading</b>	<b>£m</b>	<b>Reason</b>
Contingency	0.595	Held for potential adjustments on baseline
Specialist Provisions	0.124	Undersubscribed commissioned places (Top-Up Funding)
Mainstream Schools	0.056	Underspend for money set aside for in year adjustments
Post-16 Provision	0.936	Set aside to provide for uncertainty following 2013-14, when the LA took responsibility for post-16 education learners with learning difficulties and disabilities for the first time. Reallocated in setting 2015-16 budgets.
SEN Placements in Independent and Non-maintained special schools	0.108	Generated by vacant places after pupils have left the institution
Pupil Referral Units	0.056	Amount left for option 3 after selection of option 2 by schools forum relating to funding of PRUs.
Early Years SEND services	0.109	Unused outreach service/funding
Integration Programmes	0.060	Unused outreach service/funding
Support Services	0.034	Underspend on fair access panel
Special Schools	(0.304)	Overspend on post 16 provision in Belmont Park. Joiners, leavers, resource level changes, in and out of borough mobility, increase in commissioned places
<b>Net Underspend</b>	<b>1.775</b>	

**4.11** Local authorities are responsible for post-16 education for learners with learning difficulties and disabilities. The Local Authority (LA) has been in collaboration with local schools and colleges and is gradually adopting new practices to streamline the processes to ensure that funding is appropriately allocated to colleges and further education institutions.

- 4.12** For 2014-15 Budget setting purposes Post-16 education remained a risk area until the LA had reviewed the full impact of an academic year (September 2013 to August 2014). This was in respect of both funding and place allocations to colleges and further education institutions. The underspend of **£0.936m** has been reallocated when setting 2015-16 plans.
- 4.13** In its submission to the Department in November 2014 the LA was successful in receiving approval from the EFA for post 16 places in Belmont Park School. This is effective from the academic year September 2016 onwards. Until then the school will have to be supported for its place-led funding for post-16 Waltham Forest students.

## **5. RESERVES**

- 5.1** As at the end of 2013-14, maintained schools held balances of **£12.975 million**. At the end of 2014-15, schools reported total retained balances of **£11.635 million**. See **Appendix C**. This is a decrease of 10.3% after accounting for in year academy conversions. It is good practice that schools have clear plans on the use of retained balances. Schools are planning to retain **£3.799 million** in reserves at the end of 2015-16.

DSG Underspend

2014-15

2014-15 Current Position

	Balance of DSG 01.04.2014 £m	Spend 2014-15 £m	Balance c/fwd to 2015-16 £m	Underspend 2014-15 £m	Balance of DSG 31.3.2015 £m	Commitments £m	Uncommitted Balance £m
<b>Schools Block</b>							
<b>Ear Marked Budgets</b>							
Leadership & Management	0.287	0.178	0.109		0.109	0.109	0.000
Early Intervention (pooled)	0.150	0.042	0.108		0.108	0.108	0.000
Strategic Offer (pooled)	0.050	0.050	0.000		0.000	0.000	0.000
Teachers Pensions administration	0.010	0.006	0.004		0.004	0.004	0.000
Schools in financial difficulty	0.250	0.090	0.160		0.160	0.160	0.000
Education Psychology	0.040	0.040	0.000		0.000	0.000	0.000
In year risks - Convertor Academies	0.159	0.014	0.145		0.145	0.145	0.000
In year risks - General Contingencies	0.314	0.127	0.187		0.187	0.187	0.000
Pupil Growth 2014-15	1.000	1.000	0.000		0.000	0.000	0.000
Pupil Growth 2015-16	1.000	0.000	1.000		1.000	1.000	0.000
<b>Underspend 2014-15</b>				0.290	0.290	0.000	0.290
<b>Sub Total Schools Block</b>	<b>3.260</b>	<b>1.547</b>	<b>1.713</b>	<b>0.290</b>	<b>2.003</b>	<b>1.713</b>	<b>0.290</b>
<b>Early Years</b>							
<b>Ear Marked Budgets</b>							
Overspend on 3 to 4 Year Olds from 2013-14	-0.858	0.000	-0.858		-0.858	-0.858	0.000
Underspend on 2 year olds from 2013-14	2.119	0.000	2.119		2.119	2.119	0.000
<b>Underspend for 3 to 4 year olds 2014-15</b>				0.512	0.512	0.512	0.000
<b>Underspend for 2 year olds 2014-15</b>				3.265	3.265	3.265	0.000
<b>Final Allocation for 2013-14 received in 2014-15</b>				0.333	0.333	0.333	0.000
<b>Net Recoveries for 2013-14 received in 2014-15</b>				0.368	0.368	0.368	0.000
<b>Sub Total Early Years Block</b>	<b>1.261</b>	<b>0.000</b>	<b>1.261</b>	<b>4.478</b>	<b>5.739</b>	<b>5.739</b>	<b>0.000</b>
<b>High Needs Block</b>							
<b>Ear Marked Budgets</b>							
Implementing recommendations of the sub group with colleges and sixth forms	0.350	0.014	0.336		0.336	0.336	0.000
Four SEND experts to advise Heads on SEN reforms	0.200	0.000	0.200		0.200	0.200	0.000
Hold as contingency in HNB for 2013-14 and 2014-15	0.897	0.000	0.897		0.897	0.897	0.000
<b>Underspend 2014-15</b>				1.775	1.775	1.775	0.000
<b>Sub Total High Needs Block</b>	<b>1.447</b>	<b>0.014</b>	<b>1.433</b>	<b>1.775</b>	<b>3.208</b>	<b>3.208</b>	<b>0.000</b>
<b>Other Commitments</b>							
Pupil Growth	1.000	0.000	1.000		1.000	1.000	0.000
System Improvement Board	0.554	0.000	0.554		0.554	0.554	0.000
Secondary Challenge	0.300	0.100	0.200		0.200	0.200	0.000
Universal Offer	2.000	0.000	2.000		2.000	2.000	0.000
<b>Sub-Total -Other Commitments</b>	<b>3.854</b>	<b>0.100</b>	<b>3.754</b>	<b>0.000</b>	<b>3.754</b>	<b>3.754</b>	<b>0.000</b>
<b>TOTALS</b>	<b>9.822</b>	<b>1.661</b>	<b>8.161</b>	<b>6.543</b>	<b>14.704</b>	<b>14.414</b>	<b>0.290</b>

**DSG Block Control Total for 2015-16**

	Notes	Schools Block	Early Years Block	High Needs Block	Other Additions	Totals
<b>Census Counts</b>		35,669	2,962			
Unit of Funding		£5,197.35	£4,884.45			
Calculated Funding (Unit of funding x Census)		£185,384,300	£14,467,700			£199,852,000
Transfer for Non-Recoupment Academy and Free schools	Revised 25 March 2015	£7,370,900				£7,370,900
Pupil Premium for 3-4 year olds			£328,900			£328,900
Cash Limited Funding	Change from Residency to Location basis			£34,233,100		£34,233,100
Newly Qualified Teachers					£53,500	£53,500
2 Year Old Funding (532 FTE x £5016)			£2,668,500			£2,668,500
<b>Annoucement on DSG for 2015-16</b>	<b>Revised 16 July 2015</b>	<b>£192,755,200</b>	<b>£17,465,100</b>	<b>£34,233,100</b>	<b>£53,500</b>	<b>£244,506,900</b>
Underspend from 2012-13 for growth	SF 18 September 2013:Agenda 4	£1,000,000				£1,000,000
Underspend from 2013-14 for growth	SF 14 January 2015: Agenda 4	£250,000				£250,000
Rates Adjustment		£81,500				£81,500
Underspend for 2014-15	SF September 2015	£290,100				£290,100
Underspend from 2013-14 from Early Years Block for 2 year olds	SF 17 September 2014: Agenda 6		£1,261,000			£1,261,000
Underspend on 2 Years Olds in 2014-15	SF September 2015		£3,264,600			£3,264,600
Underspend for 2013-14 for 3-4 year olds	SF September 2015		£512,400			£512,400
Adjustments for 2013-14 received in 2014-15	SF September 2015		£367,600			£367,600
Final Allocation of EYB for 3 to 4 Year Olds for 2013-14 and adjustment for 2015-16	As Advised by DFE 16 July 2015		£309,400			£309,400
Underspend from 2013-14 for SEN reforms	SF 18 September 2013: Agenda 5,amended SF 17 September 2014			£1,447,100		£1,447,100
Underspend on HNB for 2014-15	SF September 2015			£1,775,000		£1,775,000
Inter-Block Transfer from Schools Block to HNB for PRUS	SF 12 November 2014: Agenda 5	-£125,000		£125,000		£0
Inter-Block Transfer from Early Years Block to HNB for SEN Inclusion and Under 5's	SF 12 November 2014: Agenda 5		-£500,000	£500,000		£0
Inter-Block Transfer from Early Years Block to Schools Block Rates at Early Years Centre	SF 12 November 2014: Agenda 7	£125,000	-£125,000			£0
<b>Totals Resources Available for allocation for spending</b>		<b>£194,376,800</b>	<b>£22,555,100</b>	<b>£38,080,200</b>	<b>£53,500</b>	<b>£255,065,600</b>

**DSG Block Control Total for 2015-16**

	Notes	Schools Block	Early Years Block	High Needs Block	Other Additions	Totals
Growth Deduction for Schools Block	SF 14 January 2015: Agenda 4	-£3,750,000				-£3,750,000
Admissions and Appeals	SF 17 September 2014: Agenda 8	-£745,000				-£745,000
Schools Forum Administration	SF 17 September 2014: Agenda 8	-£43,600	-£4,100	-£8,200		-£55,900
Copyright Licensing	Confirmed by EFA 15 April 2015	-£159,900				-£159,900
Use of Underspend on Schools Block	SF September 2015	-£298,400				-£298,400
Use of Underspend on High Needs Block	SF September 2015			-£1,230,500		-£1,230,500
Centrally Retained Early Years Funding	SF 14 January 2015: Agenda 8		-£1,053,000			-£1,053,000
Retained Adjustments for 2013-14 for 3 to 4 Year olds	SF September 2015		-£375,600			-£375,600
Centrally Retained- Social Inclusion	SF 14 January 2015: Agenda 7			-£490,000		-£490,000
Retained for distribution to Schools to assist with training NQT's	As above				-£53,500	-£53,500
Sub- Total Centrally Retained Funding Deductions		-£4,996,900	-£1,432,700	-£1,728,700	-£53,500	-£8,211,800
<b>Allocations to Schools and Academies</b>						
Academy Deductions		-£60,761,400				-£60,761,400
Maintained Primary Schools:		-£69,025,800				-£69,025,800
Maintained Secondary:		-£46,249,000				-£46,249,000
Maintained All Through:		-£13,343,700				-£13,343,700
Allocated to settings 3-4 year olds	SF 11 February 2015: Agenda 5		-£13,636,700.00			-£13,636,700
Pupil Premium 3-4 Year Olds	Assumed to be fully allocated		-£328,900.00			-£328,900
Allocated to 2 year olds	Based on estimate above		-£7,156,800			-£7,156,800
Special Schools				-£15,648,400		-£15,648,400
Specialist Provisions				-£4,086,500		-£4,086,500
Mainstream Schools & PVI's				-£4,806,800		-£4,806,800
Post-16 Provision				-£650,000		-£650,000
Alternative Provision	SF 14 January 2015: Agenda 7			-£3,297,200		-£3,297,200
SEN Placements				-£4,206,500		-£4,206,500
Support Services in schools				-£1,809,000		-£1,809,000
SEN reforms and post 16 provision				-£1,447,100		-£1,447,100
In Year Contingency				-£400,000		-£400,000
<b>Total Allocations and Deductions</b>		<b>-£194,376,800</b>	<b>-£22,555,100</b>	<b>-£38,080,200</b>	<b>-£53,500</b>	<b>-£255,065,600</b>
Net Position after allocations		£0	£0	£0	£0	£0

