

Total Membership 25
The Forum is quorate if at least 40% (10) of the members are present



London Borough of Waltham Forest SCHOOLS FORUM

Day/Date/Time	Venue
Wednesday 6 th December 2017 Tea/Coffee and Light Refreshments: 5:00pm Main Meeting: 5:30pm	Council Chambers, 1 st Floor, Waltham Forest Town Hall Forest Road Walthamstow E17 4JF
Contact:	Telephone / Email:
Deborah Chapman Clerk to Schools Forum	meetingsandevents@walthamforest.gov.uk
Maintained Primary Headteacher Representatives (4)	
Jane Harris	Edinburgh Primary
Kate Jennings	Mission Grove
Lindsey Lampard	Chingford CofE Primary
Linda Adair	Henry Maynard Primary School and Nursery
Primary Academies and Primary Free Schools Representatives (4)	
Maureen Okoye (Vice-Chair)	Davies Lane Primary Academy & Selwyn Primary
Lynne Harrowell	Larkwood Primary Academy
Anne Powell	Riverley Primary
Amanda Daoud	Larkwood / Lime Trust
Maintained Primary Governor Representatives (3)	
Cllr Aktar Beg	Edinburgh Primary
Thomas Goodall	Edinburgh Primary
Ruth Boon	St Joseph
Nursery School Representative (1)	
Helen Currie	Church Hill Nursery School and Children's Centre & Low Hall Nursery School and Children's Centre
Maintained Secondary Headteacher Representatives (3)	
Grainne Smyth	Leytonstone School
Lynnette Parvez	Kelmscott School
Shona Ramsay (Chair)	The Lammas School
Secondary Academies and Secondary Free Schools Representatives (3)	
Mark Morrall	Rushcroft / Chingford Foundation
Gareth Cross	Connaught School for Girls
Rob Pittard	Norlington School for Boys
Maintained Secondary Governor Representative (1)	
Ian Moyes	Heathcote School
Special School and Special Academies Representative (1)	
Gary Pocock	Hornbeam Academy
PRU(1)	
Julian Lee	Hawkswood Group
Non School Members (4)	
Early Years Providers	Sarah Kendrick (Redwood Pre-School)
16-19 Providers	Penny Wycherley (Waltham Forest College)
Trade Unions	Steve White (NUT)
Diocesan	Moira Bishop (Brentwood Diocese)

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AGENDA

Agenda Item	Report Name	Report Authors
1.	Welcome all and Apologies	Chair
2.	Declaration of Interest	All
3.	Minutes of the Meeting held on 8 th November 2017	Chair
3a	Matters Arising	Chair / Duncan James-Pike
4.	Local Funding Formula 2018 -2019 (all)	Duncan James-Pike
5.	Central School Support Services Block 2018-2019 (all)	Duncan James-Pike
6.	Growth Fund 2018-2019 (all)	Duncan James-Pike
7.	Services for Maintained Schools 2018-2019 (maintained only)	Duncan James-Pike
8.	Occupational Health Services 2018-2019 (maintained only)	Stuart Petrie
9.	High Needs Block Budget Setting 2018-2019	Andrew Beckett
10.	Early Years Block 2018-2019	Eve McLoughlin
11.	Any Other Business	All
12.	Date of Next Meeting: Wednesday 17 th January 2018 5:30pm (Light refreshments from 5:00pm) Council Chambers, Waltham Forest Town Hall	All

MINUTES OF SCHOOLS FORUM MEETING**Wednesday 8 November 2017****Venue, Waltham Forest Town Hall****5:30 – 6:00pm**