DFE No	School Name	Revenue Reserve @ 31.03.2015	Capital Reserve @ 31.03.2015	Total Reserves @ 31.03.2015 surplus/(deficit)
1004	Acacia Nursery School	34,553	14,099	48,652
1002	Church Hill Nursery	383,350	20,229	403,579
1000	Low Hall Nursery School	252,185	7,723	259,908
2082	Ainsley Wood Primary	192,722	4,913	197,635
2083	Barncroft Primary	59,772	14,175	73,947
2028	Chapel End Infants	82,188	34,323	116,511
3001	Chingford CoE Infant	(65,403)	35,665	(29,738)
3000	Chingford CoE Junior	25,663	13,420	39,083
2075	Coppermill Primary	49,981	7,887	57,868
2067	Dawlish Primary	178,022	9,516	187,538
2030	Edinburgh Primary	570,067	4,840	574,907
2072	George Tomlinson Primary	231,883	37,160	269,042
2031	Green Leaf Primary	321,985	0	321,985
2069	Gwyn Jones Primary	140,976	3,614	144,590
2045	Handsworth Primary	245,882	17,918	263,800
2064	Henry Maynard Primary	221,227	4,442	225,669
2079	Jenny Hammond Primary	378,713	27,160	405,873
2004	Longshaw Primary	222,462	8,596	231,057
2084	Mayville Primary School	13,650	9,026	22,676
2074	Mission Grove Primary	392,874	1,052	393,926
2062	Oakhill Primary	51,569	15,189	66,758
2066	South Grove Primary	228,726	16,926	245,653
3305	St Joseph's Catholic Infants	9,656	0	9,656
3301	St Joseph's Catholic Juniors	(85,592)	0	(85,592)
3300	St Mary's Catholic Primary	129,050	0	129,050
3307	Federated St Mary's & St Saviours CoFE	42,736	0	42,736
5200	St Patrick's Catholic	254,244	0	254,244
2076	Stoneydown Park Primary	55,105	20,210	75,315
2049	Thorpe Hall Primary	258,928	36,081	295,009
2006	Whitehall Primary	299,372	0	299,372
2050	Winns Primary	11,057	0	11,057
2061	Woodford Green Primary	56,254	9,471	65,725
2017	Downsell Primary	36,464	3,998	40,462
2023	Newport Primary	580,025	37,889	617,914
2015	Davies Lane Primary	109,834	0	109,834
2001	Chase Lane Primary	286,452	18,062	304,514
3310	Selwyn Primary	48,419	0	48,419
3311	Our Lady and St. Georges RC Primary	10,073	0	10,073
2078	Parkside Primary School	258,620	6,930	265,550
4000	Buxton - All Through	867,684	42,801	910,485
4062	George Mitchell Community School	(89,283)	0	(89,283)
4063	Heathcote Secondary	215,810	0	215,810
4603	Holy Family Catholic	(35,931)	0	(35,931)
4075	Kelmscott Secondary	949,672	0	949,672
4069	Leytonstone Secondary	277,957	53,900	331,857
4064	Norlington School for Boys	(247,449)	27,566	(219,883)
4076	The Lammas School	880,703	0	880,703
4072	Walthamstow School for Girls	392,830	0	392,830
4066	Willowfield Secondary	1,188,349	41,797	1,230,146
4060	Frederick Bremer Sec	(352,798)	14,397	(338,401)
7011	Belmont Park Special	(71,205)	27,615	(43,590)
1105	Hawkswood School	436,185	0	436,185
		<b>10,986,267</b>	<b>648,589</b>	<b>11,634,856</b>

Forecast outturn Revenue Reserve 2015-16 surplus/(deficit)	Forecast outturn Capital Reserves 2015-16 surplus/(deficit)	Forecast outturn Total Reserves 2015-16 surplus/(deficit)	School Budget Shares	% Revenue Reserve to budget share
0	15,567	15,567	404,048	0%
393,002	18,568	411,570	426,497	92%
220,679	11,718	232,397	398,934	55%
0	0	(0)	2,022,572	0%
0	0	(0)	1,029,578	0%
0	0	0	1,362,765	0%
0	0	0	0	0%
0	0	0	1,771,070	0%
0	0	0	1,401,999	0%
0	6,292	6,292	1,137,108	0%
72,321	4,846	77,167	3,307,159	2%
0	0	0	2,749,703	0%
0	0	0	2,162,649	0%
0	0	(0)	1,633,911	0%
100,617	17,917	118,534	1,761,767	6%
0	0	0	3,849,415	0%
378,713	27,160	405,873	1,426,142	27%
0	0	0	2,068,342	0%
0	0	0	2,344,903	0%
39,257	0	39,257	3,589,542	1%
0	13,000	13,000	1,156,527	0%
0	0	0	3,159,027	0%
10,000	0	10,000	814,549	1%
(85,592)	0	(85,592)	1,165,761	-7%
99,802	0	99,802	933,198	11%
0	0	0	4,136,626	0%
0	0	0	1,943,514	0%
0	0	0	2,084,019	0%
0	23,504	23,504	2,492,936	0%
102,797	0	102,797	2,572,234	4%
11,055	0	11,055	4,042,023	0%
60,227	9,471	69,698	968,898	6%
0	0	0	3,195,534	0%
47,085	12,162	59,247	3,683,862	1%
189,749	0	189,749	3,797,439	5%
80,000	0	80,000	3,325,587	2%
88,893	0	88,893	2,905,595	3%
10,105	0	10,105	1,937,597	1%
45,302	0	45,302	1,997,790	2%
580,000	44,916	624,916	9,185,672	6%
0	0	0	5,951,622	0%
0	0	0	6,399,046	0%
1,858	0	1,858	5,770,728	0%
0	0	0	6,025,725	0%
250	22,784	23,034	5,608,960	0%
(219,224)	0	(219,224)	3,656,099	-6%
581,952	0	581,952	5,861,405	10%
245,886	0	245,886	5,887,882	4%
993,072	41,802	1,034,874	4,736,008	21%
(720,214)	0	(720,214)	6,848,566	-11%
0	0	0	1,170,250	0%
0	11	11	3,297,167	0%
<b>3,327,592</b>	<b>269,718</b>	<b>3,597,309</b>	<b>151,559,951</b>	



Meeting / Date	<b>School Forum</b> <b>16<sup>th</sup> September 2015</b>	
Report Title	<b>Schools Capital Programme</b>	<b>Report No. 03</b>
Report Author/ Contact details	<b>Brendan Wells</b> Head of Service ; Commissioning Capital & Planning, Families, <a href="mailto:brendan.wells@walthamforest.gov.uk">brendan.wells@walthamforest.gov.uk</a> 020 8496 3549	
Appendices	Appendix 1 list of Projects.	

**1. Summary**

- 1.1 The Capital programme supports the Councils adopted strategy for providing additional places and new schools where necessary which includes:
  - (a) Expanding existing high quality schools wherever possible as this is the most cost effective way of providing additional places. Council policy is such that we will only expand good, outstanding and popular schools.
  - (b) Seeking early engagement with those looking to open Free Schools in Waltham Forest and providing them with the most up to date information regarding the need for school places - published on the Council website.
- 1.2 Work to correlate school projections (based on births, migration figures, and housing growth for sites with planning provision) with the councils Growth Agenda (12000 new homes) is ongoing and will be used to inform future strategies.
- 1.3 Work to create a shortlist of possible primary school expansions (using the Primary expansions Matrix and the growth figures) is ongoing and Feasibilities commissioned to look at seven primary schools more closely (3in the north, 3 in the center and one in the south). This work will inform recommendation to the Strategic Asset Management Board (SEAM).
- 1.4 Work to develop 2FE (11-16) Expansion accommodation proposals have commenced at Heathcote Secondary School and Holy Family Catholic Secondary school.

**2. RECOMMENDATIONS**

- 2.1 To Note the information provided in this report.
- 2.2 To Note the information provided in Appendix 1

### 3. The Capital Programme Current and Proposed works (5 year programme to March 2018)

#### 3.1 The Capital Programme Funding Comprises; Basic Need Allocation (BN),

<b>Period</b>	<b>Basic Need allocation</b>
2008-11	16,769,815
2011-12	31,836,288
2012-13	49,920,936
2013-15	36,512,212
2015-16	6,806,658
2016-17	7,146,991
<b>Total</b>	<b>148,992,899</b>

Plus Capital Maintenance, targeted basic need (TBN), universal infant free school meals (UIFSM) and other grant funding to 2018.

Current total projected is circa £204m

Current Year; Projected expenditure is £41m

3.1.1 Previous Basic Need allocations have largely been spent on expanding primary provision, reflecting the urgent need for places plus a small proportion of funding spent on other school needs such as the rolling programme of maintenance and kitchens.

3.1.2 Major Projects in 2015/16 programme are: Willowfield Secondary, Leytonstone Secondary, Mayville PS, Chingford Hall PS and Jenny Hammond PS, capital maintenance programme

3.1.3 Kitchen works (UIFSM) Summer 2015 programme of works has attracted an additional £250k match funding from LBWF Catering Team. Orders have been placed for works at Oakhill, Mission Grove, Davies lane, St. Josephs Infants sch, St. Josephs Junior School, Henry Maynard Junior, Selwyn, Gwyn Jones PS, Coppermill PS. Works at Norlington and Connaught (catering team defined scope/cost).

The overall kitchen improvement budget is £2.5m

3.1.4 Condition Programme Works (Includes Mechanical works (boilers, water, heating etc) and Electrical (M&E), toilet replacement, windows, boilers, roofing, miscellaneous maintenance and repair works).

To achieve value for money the Asset Management And Delivery (AMD) team are procuring two term contractors (2 years plus 1 yr extension option), one for M&E works, One for multi trades (Cabinet approval expected end October).

2015/16 projected expenditure is £3m

#### 4. Capital Programme – Current Delivery Programme

<b>Feasibility &amp; Design</b>
Heathcote Secondary expansion, opening Sept 2017
Holy Families Secondary expansion, opening Sept 2017 (reserve option-Frederick Bremer Secondary expansion, feasibility only)
7 Primary Schools
<b>Procurement</b>
Mayville PS 1FE, places available from Sept 15, phasing issues with School
Chingford Hall PS 1FE, places available from Sept 15
Jenny Hammond PS 1FE, places available from Sept 15
Davies Lane PS Ph 3a (2FE), (delayed as contractor pulled out )
<b>On site</b>
Willowfield Secondary, opening Sept 2015
Leytonstone Secondary, new build opening Sept 2015. Handovers June, Sept 2015; Feb 2016
Kitchen Works (summer works primarily)
Condition Programme Works (majority undertaken in school holidays)

#### 5. BACKGROUND

The Local Authority has a statutory responsibility to ensure that there are sufficient pupil places within the borough. The Capital programme supports the Councils adopted strategy for providing additional places and new schools where necessary to meet this responsibility.

It is apparent that the increase in demand for school places has continued to rise, however strategic expansions have enabled WF to have a surplus of places but this is likely to change due to a combination of rising population, spiralling building costs and lack of available land which is increasing pressure on the Boroughs capacity to meet this demand.

The demand that has successfully been met at key stage 1 and key stage 2 over recent years is now working its way through to Secondary level. The ability to meet this need is much more problematic than at primary level as the scale of the challenge is greater.

##### 5.1 PRIMARY

5.1.1 Since 2007, primary provision has increased by 71 bulge classes and 35FE permanent expansions. This includes provision due to be available from September 2015 (1FE permanent expansions at Chingford Hall, Hillyfield, Jenny Hammond and Mayville primary schools plus Walthamstow Primary Academy opening - a 2FE new free school). However more places will be required by 2017/8. Primary provision is monitored carefully to meet the need to provide school places close to home.

There is now limited capacity to continue to expand existing provision particularly in the South of the Borough. New schools will therefore be required (like the proposed Athena Academy in the south of the borough).

<b>Waltham Forest</b>	<b>2015/16</b>	<b>2016/17</b>	<b>2017/18</b>	<b>2018/19</b>	<b>2019/20</b>	<b>2020/21*</b>
Projected reception intake	3928	3855	3957	4009	4035	4026
Total reception places available	4020 <sup>#</sup>	3990	3990	3990	3990	3990
Surplus/deficit of places	92	135	33	-19	-45	-36
Surplus/deficit of places as FE	0	1	0	-0.5	-1	-1

Please note that these figures are based on known confirmed demand as consolidated on our SCAP returns. The underlying trend is likely to be greater than this due to the projected housing growth. Further modelling of pupil place need plus child yield from the planned housing growth numbers is currently being undertaken

## 5.2 SECONDARY

5.2.1 Additional secondary provision was provided by a 2FE permanent expansion in the Walthamstow area from 2014/15. Additional secondary provision was provided via a Free School (Eden) opening in 2014 offering 100 Yr 7 places and 50 Yr 8 places.

	2014/ 15	2015/ 16	2016/ 17	2017/ 18	2018/ 19	2019/ 20	2020/ 21	2021/ 22
<b>Whole borough</b>								
Projected Year 7 intake	2734	2748	2918	3061	3159	3164	3191	3384
Total Year 7 places available	2838	3078	3198	3198	3198	3198	3198	3198
Surplus/deficit of places	104	330	280	137	39	34	7	-186
Surplus/deficit as FE	3.5	11	9	4.5	1	1	0	-6

5.2.2 If the 8FE Lion Academy Trust (LAT) school does open as early as 2016 as well as the Holy Family and Heathcote expansions in 2017 then there would be a surplus of Year 7 places in the borough until around 2022. (These projections do not allow for the councils growth agenda).

The problem Waltham Forest has is that the Borough has a statutory obligation under government legislation to provide places but we have no certainty or control that the LAT proposal will go forward. If the proposal was not to proceed due to their inability to secure a site, then Waltham Forest could be in breach of its statutory obligation.

If the LAT does go ahead as they are planning then there is potential for the Council to defer the Holy Family and/or Heathcote expansions by a year.

5.3

## 6. CONSULTATION

6.1 SOAB (Schools Organisation and Admissions board) was consulted on the criteria for both the Primary and Secondary Expansions Matrix.

6.2 Reports were taken to SEAM and to Cabinet (October 2014, December 2014, June 2015) and an updated Capital programme will go to Cabinet in November 2015.

### 6.3 Finance, Value for Money and Risk

6.3.1 The delivery programme is managed by the Asset Management and delivery Team (schools) who report regularly to the head of service.

## Schools Forum Sept 15 Capital Programme Report Appendix 01

	School	Description / Scope	Funding Stream	Works Exp. Comp. Date	Project Exp. Comp. Date
<b>Expansions 2015 -2016</b>	Parkside	Bulge Class room refurb.	BN	Aug 15	Sep-15
<b>Expansions 2014 -2015</b>	Heathcote	2FE Expansion	BN	2017	2017
	Holy Family	2FE Expansion	BN	2017	2017
	Frederick Bremer	Feasibility Study.2FE	BN	tbc	
<b>Expansions 2013 -2014</b>	Chingford Hall	1 FE Expansion	BN	2016	2016
	Jenny Hammond	1 FE Expansion	BN	2017	2017
	Mayville	1 FE Expansion	BN	2016	2016
	George Mitchell	1 FE Expansion Final 2 Classrooms	BN	Sep-15	Sep-15
<b>Major Improvements 2013-2014</b>	Norlington Secondary	Condition	BN	tbc	tbc
<b>Expansions 2012-2013</b>	Willowfield Secondary	2FE Expansion	BN	Aug-15	Aug-15
	Barclay Primary	2FE Expansion	TBN	Jan-15	Jan-15
	Thorpe Hall Primary	2FE Expansion	TBN	Apr-15	Apr-15
	Stoneydown Park Primary	2FE Expansion	TBN	Aug-14	Aug-14
	Chapel End Junior Academy	2 Classrooms (bulges)	BN	Aug-14	Aug-14
	Chapel End Junior Academy	Ramp Last Phase of bulges	BN	Aug-15	Aug-15
	Buxton	Pre PSBP – Primary 1FE expansion	BN	Note: Rebuild Planning application just submitted by EFA	

<b>Mobiles</b>	Henry Maynard Mobile	1 Classroom	BN		
<b>Major Improvements 2012-2013</b>	Leytonstone Secondary	Part Rebuild	BN	Apl 16	Apr-16
	Oakhill PS	Windows			
<b>Expansions Phases Pre 2012-2013</b>	Davies Lane Primary	2FE Expansion	TBN	Sep-16	Sep-16
	Newport Primary	1FE expansion	BN	Dec-14	Dec-14
	Mission Grove	2FE Expansion	TBN	Apr-15	Apr-14
	St Mary's CoE Primary	2FE Expansion	BN	Aug-14	Aug-14
	George Tomlinson Primary Sch	2FE Expansion			
	Boiler issues				
<b>Condition Improvements 2014 - 2015</b>					
	The Winns	Boilers	CapMaint	Aug-15	Oct-15
<b>Condition Improvements (Mechanical)</b>	Barncroft	Boilers	CapMaint	Aug-15	Sep-16
	Whitehall	Boilers	CapMaint	Aug-15	Sep-16
	Belmont Park	Boilers	CapMaint	Aug-15	Aug-15
	Longshaw	Boilers	CapMaint	Aug-15	Oct-15
<b>Condition Improvements (Windows)</b>	Henry Maynard Primary	Part school	CapMaint		Jun-15
			CapMaint	Jun-15	
	Jenny Hammond Primary	Part school	CapMaint		Jun-15
			CapMaint	Jun-15	
	Kelmscott Secondary	Part school	CapMaint		Jun-15
			CapMaint	Jun-15	
		Stoneydown Park Primary	Part school	CapMaint	
	Thorpe Hall	Windows	CapMaint	Sep-15	Sep-15
	Leytonstone Secondary	Windows	CapMaint	May-15	May-15

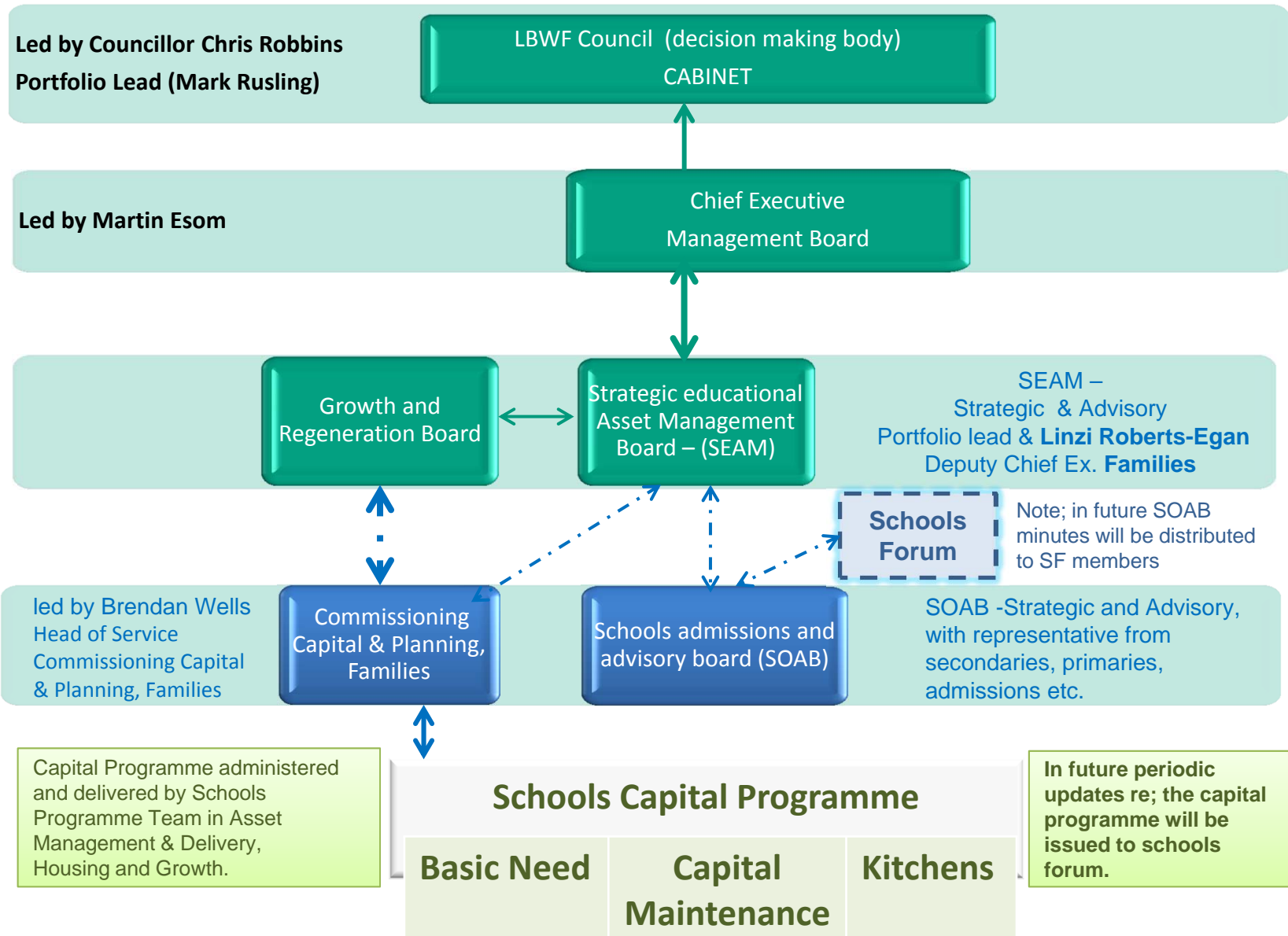
	Davies Lane	Windows	CapMaint	Oct-15	Dec-15
	Dawlish	Windows and Refurb	CapMaint	Apl 16	Apr-16
	Longshaw	Windows and Various	CapMaint	Sep-16	Sep-16
	Chingford CoE	Windows	CapMaint	Oct-16	Oct-15
<b>Condition Improvements (Roof)</b>	Thorpe Hall Primary	Main Roof		Sep-14	Oct-15
			CapMaint	Oct-15	
	Leytonstone Secondary	Part Roof		May-15	May-15
<b>Condition Improvements (Kitchen)</b>	Woodford Green Primary	Electrical	CapMaint	Sep-15	Aug-15
	Green Leaf	Electrical	CapMaint	Sep-15	Aug-15
	Oakhill	Refurbishment	CapMaint	Aug-15	Sep-15
	Selwyn	Asbestos Removal	CapMaint	Aug-15	Sep-15
	Norlington	Kitchen Upgrade	CapMaint	Sep-15	Sep-15
	Gwyn Jones	Kitchen Upgrade	CapMaint	Sep-15	Sep-15
	Connaught	Kitchen Upgrade	CapMaint	Sep-15	Sep-15
	Geo Mitchell	Kitchen Upgrade	CapMaint	Sep-15	Sep-15
	Davies Lane	Kitchen Upgrade	UIFSM	Sep-15	Sep-15
	Mission Grove	Kitchen Upgrade	UIFSM	Sep-16	Oct-15
	Coppermill	Kitchen Upgrade	CapMaint	Sep-15	Sep-15
	St Joseph's Infant and Juniors	Kitchen Upgrade	CapMaint	Sep-15	Sep-15
	Norlington	Kitchen Upgrade			Sep-15
	Henry Maynard	Kitchen Upgrade	CapMaint	Sep-15	Sep-15

	Juniors				
				Aug-14	
	Chingford Hall Primary	Refurb/equip			Aug-14
				Aug-14	
	Longshaw Primary	Refurb/equip			Aug-14
				Aug-14	
	Parkside Primary	Refurb/equip			Aug-14
				Aug-14	
	The Winns Primary	Refurb/equip			Aug-14
				Aug-14	
	Mayville Primary	Refurb/equip			Aug-14
				Aug-14	
	George Mitchell Primary	Refurb/equip			Aug-14
				Aug-14	
	Chapel End Infants	Refurb/equip			Aug-14
				Sep-14	
	St Mary's Catholic Primary	New Kitchen			Sep-14
				Aug-14	
	Handsworth Primary	Equipment			Aug-14
				Aug-14	
	Selwyn Primary	Refurb/equip			Aug-14
				Aug-14	
	Woodford Green Primary	Refurb/equip			Aug-14
				Sep-14	
	Henry Maynard Primary	Refurb/equip			Sep-14
				Aug-14	
	Chingford CoE Infants	Refurb/equip			Aug-14
				Aug-14	
	St Patrick's Catholic Primary	Refurb/equip			Aug-14
				Sep-14	
	Greenleaf Primary School	Refurb/equip			Sep-14
				Aug-14	
	Sybourne Primary School	Refurb/equip			Aug-14
				Aug-14	
	Dawlish Primary School	Refurb/equip			Aug-14
				Sep-14	