ATTENDEES	CONSTITUENT
Zoey Quinn	Clerk to Schools Forum meetingsandevents@walthamforest.gov.uk 020 8496 8279
Maintained Primary Headteacher Representatives (4)	
Jane Harris	Edinburgh Primary
Kate Jennings	Mission Grove School
Lindsey Lampard	Chingford CofE Primary
Linda Adair	Henry Maynard Primary School and Nursery
Primary Academies and Primary Free Schools Representatives (4)	
Maureen Okoye (Vice-Chair)	Davies Lane Primary Academy & Selwyn Primary Academy
Amanda Daoud	Lime Trust / Larkwood
Lynne Harrowell	Larkwood Primary Academy
Anne Powell	Riverley Primary Academy
Maintained Primary Governor Representatives (2)	
Cllr Akhtar Beg	Edinburgh Primary
Thomas Goodall	Edinburgh Primary
Nursery School Representative (1)	
Helen Currie	Church Hill Nursery School and Children's Centre & Low Hall Nursery School and Children's Centre
Maintained Secondary Headteacher Representatives (3)	
Lynnette Parvez	Kelmscott School
Shona Ramsay (Chair)	The Lammas School and Sixth Form
Grainne Smyth	Leytonstone School
Secondary Academies and Secondary Free School Representatives (3)	
Mark Morrall	Rushcroft / Chingford Foundation
Gareth Cross	Connaught School for Girls

Rob Pittard	Norlington School and Sixth Form
Maintained Secondary Governor Representative (1)	
Ian Moyes	Heathcote School
Special School and Special Academies Representative (1)	
Gary Pocock	Hornbeam Academy
PRU (1)	
Julian Lee	Hawkswood Group
Non-School Representatives (4)	
Sarah Kendrick	Redwood Pre-School – Early Years Provider
Steve White	Trade Unions (NUT)
Penny Wycherley	Waltham Forest College representing the 16-19 Providers Sector
Moira Bishop	Diocesan
LBWF Officers	
Duncan James-Pike	Strategic Finance Advisor – Children and Young People Services
Raina Turner	Head of Finance Families Group (Schools and Education Services)
Hawa Bedwa	Schools, Interim DSG Accountant
Lindsey Jackson	Business Development Consultant
David Kilgallon	Director of Learning & System Leadership
Linzi Roberts-Egan	Deputy chief Executive (Families)
Observers	
Shermaine Lewis	Frederick Bremer School

Minutes

1 Welcome all and Apologies

The Chair welcomed all to the meeting

Clerk received the following apologies:

- Gareth Cross – Connaught School
- Robert Pittard – Norlington School
- Linda Adair – Henry Maynard School
- Moira Bishop - Diocesan
- Anne Powell
- Maureen Okoye

2 Declaration of Interest

There were none

3 Minutes of the last meeting held on Wednesday 11 October 2017

No corrections needed.

3.1 Matters Arising

There were no matters arising

4 High Needs Block Update 2017-18 and Provisional 2018-19 budget

4.1 This report informed School Forum of the following:

- Changes to the High Needs Block (HNB) funding regulations for Financial Year (FY) 2018-19;
- Current expected 2017-18 HNB outturn;
- The draft HNB budget for 2018-19 including expected income within the
- HNB for FY 2018-19 based on indicative numbers under the HNB

4.2 Questions and Comments

- 4.2.1 It is painful to have to make reductions and so the group is keen to make the reductions that have to be made and that it is made equally, so not just one group misses out. The local authority is still looking for another £300,000 savings. There is a broad representation on the SEND group but not FE.
- 4.2.2 Question: With the increase pressure and the basis of increase in provision. Does it have any bearing on income and will this increase?
- 4.2.3 Answer: It is cash flat and we will not get growth unless we bid for it. We have not received it in the past but will continue to bid.
- 4.2.4 Comment: It will bite when thinking of new school provision. In multiple of 10's it does not make a difference but it will cost. Everyone is under pressure across the board and we are looking for some innovation and needing the inclusion team to look at this. Need to look at using resource differently and ensure they are being used and ideas are needed to make these improvements. It will not be enough to cover costs of using the other services as demand increases but it will help.
- 4.2.5 Schools Forum noted the recommended points.