	Oakhill Primary	Refurb/equip		Aug-14	Sep-14
				Aug-14	
	Gwyn Jones Primary	Refurb/equip		Aug-14	Aug-14
				Aug-14	
	Whitehall Primary	Refurb/equip		Aug-14	Aug-14
				Aug-14	
	Davies Lane Primary	Refurb/equip			Aug-14
<b>Condition Improvements (Toilets)</b>	Belmont Secondary	Refurb	CapMaint	Sep-15	Oct-15
	Kelmscott	Refurb	CapMaint	Oct-15	Sep-15
	Longshaw	Refurb	CapMaint	Sep-15	Sep-15
	Mission Grove	Refurb	CapMaint	Sep-15	Sep-15
	Whitehall	Refurb	CapMaint	Sep-15	Sep-15
	Greenleaf	Refurb	CapMaint	Sep-15	Sep-15
	Chingford C of E	Refurb	CapMaint	Sep-15	Sep-15
<b>Grant Funded Works e.g TBN</b>	Hillyfield Ph 1 & 2	2FE expansion	BN	Sept 15	Sept 16
	Hillyfield Ph 3	Additional places	TBN	March 16	March 17
	Whitefield & Joseph Clarke	Additional places	TBN	March 16	March 17
	Brookfield House	Additional places	TBN	Complete June 14	June 15

Priority Schools Building Programme					
	Buxton School	Rebuild	BN	Sep-15	Apr-18
	George Mitchell School	Rebuild	BN	Sep-15	Apr-18
	Selwyn Primary School	Rebuild	BN	Sep-15	Apr-18
	Hawkswood PRU	Rebuild	s106	Sep-15	Apr-18
	St Joseph's Infants	Rebuild		Sep-15	???
	Jenny Hammond Primary School		Part Rebuild	Sep-15	Sep-17



LONDON BOROUGH OF WALTHAM FOREST

Meeting / Date	<b>SCHOOLS FORUM</b> <b>16 September 2015</b>	Agenda Item	<b>5</b>
Report Title	<b>Growth Fund Considerations for 2016-17</b>		
Decision/ Discussion/ Information	For Information and decision		
Report Author/ Contact details	<b>Rishi Peetamsingh: Group Accountant-Schools</b> 0208 496 6304 <a href="mailto:rishi.peetamsingh@walthamforest.gov.uk">rishi.peetamsingh@walthamforest.gov.uk</a> Duncan Pike: Strategic Finance Advisor 0208 496 3502 <a href="mailto:duncan.pike@walthamforest.gov.uk">duncan.pike@walthamforest.gov.uk</a>		
Appendices	Appendix A - Current Growth Fund Criteria. Appendix B - Revised Growth Fund 2015-16 Appendix C - Draft allocation of funding 2016-17 Appendix D – Proposed changes to Criteria		

**1. SUMMARY**

- 1.1 In June, Schools Forum agreed to set up a Task and Finish Group of school and academy members to review the rates applied to the local formula factors allowed by regulation and the operation and size of the Growth Fund.
- 1.2 Schools Forum decides on the size and allocation the Growth Fund.
- 1.3 The Group recommends that the existing Growth Fund criteria be retained for existing expansions. For new commitments criteria covering Leadership and Management support and class size protection for bulge classes are revised. This is to ensure affordability and fairness.
- 1.4 The Task and Finish Group recommends limiting the Growth Fund at the 2015-16 level of £3.75 million. This is to ensure that existing commitments are met and that new permanent expansions are adequately resourced to meet the demand for places in the Schools Block.

- 1.5 Parkside primary school will be taking on a bulge class in September 2015. This will require a revision of the Growth fund allocations for 2015-16.

## **2. RECOMMENDATIONS**

### **2.1 For the reasons given in this report Schools Forum is recommended to agree:**

2.1.1 The cap on the Growth Fund is set at **£3.75 million for 2016-17.**

2.1.2 The revised Growth Fund Allocation for 2015-16 as set out in Appendix B.

2.1.3 The existing Growth fund criteria be retained, but with the following amendments:

- Leadership and Management Support will apply for 1FE permanent expansions
- Class size protection for bulge classes will be capped when the shortfall reaches 10 pupils.

**Schools members, academies members and PVI representatives may vote on Recommendations 2.1.1 to 2.1.3**

## **3. REASON**

- 3.1 The Local Authority is required to consult with the Schools Forum regarding any changes to Growth Fund as this affects the total funding available to be delegated to all schools through the Local Funding Formula for 5 to 16 year olds. Schools Forum decides on the size and allocation of Growth Fund.

## **4. BACKGROUND INFORMATION**

4.1 For 2014-15 and 2015-16 the Growth Fund allocation has been set at £3.75 million in each year, with contributions of £2.25 million from DSG balances arising out spending outturns from 2012-13 and 2013-14. A further contribution of £0.75 million is earmarked for 2016-17. These are one-off amounts and cannot be guaranteed to recur in future years.

4.2 These contributions reduce the amount that is removed from the headline DSG funding for Growth before the application of the Local Funding Formula.

- 4.3 From 2015-16, regulations require that the Growth Fund will also finance the expansion of Free Schools from their second year of existence onwards. Schools Forum is recommended to agree to a cap of £3.75 million on the Growth Fund to limit the effect on funding per pupil for all schools in applying the local funding formula.
- 4.4 Parkside school is expected to take a bulge class in September 2015. Although these pupils will be captured by the October 2015 census, funding will not appear until 2016-17. Therefore for the period from September 2015 to March 2016, expenditure will need to be funded from the Growth Fund, which has been revised for this change and shown in Appendix B. This is an additional cost to the Growth fund of just over £80,000 which will be recovered against the 2016-17 growth fund allocation, as it is unfunded in 2015-16.
- 4.5 The present Growth Fund criteria specify Leadership and Management support for schools undergoing expansions of 2 FE or more. The general view at the Task and Finish group was that there is likely to be less flexibility in smaller schools to deal with expansions and perhaps scaled support based on school size will be more appropriate. In addition there is likely to be more 1 FE expansions in the near future. It is proposed to review this provision under both headings.
- 4.6 For bulge classes, the existing criteria provide protection for a minimum class size guarantee of 25 pupils per class or FE. Any actual shortfall at census below 25 pupils will be met from the Growth Fund with additional AWPU funding. This is guaranteed even if the actual shortfall is 24 pupils. The class protection ceases if the numbers in the bulge class falls to zero. The view at the Task and Finish group was that the additional AWPU funding should be capped when the shortfall reaches 10 pupils.
- 4.7 Provisional Growth Fund Allocations are shown at Appendix C and amounts to **£3.25 million**, based on existing criteria and most recent data. Final allocations for 2016-17 will be presented for approval by Schools Forum in January 2016.

## 5. CONSULTATION

- 5.1 Following Schools Forum in September, the LA will consult with schools on proposals to change some aspects of the criteria. The outcome of the consultation will be presented to Schools Forum in November 2015.

## Appendix A: Criteria for the allocation of funding through the Growth Fund 2015-16

---

### Permanent Expansions:

*AWPU and other Pupil Driven factors:*

- Any primary and secondary school and academy undergoing planned expansion e.g. reception classes and at year 7 will be eligible. Payment will be 7/12ths of the annual factor rates for AWPU, FSM6, IDACI, EAL, Low Attainment and Mobility to cover the additional costs incurred between 1 September and 31 March.
- From September 2015 the LA will now fund permanently expanding schools on the actual October Census data averaged for the previous 2 years. Where growth data is not available the LA will use actual NOR + 30 per form of additional entry. New schools will be funded on 30 per form for the first year.
- These figures will then be adjusted to take account of the actual number of pupils in the existing Year 6 on the October School Census. This is done as some schools undergoing permanent expansion previously had bulge classes and there is a need to avoid double funding.
- This will apply to all schools, academies and free schools who are funded through the Schools Block DSG. The table below shows three examples:

**Table 1: Calculation of additional funding for permanently expanding schools**

School	Expansion / new school	Average NOR 2013 and 2014 (where applicable)	Number in Year 6	Additional Funding
Davies Lane	2FE to 4FE	117	64	53
Mayville Primary	2FE to 3FE	86	68	18
Emmanuel Primary	1FE	28	0	28

- These provisional allocations will be adjusted where the actual NOR in the October census is higher than the forecasted figure. It is not proposed to claw back funding if NOR are lower, as schools need a baseline figure with which to work.
- For the next financial year, following admission, funding for the year group will be based on the actual NOR in the October census. Additional funding through the Growth Fund will cease when schools have reached capacity in all year groups. This funding of planned growth in pupil numbers is net of any bulge classes in the outgoing year 6 or year 11.

*Leadership and Management Allowance:*

- Where the planned expansion of the maintained school or academy is by at least 2FE, the Local Authority will provide additional Leadership and Management funding worth £150,000 over the first three financial years in recognition of the increase in management costs associated with a significant school expansion.

### *Expansion onto Second Sites:*

- Where the planned expansion of the maintained school or academy involves a second site, the Local Authority will provide a split-site payment through delegated funding. In addition, the Local Authority will make a tapered payment to such schools in the first few years of expansion on to the second site in recognition of the fact that all the premises costs incurred for utilities, caretaking and cleaning and maintenance are now met solely out of AWPU. In the first few years the additional pupils do not generate sufficient funding to meet these costs. Broadly speaking the average costs (per pupil) have been calculated at £150 per pupil, e.g. 3FE site costs @£94,500 utilities and caretaking cost.
- From April 2015 a revised formula will be used as set out in the table below. This relates to a 2FE expansion but the same method would apply to a 1FE or 3FE expansion on a second site.
  - Applies to any school permanently expanding onto an additional site.
  - An additional payment is made for the first 5 years of the expansion.
  - Additional payment is based upon the capacity of the new site less the planned number of pupils in the expansion e.g. the shortfall in pupils compared with site capacity.
  - Payment will be £150 per pupil to cover premises costs other than rates.

**Table 2: Calculation of funding for planned expansions onto a second site**

<b>Capacity</b>	<b>Year of expansion</b>	<b>Planned number of pupils on site</b>	<b>Shortfall</b>	<b>Funding at £150 per pupil</b>
420	1	60	360	£54,000
420	2	120	300	£45,000
420	3	180	240	£36,000
420	4	240	180	£27,000
420	5	300	120	£18,000

### **Bulge Classes:**

#### *AWPU and other Pupil Driven factors:*

- Any primary and secondary school and academy accepting an additional temporary (bulge) class will be eligible.
- Payment will be 7/12ths of the annual factor rates for AWPU, FSM6, IDACI, EAL, Low Attainment and Mobility to cover the additional costs incurred between 1 September and 31 March.
- Schools and academies will be funded for the planned number of pupils e.g. 30 per extra FE. However from the next financial year, funding for the year group will be based on the actual NOR in the October school census, subject to applying a class size funding guarantee (see below).



*Resources:*

- Where there is only a temporary one-off expansion, the maintained school or academy will receive an extra £200 per pupil towards the cost of additional resources over and above the AWPU and targeted pupil support. This is equivalent to a one-off payment of £6,000 per class.

*Class size guarantee:*

- The Local Authority will give a minimum class size guarantee of AWPU funding for 25 pupils per class or FE. This means that if the actual NOR in the class are only 23 at the time of the October census, the Local Authority will increase AWPU funding as if the actual NOR were 25. This guarantee applies for as long as the bulge class remains within the school. If numbers in the bulge class fall to zero, the class size protection will cease.

**Reception and Key Stage 1 classes:**

- Where as a result of an appeal or the Local Authority asks a school to take a child (e.g. looked-after or with SEN) which takes the number in the reception or Key stage class to over 30, the Local Authority will meet the cost of an additional teacher to keep the class size at 30 or below. Payments will be made termly in arrears based on submitted evidence of costs incurred by the school.

**Good and outstanding schools with surplus places over 20%:**

- Where a school has more than 20% surplus places and has a current Ofsted judgement of good or outstanding and is in an area where the Local Authority expects school rolls to rise within three years, the Local Authority will allocate additional funding according to the following formula:

Number of pupils below 80% capacity x 75% of AWPU rate.

Example: Secondary school with capacity of 900 has 700 on roll in October census.

$$80\% \text{ capacity} = 900 \times 0.8 = 720$$

$$\text{No. of pupils below 80\% capacity} = 720 - 700 = 20$$

AWPU rate is £4,800.

$$75\% \text{ of AWPU rate} = £4,800 \times 0.75 = £3,600$$

$$\text{Funding} = 20 \times £3,600 = £72,000.$$

**Appendix B: Growth Fund Allocations 2015-16 Revised**

Primary Schools	FE Expansion	Pupils - Sept 2015	AWPU	TPS	TPS	L+M	Resources	Class Size Protection	Class size Protection	Second Sites	Total
	A	B	C	D	E	F	G	H	I	J	K
			£ 3,440				£ 6,000	Numbers	£ 3,440		
<b>Permanent Expansions:</b>											
Barclay Primary	2	33.8	£ 116,387	£ 735.27	£ 24,877	£ 50,000				£ 48,750	£ 240,013
Buxton All-through	1	14.9	£ 51,170	£ 993.04	£ 14,771			10	£ 34,400		£ 100,341
Chingford Hall Primary-Start Sept'2015	1	18.1	£ 62,207	£ 1,119.64	£ 20,247						£ 82,453
Davies Lane Primary	2	30.6	£ 105,350	£ 758.09	£ 23,216	£ 50,000		18	£ 61,060	£ 30,750	£ 270,376
Edinburgh Primary	2	2.6	£ 9,030	£ 817.41	£ 2,146			6	£ 20,640		£ 31,816
Emanuel Primary	1	16.3	£ 56,187	£ 942.17	£ 15,389				£ -		£ 71,576
George Mitchell All Through	1	17.2	£ 59,197	£ 1,252.23	£ 21,549			4	£ 13,760		£ 94,505
George Tomlinson Primary	1	20.4	£ 70,233	£ 746.07	£ 15,232			1	£ 3,440		£ 88,906
Gwyn Jones Primary	1	16.9	£ 58,193	£ 526.74	£ 8,911			8	£ 27,520		£ 94,624
Hillyfield Primary	4	64.6	£ 222,071	£ 795.33	£ 51,343	£ 20,000				£ 46,125	£ 339,539
Jenny Hammond-Start Sept'2015	1	20.1	£ 69,230	£ 605.23	£ 12,180						£ 81,410
Mayville Primary-Start Sept'2015	1	10.5	£ 36,120	£ 1,015.62	£ 10,664			30	£ 103,487		£ 150,271
Mission Grove Primary	2	30.6	£ 105,350	£ 921.04	£ 28,207	£ 20,000				£ 16,125	£ 169,682
Newport Primary	1	14.6	£ 50,167	£ 786.15	£ 11,465						£ 61,631
St Marys C of E Primary	1	15.2	£ 52,173	£ 528.52	£ 8,016						£ 60,189
Stoneydown Park Primary	2	35.3	£ 121,403	£ 802.62	£ 28,326	£ 50,000				£ 48,750	£ 248,479
The Woodside	3	31.8	£ 109,363	£ 1,077.04	£ 34,241					£ 46,125	£ 189,729
Thorpe Hall Primary	1	16.6	£ 57,190	£ 660.21	£ 10,976			3	£ 10,320		£ 78,486
Parkside Primary	1	16.9	£ 58,193	£ 837.69	£ 14,171				£ -		£ 72,364
Willow Brook Primary	1	9.3	£ 32,107	£ 1,026.99	£ 9,585			25	£ 84,853		£ 126,545
Winns Primary	1	2.0	£ 6,880	£ 822.75	£ 1,645			11	£ 36,120		£ 44,645
<b>TOTAL</b>	<b>31</b>	<b>438.4</b>	<b>£ 1,508,201</b>		<b>£ 367,157</b>	<b>£ 190,000</b>	<b>£ -</b>		<b>£ 395,600</b>	<b>£ 236,625</b>	<b>£ 2,697,583</b>
<b>Bulge Classes:</b>											
Chapel End Juniors								13	£ 44,720		£ 44,720
Chase Lane Primary								4	£ 13,760		£ 13,760
Coppermill Primary								1	£ 3,440		£ 3,440
Dawlish Primary								2	£ 5,733		£ 5,733
Parkside Primary (Bulge Class-Sept 2015)	1	16.9	£ 58,193	£ 837.69	£ 14,171	£ 6,000					£ 78,364
Riverley Primary								9	£ 30,960		£ 30,960
Roger Ascham Primary								8	£ 27,520		£ 27,520
Selwyn Primary								8	£ 27,520		£ 27,520
Thomas Gamuel Primary								2	£ 7,167		£ 7,167
<b>TOTAL</b>			<b>£ 58,193</b>		<b>£ 14,171</b>		<b>£ 6,000</b>	<b>162</b>	<b>£ 160,820</b>		<b>£ 239,184</b>
<b>Secondary Schools</b>	<b>FE</b>	<b>Pupils</b>	<b>AWPU</b>	<b>TPS</b>	<b>TPS</b>	<b>L+M</b>		<b>School Size Protection</b>	<b>School Size Protection</b>		<b>Total</b>
			£ 4,760					Numbers	£ 3,570		
Highams Park	1	14.0	£ 66,640	£ 600.08	£ 8,401	£ -					£ 75,041
Willowfield	2	33.8	£ 161,047	£ 1,085.89	£ 36,739	£ 50,000					£ 247,786
Eden Girls	3.3	58.3	£ 277,667	£ 1,003.47	£ 58,536	£ -					£ 336,202
The Lammas (falling rolls)								17	£ 60,690		£ 60,690
<b>SECONDARY TOTAL</b>	<b>6.3</b>	<b>106.167</b>	<b>£ 505,353</b>		<b>£ 103,676</b>	<b>£ 50,000</b>		<b>17</b>	<b>£ 60,690</b>		<b>£ 719,719</b>
<b>Sub-Total</b>											<b>£ 3,656,487</b>
<b>Deduct Overspend 2014-15 as per Outturn</b>											<b>£ 174,000</b>
<b>Overspend carried forward to 2016-17</b>											<b>-£ 80,487</b>
<b>Grand Total</b>											<b>£ 3,750,000</b>

Appendix C: Draft Growth Fund allocations 2016-17

Primary Schools	FE Expansion	Pupils - Sept 2016	AWPU	TPS	TPS	L+M	Resources	Class Size Protection	Class size Protection	Second Sites	Total
	A	B	C	D	E	F	G	H	I	J	K
<b>Permanent Expansions:</b>											
Barclay Primary	2	33.3	£ 113,050	£ 778.26	£ 25,877	£ 50,000				£ 39,750	£ 228,677
Buxton All-through	1	11.4	£ 38,675	£ 749.29	£ 8,523			10	£ 34,000		£ 81,198
Chingford Hall Primary	1	17.5	£ 59,500	£ 1,091.87	£ 19,108						£ 78,608
Davies Lane Primary	2	38.2	£ 129,908	£ 799.97	£ 30,565			9	£ 30,600	£ 21,750	£ 212,824
Edinburgh Primary	2	7.3	£ 24,792	£ 800.00	£ 5,833			0	£ -		£ 30,625
Emanuel Primary	1	16.3	£ 55,533	£ 942.17	£ 15,389				£ -		£ 70,922
George Mitchell All Through	1	17.2	£ 58,508	£ 1,009.37	£ 17,370			4	£ 13,600		£ 89,478
George Tomlinson Primary			£ -		£ -			0	£ 1,417		£ 1,417
Gwyn Jones Primary	1	16.9	£ 57,517	£ 588.47	£ 9,955			8	£ 27,200		£ 94,672
Hillyfield Primary	4	63.0	£ 214,200	£ 821.91	£ 51,780					£ 32,625	£ 298,605
Jenny Hammond	1	20.1	£ 68,425	£ 612.03	£ 12,317						£ 80,742
Mayville Primary	1	14.0	£ 47,600	£ 800.00	£ 11,200			10	£ 32,583		£ 91,383
Mission Grove Primary	2	13.1	£ 44,625	£ 829.20	£ 10,883					£ 11,625	£ 67,133
Newport Primary	1	14.0	£ 47,600	£ 781.47	£ 10,941						£ 58,541
St Marys C of E Primary	1	1.8	£ 5,950	£ 563.11	£ 985						£ 6,935
Stoneydown Park Primary	2	32.7	£ 111,067	£ 788.33	£ 25,752	£ 20,000				£ 39,750	£ 196,569
The Woodside	3	17.8	£ 60,492	£ 1,092.40	£ 19,436					£ 32,625	£ 112,552
Thorpe Hall Primary	1	30.6	£ 104,125	£ 686.47	£ 21,023			3	£ 10,200		£ 135,348
Parkside Primary	1	17.8	£ 60,492	£ 201.45	£ 3,584				£ -		£ 64,076
Walthamstow Primary Academy	2	35.0	£ 119,000	£ 800.00	£ 28,000						£ 147,000
Willow Brook Primary	1	10.5	£ 35,700	£ 800.00	£ 8,400			8	£ 25,500		£ 69,600
Winns Primary	1	5.0	£ 16,858	£ 800.00	£ 3,967			3	£ 11,333		£ 32,158
<b>TOTAL</b>	<b>32</b>	<b>433.4</b>	<b>£ 1,473,617</b>		<b>£ 340,888</b>	<b>£ 70,000</b>			<b>£ 186,433</b>	<b>£ 178,125</b>	<b>£ 2,249,063</b>
<b>Bulge Classes Protection:</b>											
Chapel End Juniors								13	£ 44,200		£ 44,200
Chase Lane Primary								4	£ 13,600		£ 13,600
Coppermill Primary								1	£ 3,400		£ 3,400
Dawlish Primary								0	£ -		
Riverley Primary								4	£ 12,750		£ 12,750
Roger Ascham Primary								3	£ 11,333		£ 11,333
Selwyn Primary								8	£ 27,200		£ 27,200
Thomas Gamuel Primary								0	£ -		£ -
<b>TOTAL</b>								<b>88</b>	<b>£ 112,483</b>		<b>£ 112,483</b>
<b>Secondary Schools</b>	<b>FE</b>	<b>Pupils</b>	<b>AWPU</b>	<b>TPS</b>	<b>TPS</b>	<b>L+M</b>		<b>School Size Protection</b>	<b>School Size Protection</b>		<b>Total</b>
			<b>£4,800</b>					<b>Numbers</b>	<b>£ 3,600</b>		
Highams Park	1	14.0	£ 67,200	£ 587.90	£ 8,231	£ -					£ 75,431
Willowfield	2	33.3	£ 159,600	£ 1,155.71	£ 38,427						£ 198,027
Eden Girls	3.3	58.3	£ 280,000	£ 925.50	£ 53,988	£ -					£ 333,988
The Lammas (falling rolls)								17	£ 61,200		£ 61,200
<b>TOTAL</b>	<b>6.3</b>	<b>105.583</b>	<b>£ 506,800</b>		<b>£ 100,645</b>	<b>£ -</b>		<b>17</b>	<b>£ 61,200</b>		<b>£ 668,645</b>
<b>Sub-Total</b>			<b>£ 1,980,417</b>		<b>£ 441,534</b>	<b>£ 70,000</b>			<b>£ 360,117</b>		<b>£ 3,030,192</b>
<b>Overspend 2015-16</b>											<b>£ 80,487</b>
<b>Unallocated funding for 2016-17</b>											<b>£ 139,321</b>
<b>GrandTotal</b>											<b>£ 3,250,000</b>

## Appendix D: Amended Criteria for the allocation of funding through the Growth Fund

---

### Permanent Expansions:

*AWPU and other Pupil Driven factors:*

- Any primary and secondary school and academy undergoing planned expansion e.g. reception classes and at year 7 will be eligible. Payment will be 7/12ths of the annual factor rates for AWPU, FSM6, IDACI, EAL, Low Attainment and Mobility to cover the additional costs incurred between 1 September and 31 March.
- From September 2015 the LA will now fund permanently expanding schools on the actual October Census data averaged for the previous 2 years. Where growth data is not available the LA will use actual NOR + 30 per form of additional entry. New schools will be funded on 30 per form for the first year.
- These figures will then be adjusted to take account of the actual number of pupils in the existing Year 6 on the October School Census. This is done as some schools undergoing permanent expansion previously had bulge classes and there is a need to avoid double funding.
- This will apply to all schools, academies and free schools who are funded through the Schools Block DSG. The table below shows three examples:

**Table 1: Calculation of additional funding for permanently expanding schools**

School	Expansion / new school	Average NOR 2013 and 2014 (where applicable)	Number in Year 6	Additional Funding
Davies Lane	2FE to 4FE	117	64	53
Mayville Primary	2FE to 3FE	86	68	18
Emmanuel Primary	1FE	28	0	28

- These provisional allocations will be adjusted where the actual NOR in the October census is higher than the forecasted figure. It is not proposed to claw back funding if NOR are lower, as schools need a baseline figure with which to work.
- For the next financial year, following admission, funding for the year group will be based on the actual NOR in the October census. Additional funding through the Growth Fund will cease when schools have reached capacity in all year groups. This funding of planned growth in pupil numbers is net of any bulge classes in the outgoing year 6 or year 11.