5. Local Funding Formula 2018-19 Update

- 5.1 This report updated Schools Forum on preparation for setting the 2018-19 Schools Budget.
- 5.2 Questions
- 5.2.1 Question: On Model 2 it said it most closely reflects the current funding. If that is where most of the distribution occurs does that mean we are far from what we needed to achieve?
- 5.2.2 Answer: Yes in terms of mirroring the NFF
- 5.2.3 Question: So why are we so far away the national funding?
- 5.2.4 Answer: They give everyone the same lump sum with primary schools receiving the same lump sum than secondary. We have concentrated on deprivation and currently our range is quite flattened. There is a mixture of pros and cons. The national formula makes a difference between key stage 3 and 4 but we as a local authority have not done this. It is a gamble and if the gaining schools are only gaining 3% in 2 years, we still get 0.5% and 0.6% the year after; then when is the national budget going to catch up with us and this is why a number of schools are complaining as it would take years with being given only 3%. This is a red herring for next year.

- 5.2.5 The gamble is where we are now and the indications that the changes would continue so gradually.
- 5.2.6 It was clear that over funded LA would get a reduction budget each year and the under budgets get more. It was said that every school would get .5% every year but it doesn't make a difference to the budget. Even if they kept them flat it would take a while for equalisation.
- 5.2.7 Schools Forum noted the contents of the report.

6. Any Other Business

None.

Meeting adjourned at 18:30

7. Date of Next Meeting:

Wednesday 6 December 2017

5:30pm (Light refreshments from 5:00pm)

Council Chambers, Waltham Forest Town Hall

Schools Forum 8 November 2017

Summary of Decisions

Item 4. High Needs Block Update 2017-18 and Provisional 2018-19 Budget

Schools Forum noted:

- 2.1.1 That for FY 2017-18 a reduction of £0.213 million in income has occurred due to an increased level of Academy recoupment.
- 2.1.2 That the FY 2017-18 projected outturn forecast is £11,000 higher than budgeted.
- 2.1.3 The detailed projected outturn figures in FY 2017-18 as set out in Appendix A to this report.
- 2.1.4 The provisional use of brought forward balances as set out in Appendix B.
- 2.1.5 The draft HNB budget for FY 2018-19 as set out in Appendix C.

Item 5. Local Funding Formula 2018-19 Update

Schools Forum noted

- 2.1 The contents of this report.

Meeting / Date	SCHOOLS FORUM 6 December 2017	Agenda Item	4
Report Title	Local Funding Formula 2018-19		
Decision/Discussion/ Information	For Discussion and Decision by all		
Report Author/ Contact details	Duncan James-Pike, Strategic Finance Advisor, 020 8496 3502 duncan.james-pike@walthamforest.gov.uk		
Appendices	<p>Appendix A: Comparison between the NFF and LFF Appendix A1: Comparison between NFF and LFF factor Rates Appendix A2: Comparison between the product of NFF and LFF factor rates</p> <p>Appendix B: Illustrative models Appendix B1: Headline changes between the models and the LFF factors Appendix B2: Details of the changes between the models and the LFF factors Appendix B3: Headline Impact of varying levels of the MFG on the models Appendix B4: Chart of impact of varying levels of MFG on the models Appendix B5: Comparison of changes to pre-MFG budgets by the models at 0% MFG</p> <p>Appendix C: Operation of the Gains Scale Appendix C1: MFG Redistribution in 2017-18 Appendix C2: MFG Redistribution in Model A1 0% MFG Appendix C3: MFG Redistribution in Model A1 0.5% MFG</p> <p>Appendix D: Consultation Responses</p>		

1. SUMMARY

- 1.1 This report sets out five key decisions for Schools Forum to make in agreeing whether to mandate the Local Authority (LA) to make changes to the local funding formula (LFF).
- 1.2 These decisions require Schools Forum to consider the balance to be struck between the principles of fairness and stability.