*Leadership and Management Allowance:*

- Where the planned expansion of the maintained school or academy is by at least **1FE**, the Local Authority will provide additional Leadership and Management funding worth £150,000 over the first three financial years in recognition of the increase in management costs associated with a significant school expansion.

### *Expansion onto Second Sites:*

- Where the planned expansion of the maintained school or academy involves a second site, the Local Authority will provide a split-site payment through delegated funding. In addition, the Local Authority will make a tapered payment to such schools in the first few years of expansion on to the second site in recognition of the fact that all the premises costs incurred for utilities, caretaking and cleaning and maintenance are now met solely out of AWPU. In the first few years the additional pupils do not generate sufficient funding to meet these costs. Broadly speaking the average costs (per pupil) have been calculated at £150 per pupil, e.g. 3FE site costs @£94,500 utilities and caretaking cost.
- From April 2015 a revised formula will be used as set out in the table below. This relates to a 2FE expansion but the same method would apply to a 1FE or 3FE expansion on a second site.
  - Applies to any school permanently expanding onto an additional site.
  - An additional payment is made for the first 5 years of the expansion.
  - Additional payment is based upon the capacity of the new site less the planned number of pupils in the expansion e.g. the shortfall in pupils compared with site capacity.
  - Payment will be £150 per pupil to cover premises costs other than rates.

**Table 2: Calculation of funding for planned expansions onto a second site**

<b>Capacity</b>	<b>Year of expansion</b>	<b>Planned number of pupils on site</b>	<b>Shortfall</b>	<b>Funding at £150 per pupil</b>
420	1	60	360	£54,000
420	2	120	300	£45,000
420	3	180	240	£36,000
420	4	240	180	£27,000
420	5	300	120	£18,000

### **Bulge Classes:**

#### *AWPU and other Pupil Driven factors:*

- Any primary and secondary school and academy accepting an additional temporary (bulge) class will be eligible.
- Payment will be 7/12ths of the annual factor rates for AWPU, FSM6, IDACI, EAL, Low Attainment and Mobility to cover the additional costs incurred between 1 September and 31 March.
- Schools and academies will be funded for the planned number of pupils e.g. 30 per extra FE. However from the next financial year, funding for the year group will be based on the actual NOR in the October school census, subject to applying a class size funding guarantee (see below).

*Resources:*

- Where there is only a temporary one-off expansion, the maintained school or academy will receive an extra £200 per pupil towards the cost of additional resources over and above the AWPU and targeted pupil support. This is equivalent to a one-off payment of £6,000 per class.

*Class size guarantee:*

- The Local Authority will give a minimum class size guarantee of AWPU funding for 25 pupils per class or FE. This means that if the actual NOR in the class are only 23 at the time of the October census, the Local Authority will increase AWPU funding as if the actual NOR were 25. Class size protection for bulge classes will be capped when the shortfall reaches 10 pupils. This guarantee applies for as long as the bulge class remains within the school. If numbers in the bulge class fall to zero, the class size protection will cease.

***Reception and Key Stage 1 classes:***

- Where as a result of an appeal or the Local Authority asks a school to take a child (e.g. looked-after or with SEN) which takes the number in the reception or Key stage class to over 30, the Local Authority will meet the cost of an additional teacher to keep the class size at 30 or below. Payments will be made termly in arrears based on submitted evidence of costs incurred by the school.

**Good and outstanding schools with surplus places over 20%:**

- Where a school has more than 20% surplus places and has a current Ofsted judgement of good or outstanding and is in an area where the Local Authority expects school rolls to rise within three years, the Local Authority will allocate additional funding according to the following formula:

Number of pupils below 80% capacity x 75% of AWPU rate.

Example: Secondary school with capacity of 900 has 700 on roll in October census.

$$80\% \text{ capacity} = 900 \times 0.8 = 720$$

$$\text{No. of pupils below 80\% capacity} = 720 - 700 = 20$$

AWPU rate is £4,800.

$$75\% \text{ of AWPU rate} = £4,800 \times 0.75 = £3,600$$

$$\text{Funding} = 20 \times £3,600 = £72,000.$$

## LONDON BOROUGH OF WALTHAM FOREST

Meeting / Date	<b>SCHOOLS FORUM</b> <b>16 September 2015</b>	Agenda Item	<b>6</b>
Report Title	<b>Report of the High Needs Block Task and Finish Group</b>		
Decision/ Discussion/ Information	For Discussion and Decision		
Report Author/ Contact details	Andrew Beckett, Interim Director for Inclusion, 020 8496 6512 <a href="mailto:andrew.beckett@walthamforest.gov.uk">andrew.beckett@walthamforest.gov.uk</a> Shehwar Sultan, Group Accountant, Schools 020 8496 6322 <a href="mailto:shehwar.sultan@walthamforest.gov.uk">shehwar.sultan@walthamforest.gov.uk</a>		
Appendices	Appendix A: Pack for 8 July meeting Appendix B: Pack for 16 July meeting		

### **1 SUMMARY**

- 1.1 At the meeting of the Schools Forum on 10 June, it was agreed to set up a Task and Finish Group of school and academy members to review the increasing trend in need for Special Educational Needs (SEN) places and consequent pressure on the High Needs Block (HNB).
- 1.2 This report presents the recommendations of the group on the strategic issues relating to notional SEN calculation and demand in specialist places.

### **2 RECOMMENDATIONS**

- 2.1 Schools Forum makes the following recommendations to the Local Authority (LA) when it sets the notional SEN in the Schools Block budgets and the distribution of funding in the High Needs Block for 2016-17, that:
  - 2.1.1 The factors used in the calculation of notional SEN in the local funding formula remain unchanged.
  - 2.1.2 The proportion applied in the calculation of notional SEN on the targeted pupil support factors should remain unchanged in each phase.
  - 2.1.3 The proportion applied in the calculation of notional SEN on the additional pupil support factors remains unchanged in each phase.

- 2.1.4 The LA makes recommendations on the distribution of the High Needs Block based on its awareness of local demand and need for high needs funding.
- 2.1.5 The High Needs Task and Finish Group continues to explore the issue of pupils in mainstream schools with a range of high needs that are not necessarily related to SEN and so not meeting criteria for additional support funding. The Group will formulate a proposal for addressing this issue for consideration by the Schools Forum at the next meeting in November 2015.
- 2.1.6 The High Needs Task and Finish Group continues to hold further meetings to make informed decisions with a view to further considering recommendations for changes to be implemented for 2017-18.

**Schools members, academies members and PVI representatives may vote on Recommendations 2.1.1 to 2.1.5**

### **3 REASON**

- 3.1 The LA proposes and decides on Local Funding Formula (LFF) changes, but Schools Forum must be consulted and governing bodies must be informed of all consultations.
- 3.2 This report presents recommendations from the High Needs Block Task and Finish Group.

### **4 BACKGROUND**

- 4.1 The High Needs Block Task and Finish Group met on 8 and 16 July to consider the following strategic issues impacting upon the funding for SEN and high needs:

- The balance between SEN school based formula funding and centrally retained HNB funding including any impact of Free schools on HNB expenditure
- Forecast need for mainstream based SEN provision (Special Resourced Provision etc) and the balance between this and special school provision
- Review of expenditure on support services and how these could be delivered in the future
- Monitoring and quality assurance processes

**Appendix A** is the initial pack presented to the group, which includes how the Notional SEN is calculated in the Schools Block and compared to London and our statistical neighbours.

**Appendix B** is the follow-up pack, including the prior attainment data.



## **Balance between formula distributed funds (Notional SEN) and HNB funds**

- 4.2 The general trend in statements was tested by requesting officers to produce data showing the number of statements issued and kept in the last 5 years. The Group concluded that the statutory identification of SEN statements has remained stable over the last 5 years. See **Appendix B**.
- 4.3 The Group also analysed the LA's composition factors for determining the notional SEN contribution to schools' budgets and compared these with some neighbouring and statistical neighbour LAs. From this the Group noted that the LA is within the national average of 10% for the overall percentage of formula allocation which is designated as the notional SEN. See **Appendix A**.
- 4.4 The Group analysed trends over the last 5 years of SEND referrals for statements and more recently Education Health and Care Plans (EHCPs). The Group concluded that the identification rates for statutory SEN appear to be high, but may be more in line with national expectations when levels of deprivation are taken into consideration.
- 4.5 As a result of this incomplete analysis the Group concluded that more time is necessary to make changes to funding given that this is the first year since the introduction of Education Health and Care Plans. Also the DfE is currently reviewing the scope for making significant changes to how SEN funding is allocated to LAs and may move towards a formula based funding system in the near future.

## **Forecast need for mainstream based SEN provision (Special Resourced Provision etc) and the balance between this and special school provision**

- 4.6 The Group wanted to be reassured that take up of commissioned specialist places is maximised. Officers presented the analysis of commissioned places against actually filled places over the last 3 years which demonstrated a good level of take-up. The Group felt that the effective use of commissioned places by schools and the LA in special resource provision had reduced the need for more expensive out of borough places.
- 4.7 The Group also analysed data submitted through the schools census. It concluded that SEN spending is well managed and matched with demand. Demand is currently stable.
- 4.8 The Group also felt that more time is needed to focus on SEN and the use of High Needs Block funding. They agreed to recommend to Schools Forum that the Task and Finish Group continues to meet during the 2015/16 school year with a view to making further recommendations to Schools Forum.

## **5 CONSULTATION**

- 5.1 The High Needs Block Task and Finish Group is the initial phase of considering any proposed changes to Notional SEN percentages and distribution of High Needs Block.