2. RECOMMENDATIONS

2.1 Schools Forum to agree that when the Local Authority sets the 2018-19 School Budgets, the LA should aim to:

(i) Make the following changes to the Local Funding Formula factors

- 2.1.1 (a) Add any increase in funding to AWPU; **or**
- (b) Increase Primary lump sum by £10,000 and add any remaining increase in funding to AWPU.
- 2.1.2 Adjust the IDACI ladder to better reflect relative levels of deprivation.
- 2.1.3 Increase the proportion of the budget allocated through Low Prior Attainment by reducing the amount allocated to one or more of the following:
- (a) AWPU;
 - (b) FSM Ever 6;
 - (c) IDACI;
 - (d) EAL;
 - (e) Lump Sum.

(ii) Make the following adjustments to how pupil-led funding is topped-up or clawed-back after the Local Funding Formula has been run:

- 2.1.4 Set the MFG at one of:
- (a) -0%;
 - (b) -0.5%;
 - (c) -1.0%;
 - (d) -1.5%.
- 2.1.5 Set the gains scale so that no school contributes more than
- (a) 3% of its pre-MFG budget; **or**
 - (b) 5% of its pre-MFG budget.

3. REASON

- 3.1 Although LAs are responsible for making the final decisions on the local funding formula, they must engage in open and transparent consultation with all maintained schools and academies in their area, as well as with their Schools Forum, about any proposed changes to the LFF including the method, principles and rules adopted.
- 3.2 Any consultation should include a demonstration of the effect of modelling such changes on individual maintained schools and academies.

4. BACKGROUND

4.1 Consultation Survey

- 4.1.1 A consultation survey was conducted and 26 responses were received: 12 primary; 12 secondary; and 2 others. Respondents identified themselves as 12 Head Teachers / Principals; 4 Governors; 7 School Business Managers; and 3 others. The results are shown in Appendix D.
- 4.1.2 The first consultation question concerned the national funding formula (NFF) and most respondents agreed that the LFF should not be changed to match the NFF.

Q4.	Should the local formula be changed to match the National Formula as far as is practicable?	NO	63%	(15/24)
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- 4.1.3 This supports the view (as previously discussed with the Task and Finish Group and the November Schools Forum) that the LFF and NFF are so divergent in terms of both funding levels and proportions allocated to each factor that the scale of re-distribution through the minimum funding guarantee (MFG) involved in implementing the NFF locally would have little practical effect.
- 4.1.4 The differences between the NFF and LFF factor rates are shown in Appendix A1. The product of these formula factors is shown in Appendix A2: the NFF distributes £14.228 million less than the LFF. This gives an illustration of the scale of protection being applied to Waltham Forest in the 2018-19 NFF.
- 4.1.5 The second consultation question asked about the LFF itself:

Q5.	Is the current balance of funding in the local formula right?	YES	72%	(18/25)
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4.1.6 Although the majority of respondents thought the balance right, ten suggested changes and over half agreed in their later answers with making some changes to the LFF. These suggestions can be seen in Appendix D Question 6.

4.2 Illustrative Models

4.2.1 In order to help address which changes to the LFF could be made; four new illustrative models have been produced. These are attached as Appendix B1 and B2. A school level comparison between the models (at 0% MFG) and the LFF is attached as Appendix B5. Note that Appendix B5 shows the comparison before the MFG and gains cap redistributions.

4.2.2 The new illustrative models use data from the October 2016 census adjusted for filled SRP places being counted as on roll and all assume an additional £1.4 million of funding for 2018-19.

4.2.3 The models illustrate relatively simple changes and relatively modest redistribution through MFG. As demonstrated in the preliminary models reported to Schools Forum in November, the impact of the MFG dampens more fundamental changes. Transparency is compromised when very large sums are transferred from schools contributing to the cost of MFG to schools benefiting from MFG protection.

4.2.4 It is important to note that these are illustrative models only and that the outcome of any formula changes will be dependent on pupil numbers and characteristics in the October 2017 census and estimates for numbers in the growing free schools. The overall numbers on roll in October 2017 will determine the final funding announcement from the ESFA.

4.2.5 The illustrative models have the following features:

- **Model A1: AWPU +1.005**
- **Model A2: AWPU +1.005; plus new IDACI Ladder**
- **Model B1: AWPU +1.002; Primary Lump Sum +£10,000**
- **Model B2: AWPU +1.002; Primary Lump Sum +£10,000; plus new IDACI Ladder**

5. KEY DECISIONS

(i) Changes to the Local Funding Formula factors

5.1 AWPU and Primary Lump Sum

- 5.1.1 The first key decision for Schools Forum is whether to mandate the LA to add any increase in funding to AWPU or to add any increase in funding to AWPU after raising the primary lump sum.
- 5.1.2 Model A1 suggests that adding all the increase in funding to AWPU would raise the primary AWPU by £17.54 per pupil and the secondary AWPU by £23.88 per pupil (0.5%).
- 5.1.3 Model B1 suggests that adding the increase in funding to AWPU after raising the primary lump sum by £10,000 would raise the primary AWPU by £5.65 per pupil and the secondary AWPU by £7.69 per pupil (0.2%).
- 5.1.4 One argument for simply increasing AWPU is that it provides the greatest stability at a time when schools are facing significant unfunded cost pressures. Increasing AWPU should help schools with the largest rolls and perhaps most affected by the increased costs. Counter arguments are that smaller schools may actually find the cost pressures harder to cope with and AWPU does not address the significant costs associated with deprivation and additional educational need.
- 5.1.5 In Waltham Forest's local funding formula (LFF) the Lump Sum is £90,000 for primary and £125,000 for secondary. The national formula (NFF) funds both phases at £119,000. The purpose of the lump sum is to make a contribution to the costs that do not vary with pupil numbers, and to give schools (especially small schools) certainty that they will attract a fixed amount each year in addition to their pupil-led funding.
- 5.1.6 There have been debates at Schools Forum in the past about the merits of increasing the primary lump sum and whether the additional lump sum to secondaries is justified by the secondary curriculum driving higher leadership and management costs. These debates have been in the context of the primary: secondary ratio where the costs of PFI and rates have distorted the headline ratio, but the pupil-led ratio is near average on all comparators.
- 5.1.7 The NFF gives a clear steer that the Lump Sums should be the same for each phase. It is worth noting that of the 30 largest schools in Waltham Forest (using either 580+ pupils including nursery and sixth form or 540+ pupils excluding nursery and sixth form): 2 are all-through, 14 are primary and 14 are secondary. The 14 primaries are all larger than the smallest secondary.

This excludes Eden Girls School which is still growing and is not one of the 30 largest schools.

5.1.8 The response to the consultation question is shown below:

Q9.	Should the Lump Sum for primary schools be the same as for secondary schools?	YES	54% (13/24)
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5.1.9 There are 51 primary schools, so each £5,000 increase in primary lump sum costs £225,000.

5.1.10 Raising the primary lump sum to the NFF level of £119,000 without reducing the secondary lump sum costs £1.479 million, an increase of 32.22% and would absorb almost all the expected growth.

5.1.11 Raising the primary lump sum to £125,000, the same as the secondary lump sum, without reducing the latter, costs £1.785 million, a 38.89% increase and would absorb all the expected growth.

5.1.12 Raising the primary lump sum by £10,000 would cost £510,000, an 11.11% increase. This seems a reasonable step towards parity and still allows growth in AWPU of +0.2% for both phases.

5.1.13 Increasing the primary lump sum by £10,000 would only have a minor impact on the distribution of funding between phases in that in Model A1 the primary: secondary ratio is 1:1.38 whereas in Model B1 the primary: secondary ratio is 1:1.37.

5.2 IDACI

5.2.1 The second key decision for Schools Forum is whether to mandate the LA to adjust the IDACI deprivation factors. The IDACI (Income Deprivation Affecting Children Index) reflects the level of deprivation in the postcode where the pupil lives. The bands run from A to F with Band A representing the most deprived.

5.2.2 In 2016-17, when IDACI bands were updated, Schools Forum agreed that the local £ per pupil rates for the new IDACI bands should be flattened to minimise the disruption to individual school budgets, although the overall funding for deprivation was maintained to protect vulnerable pupils. Schools Forum is asked to reconsider this position.