Waltham Forest Council

# High Needs Block Task & Finish Group 2016-17 July 2015



# Content

SEN Strategic Issues

DSG Funding of schools

Schools Block and Notional SEN

Notional SEN Benchmarking

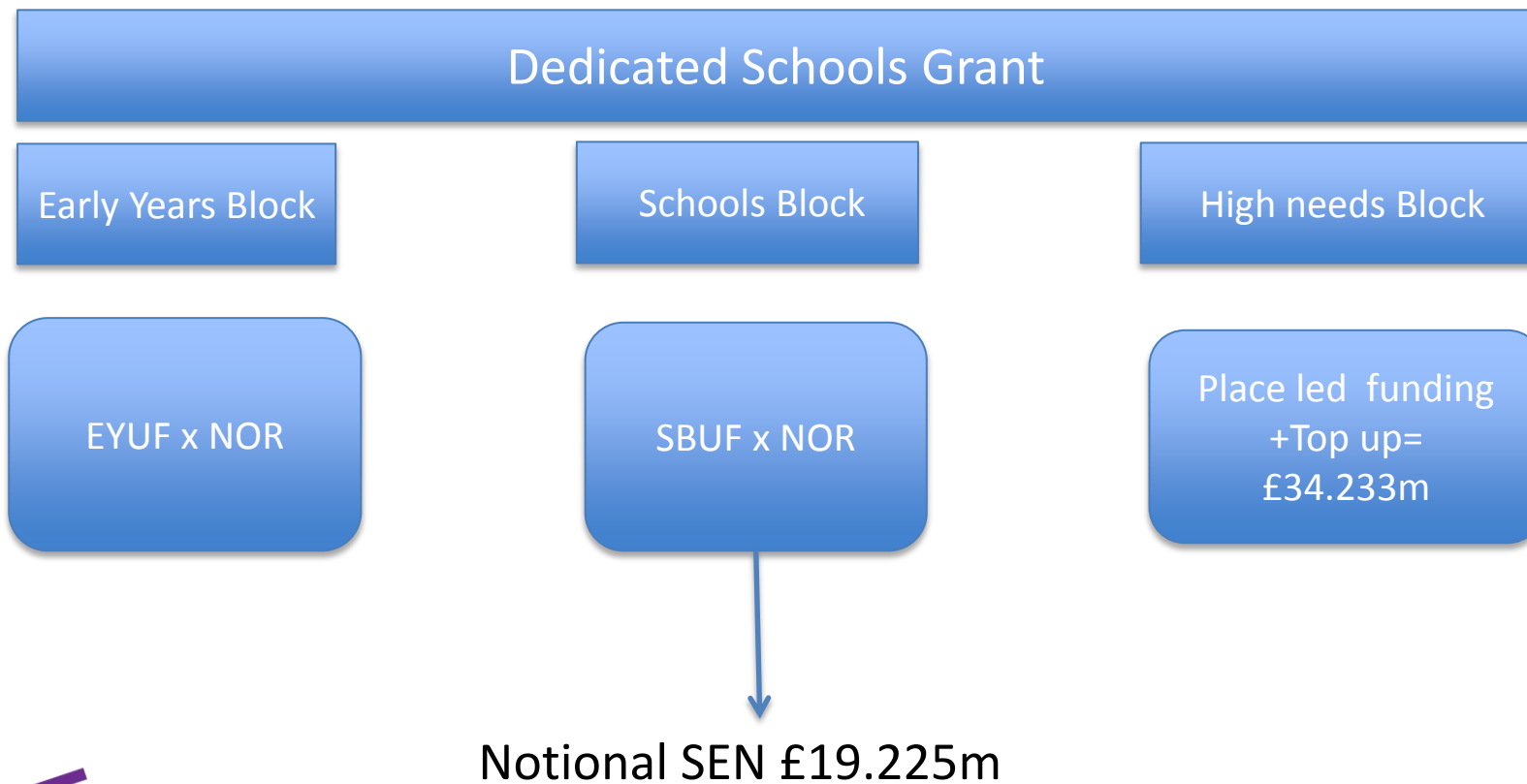
Analysis of High Needs Block

# Strategic Issues

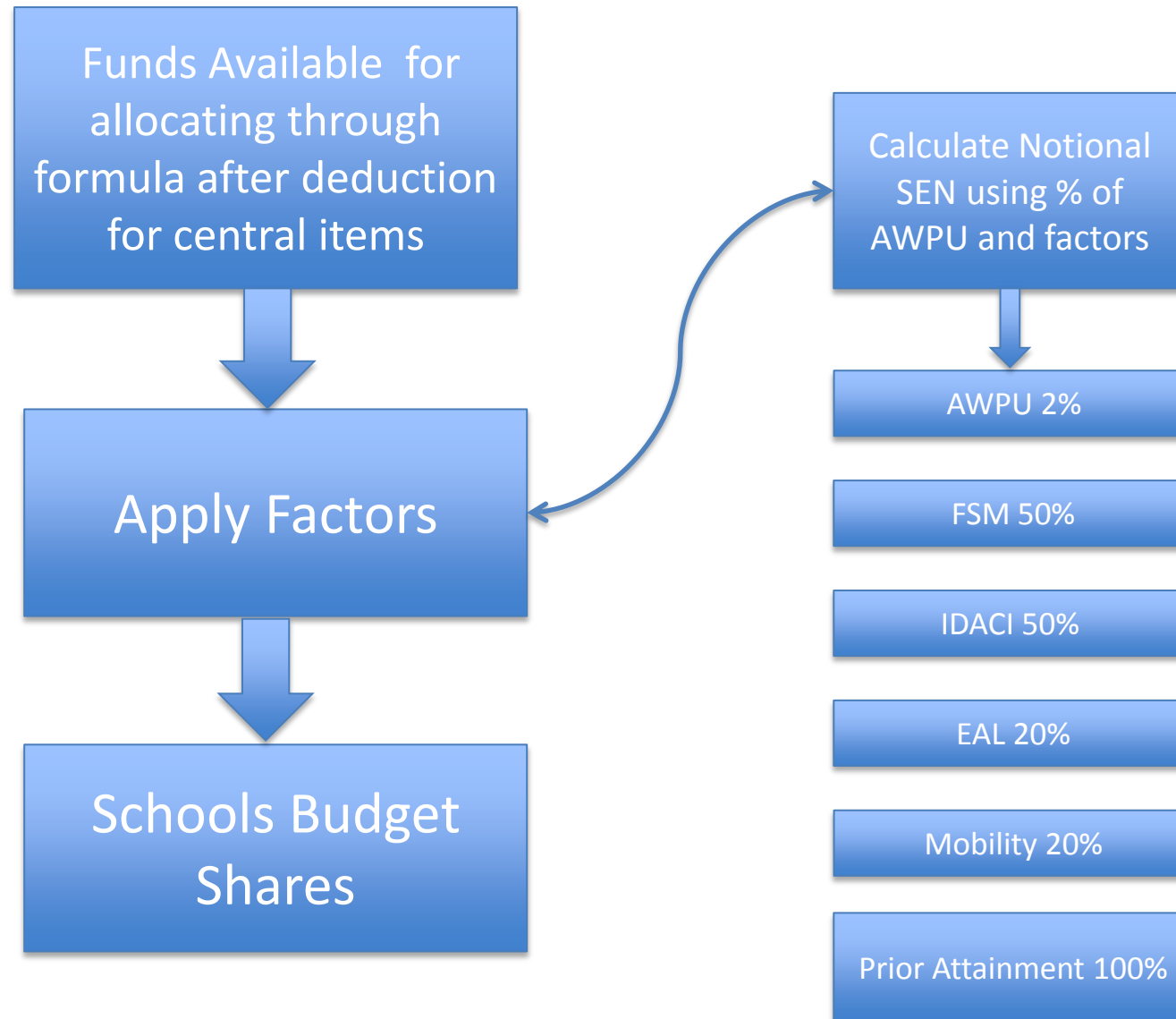
- The balance between SEN school based formula funding and centrally retained HNB funding including any impact of Free schools on HNB expenditure
- Forecast need for mainstream based SEN provision (Special Resourced Provision etc) and the balance between this and special school provision
- Review of expenditure on support services and how these could be delivered in the future
- Monitoring and quality assurance processes

# DSG Funding of Schools

## Department for Education



# Schools Block and Notional SEN



## Schools Block Factor Rates - 5 to 16 Year Olds

### WALTHAM FOREST FUNDING FACTORS AND FUNDING RATES

		Rate (£)		Proportion (%) applied to factors to calculate Notional SEN	Notional SEN Per Qualifying Pupil (£)	
		Primary	Secondary		Primary	Secondary
Basic Entitlement	Per Pupil	3,440.00	4,760.00	2%	68.80	95.20
Deprivation	FSM	600.00	1,180.00	50%	300.00	590.00
Deprivation	IDACI 4	200.00	400.00	50%	100.00	200.00
Deprivation	IDACI 5	500.00	1,000.00	50%	250.00	500.00
Deprivation	IDACI 6	750.00	1,500.00	50%	375.00	750.00
English as an Additional Language	EAL 3	800.00	800.00	20%	160.00	160.00
Mobility		1,000.00	1,000.00	20%	200.00	200.00
Prior Attainment		600.00	600.00	100%	600.00	600.00



# Notional SEN Benchmarking

## Use of Factors: Statistical Neighbours

Neighbours	AWPU	FSM	IDACI	LAC *	EAL	Mobility	Prior Attainment	% of funding allocated to Notional SEN
Haringey	2%	4%	17%				100%	7%
Luton	4%	3%					100%	8%
Greenwich	4%	24%			45%		11%	9%
Enfield	4%	30%	4%		20%	25%	100%	10%
<b>Waltham Forest</b>	2%	50%	50%		20%	20%	100%	10%
Croydon	5%	50%	50%	50%	50%	50%	50%	13%
Lewisham	0%	75%	100%			100%	100%	15%
Birmingham	5%	50%	50%				100%	19%
Brent	2%		100%	100%	50%		100%	20%
Hackney	17%		100%	100%	100%	100%	100%	22%

\* LAC - Waltham Forest do not use LAC

Average 12%

**Waltham Forest**

AWPU	Lowest - same as Brent and Haringey
FSM	Same as Birmingham and Croydon
IDACI	Third lowest followed by Haringey and Enfield
LAC	Waltham Forest do not use
EAL	WF is Lowest
Mobility	Second lowest followed by Enfield
Prior Attainment	Most of the authorities use 100%

# Notional SEN Benchmarking

## Use of Factors: Geographical Neighbours

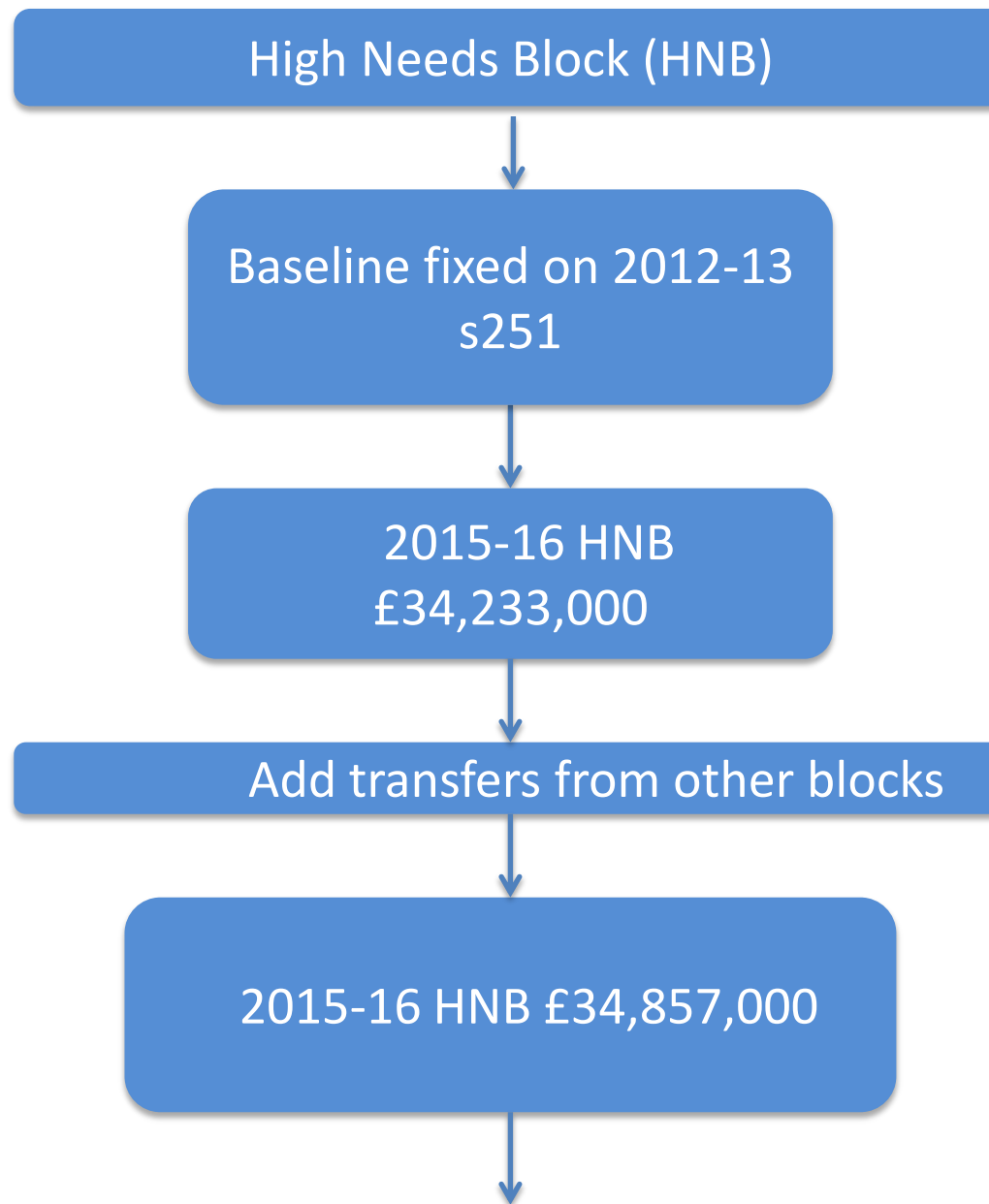
Neighbours	AWPU	FSM	IDACI	LAC *	EAL	Mobility	Prior Attainment	% of funding allocated to Notional SEN
Havering	1%	17%	17%				100%	5%
Haringey	2%	4%	17%				100%	7%
Newham	4%						50%	7%
Barking and Dagenham		50%					100%	7%
Tower Hamlets	3%	50%					100%	9%
Redbridge	1%	72%	72%				100%	10%
<b>Waltham Forest</b>	2%	50%	50%		20%	20%	100%	10%
Camden		100%	100%				100%	20%
Islington	6%	73%	38%			50%	100%	21%
Hackney	17%		100%	100%	100%	100%	100%	22%