5.2.3 The response to the consultation question is as follows:

Q7.	Should the values attached to the IDACI funding factors in the local formula be changed so that increasing levels of deprivation attract increasing levels of funding?	YES	76% (19/25)
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5.2.4 Models A2 and B2 have been prepared using a new IDACI ladder. The methodology used was to fix the primary and secondary IDACI budget allocations for each phase (£2.948 million and £6.302 million) and re-allocate them using the weighting applied to each band in the NFF. See Appendix B2 for the proposed new resource ladder.

5.2.5 This follows the principle of protecting vulnerable pupils by maintaining the level of IDACI funding and the principle of fairness by allocating the budget to reflect need.

5.3 Low Prior Attainment

5.3.1 The third key decision for Schools Forum is to mandate the LA to increase funding for Low Prior Attainment.

5.3.2 Pupils who do not achieve the expected level in the Early Years Foundation Stage Profile on entry to primary school and pupils who do not achieve the expected level at key stage 2, whether they narrowly missed the standard or were at the bottom of their cohort, attract additional funding.

5.3.3 The national formula directs more funding through Low Prior Attainment than local formulae including Waltham Forest's formula. The DFE believes it is right that pupils with low prior attainment attract more funding through the NFF because of the strength of evidence of its value as an indicator of educational need, above and beyond deprivation.

5.3.4 The response to the consultation question is as follows:

Q8.	Should the local formula target more funding at Low Prior Attainment?	YES	58%	(15/26)
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5.3.5 If Schools Forum agrees that more funding should be allocated to Low Prior Attainment the funding will need to be diverted from other factors. The choices are between AWPU, FSM Ever 6, IDCAI, EAL and Lump Sum. These options have not been modelled.

5.3.6 The NFF allocates less through AWPU, Ever 6 and EAL than the LFF, but more through IDACI and Lump Sum.

ii) Changes to how pupil-led funding is topped-up or clawed-back after the Local Funding Formula has been run:

5.4 MFG

5.4.1 The fourth key decision for Schools Forum is whether to mandate the LA to set a MFG between 0% and -1.5%. The protection through MFG must be paid for by a gains cap. Each of the four illustrative models has been run at four levels of MFG: 0%; -0.5%; -1%; and -1.5%. See Appendices B3 and B4 for the impact of varying MFG.

5.4.2 The response to the consultation questions are shown below:

Q10.	Should the Minimum Funding Guarantee be increased from -1.5% to reduce any loss in per pupil funding?	YES	78% (18/23)
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Q11.	If Yes, what level should the new minimum funding guarantee be?	-1.0%	41% (7/17)
		-0.5%	18% (3/17)
		0%	41% (7/17)

5.4.3 The MFG is a brake on both budget reductions and budget increases through changes in the pupil-led formula factors themselves or from changes in the characteristics of pupils. In 2017-18, budget reductions for pupil-led funding were limited to -1.5% per pupil (the MFG) and budget increases for pupil-led funding were limited to +1.7% per pupil (the gains cap).

5.4.4 In deciding the MFG level, Schools Forum should consider the balance between stability (putting a brake on any losses in pupil-led funding per pupil) and fairness (allowing changes in pupil characteristics and their funding to be distributed according to need).

5.4.5 The closer to zero the MFG, the more schools need protection and the lower the gains cap. See Appendices B3 and B4. In Model A1 (AWPU +1.005): only one school needs protection at -1.5% MFG; the gains cap is set at 9.67%; and one school contributes their gains above the cap. At 0% MFG: nine schools receive protection; the gains cap is set at 2.60%; and eight schools contribute their gains above the cap.