\* LAC - Waltham Forest do not use LAC

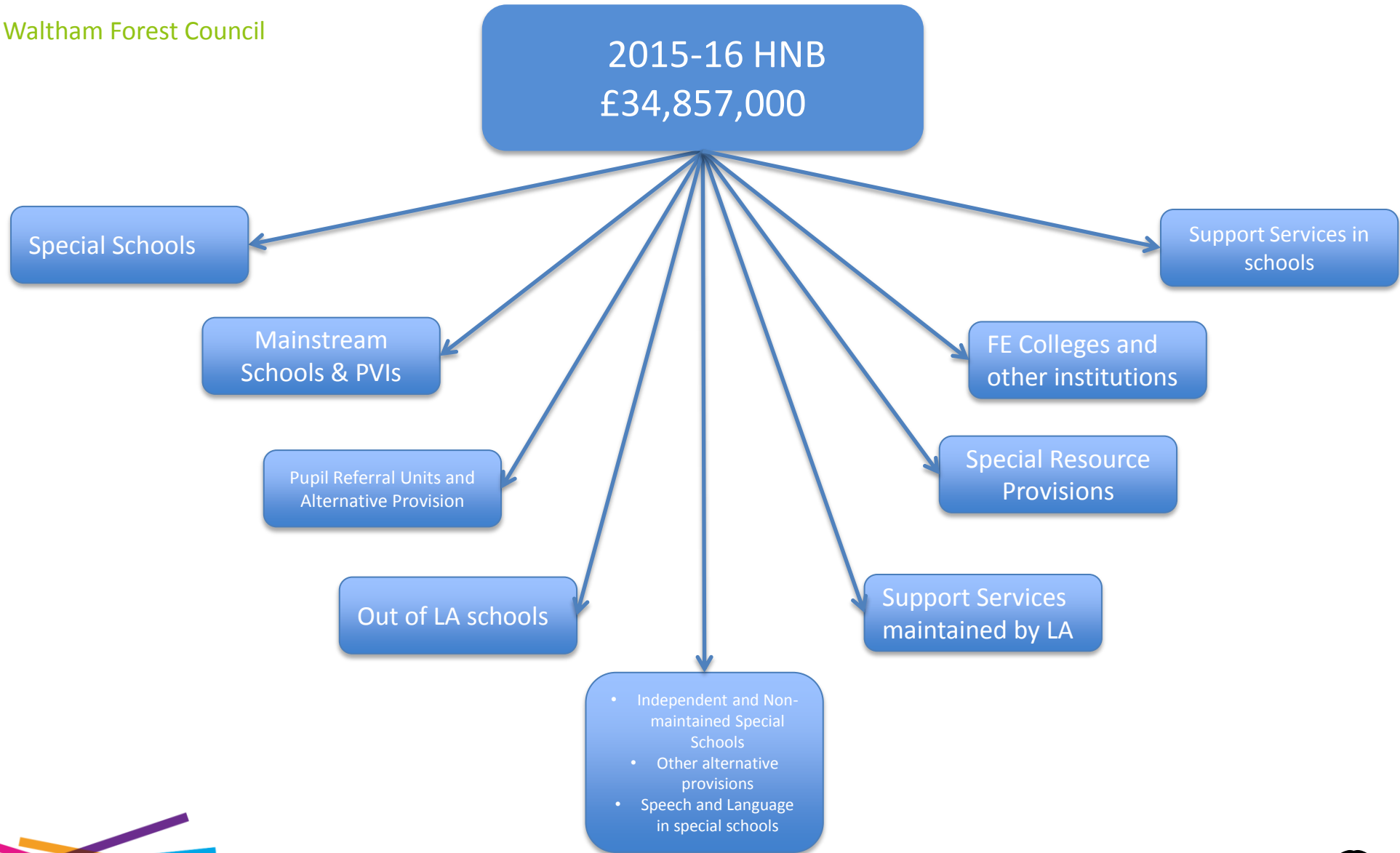
Average 12%

Waltham Forest	
AWPU	Redbridge and Havering use a lower rate of 1%
FSM	Same as B&D and Tower Hamlets
IDACI	
LAC	Waltham Forest do not use
EAL	WF is Lowest
Mobility	Only three Las use Mobility - WF is the Lowest
Prior Attainment	Most of the authorities use 100%

## Analysis of High Needs Block

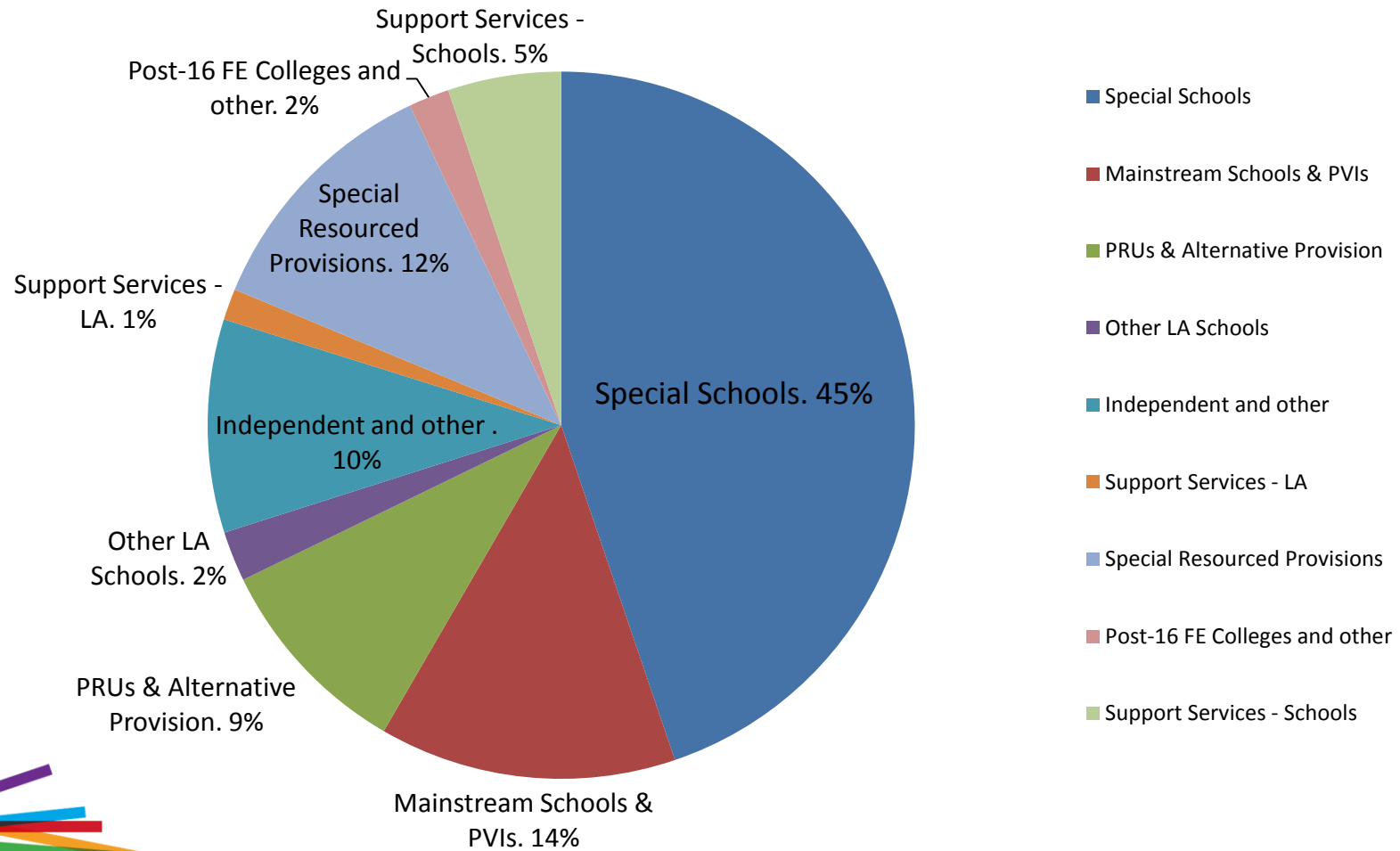


Waltham Forest Council



Waltham Forest Council

2015-16 HNB  
£34,857,000



Support Services - Schools

Type of Need	Provider	£
Physical difficulties	Brookfield House	174,000
Home - Hospital service	Brookfield House	363,000
Learning Difficulties	William Morris	61,000
Visually impaired	Joseph Clarke	199,000
Mobility Officers	Joseph Clarke	50,000
Hearing impaired	Whitefields	390,000
Autism	Whitefields	216,000
		1,453,000

Waltham Forest Council

# High Needs Block Task & Finish Group 2016-17 16 July 2015



# Action points from previous meeting

- Analyse latest trend in statements and EHC plans – Andy
- Prior Attainment and SEN data – Andy
- How was the basket of indicators agreed - Shehwar
- Guidance on the use of notional SEN – Andy/ Shehwar
- Trend analysis: LAC in Waltham Forest – Shehwar
- Trend analysis: Commissioned places against actuals in specialist provisions- Shehwar



# Strategic Issues

- The balance between SEN school based formula funding and centrally retained HNB funding including any impact of Free schools on HNB expenditure
- Forecast need for mainstream based SEN provision (Special Resourced Provision etc) and the balance between this and special school provision
- Review of expenditure on support services and how these could be delivered in the future
- Monitoring and quality assurance processes

## High Needs Task and Finish Group (16 July 2015)

### Three year trend analysis of commissioned places against actual filled places

	Year 13-14				Year 14-15				Year 15-16			
	Comm	Act	Diff	%	Comm	Act	Diff	%	Comm	* Actual to date	Diff	%
<b>Special</b>												
Belmont	58	60	2	104%	60	63	3	105%	60	64	4	106%
Brookfields	84	84	0	100%	91	91	0	100%	96	88	-8	91%
Joseph Clarke	85	85	0	100%	85	89	4	105%	85	96	11	113%
Whitefields	332	331	-1	100%	334	341	7	102%	340	345	5	102%
William Morris	157	132	-24	84%	151	142	-9	94%	146	144	-2	98%
<b>Special Total</b>	<b>716</b>	<b>692</b>	<b>-24</b>	<b>97%</b>	<b>721</b>	<b>726</b>	<b>5</b>	<b>101%</b>	<b>727</b>	<b>737</b>	<b>10</b>	<b>101%</b>
<b>Special Resource Provisions (SRP) in Mainstream Schools</b>												
Whitehall Primary	20	21	2	108%	21	22	1	104%	22	22	0	102%
South Grove Primary School	18	17	-1	94%	18	18	0	100%	18	17	-1	97%
Davies Lane Primary School	18	14	-4	79%	18	17	-1	94%	19	18	-1	97%
Oakhill Primary School	6	3	-3	50%	6	4	-2	74%	6	5	-1	81%
Frederick Bremer	12	11	-1	94%	15	17	2	112%	18	17	-1	95%
Heathcote Secondary School	15	10	-5	68%	15	10	-5	69%	15	9	-6	63%
Buxton School	12	13	1	112%	14	14	1	105%	16	16	0	103%
Highams Park Secondary School	6	3	-3	50%	6	5	-1	76%	6	4	-2	74%
Chingford Foundation Academy	23	19	-4	83%	25	21	-4	86%	25	19	-6	75%
Hillyfield Primary School					4	2	-2	50%	6	4	-2	67%
The Woodside Primary Academy	21	20	0	98%	21	20	-1	95%	21	18	-3	87%
PRU - BESD	6	11	5	183%	10	9	-1	91%	9	5	-4	59%
PRU - Theurapetic									6	4	-2	67%
<b>SRP Total</b>	<b>156</b>	<b>143</b>	<b>-12</b>	<b>92%</b>	<b>172</b>	<b>159</b>	<b>-12</b>	<b>93%</b>	<b>185</b>	<b>159</b>	<b>-26</b>	<b>86%</b>
<b>Grand Total</b>	<b>871</b>	<b>835</b>	<b>-36</b>	<b>96%</b>	<b>893</b>	<b>885</b>	<b>-7</b>	<b>99%</b>	<b>912</b>	<b>896</b>	<b>-16</b>	<b>98%</b>

\* Commissioned : Comm, Actual : Act, Difference : Diff

\* Note: That the actual numbers presented here will be revised in September 2015 with secondary transfers