5.5 Gains Scale

- 5.5.1 The fifth key decision, following on from the decision on the MFG level, is for Schools Forum to consider mandating the LA to adjust the gains scale. The gains scale is the rate at which increases in the pupil-led budget per pupil above the MFG gains cap are clawed back. The LFF has always funded the MFG by clawing back 100% of the increase over the gains cap, but this can be varied.
- 5.5.2 If the gains scale is lowered, the cap lowers, more schools contribute toward the cost of MFG and schools above the cap keep some more of the funding that the LFF has allocated to them. Currently, setting the gains scale at 100% acts as a hard brake on pupil-led budget increases.
- 5.5.3 Through the modelling it became clear that one small school, St Joseph's RC Infants, consistently loses significant amounts of funding to which it would be entitled under the LFF if its gains weren't being dampened by both the gains cap and the 100% gains scale clawback.
- 5.5.4 In the current year 2017-18, St Joseph's RC Infants contributed £73,973 towards the cost of MFG. This is 8.3% of its pre-MFG budget. This is shown in Appendix C1. This seems a disproportionate contribution.
- 5.5.5 An illustration of using different gains scales are shown at Appendix C2 (Model A1 at 0% MFG) and C3 (Model A1 at -0.5% MFG).
- 5.5.6 In Model A1 using a 0% MFG and a 100% gains scale produces a cap of 2.6%. Eight schools contribute towards the cost of MFG with St Joseph's Catholic Infants contributing £58,212 (6.53% of its pre-MFG budget).
- 5.5.7 In contrast, using a 25% gains scale produces a cap of 1.12%. 24 schools contribute towards the cost of MFG with St Joseph's Catholic Infants contributing £17,228 (1.93% of its pre MFG budget).
- 5.5.8 Schools Forum is asked to consider striking a different balance between stability and fairness by setting the gains scale so that no school contributes more than 3% or 5% of its pre-MFG budget.

6. CONSULTATION

- 6.1 Schools Forum received and discussed reports setting out changes to the Schools Block and new powers to vary the LFF (September); an initial model distributing assumed additional funding (October); and five further preliminary models incorporating aspects of the NFF (November).

- 6.3 The Schools Forum Task and Finish Group met to discuss these issues on 30 October and on 2 November, when the five preliminary models were reviewed.
- 6.3 An on-line Survey Monkey consultation was launched on 31 October and closed on 23 November. The consultation was advertised by email to the Schools Forum mailing list; through the School Business Manager network; by a message to Chairs of Governors through Governor Services; and by inclusion in The Hub schools newsletter of 1 November.
- 6.4 In addition, the Secondary Heads Group received a briefing on 15 November.

APPENDIX A

	NFF + ACA			LFF 2017-18			NFF LOWER (-) OR HIGHER (+) THAN LFF				
	PRIMARY £	SECONDARY £	% OF TOTAL	PRIMARY £	SECONDARY £	% OF TOTAL	PRIMARY £	SECONDARY £	% OF TOTAL		
KS1	2,972		72.90%	3,508		75.60%	-536		-2.70%		
KS2	2,972			3,508							
KS3		4,180			4,776					-596	
KS4		4,746			4,776					-30	
Ever 6 FSM	584	849	3.94%	788	1,437	6.91%	-204	61	-2.97%		
IDACI A	622	876	5.16%	80	100	3.18%	542	776	1.98%		
IDACI B	454	649		125	175		329	474			
IDACI C	422	606		225	500		197	106			
IDACI D	390	557		200	500		190	57			
IDACI E	260	422		200	500		60	-78			
IDACI F	216	314		200	500		16	-186			
EAL	557	1,499	1.20%	800	800	3.38%	-243	699	-2.18%		
MOBILITY	1,000	1,000	0.10%	1,000	1,000	0.20%	0	0	-0.10%		
LOW PRIOR ATTAINMENT	1,136	1,677	7.40%	600	600	2.24%	536	1,077	5.16%		
LUMP SUM	119,024	119,024	6.80%	90,000	125,000	3.38%	29,024	-5,976	3.42%		

APPENDIX A

	NFF + ACA			LFF 2017-18			UNITS		
	PRIMARY £	SECONDARY £	% OF TOTAL	PRIMARY £	SECONDARY £	% OF TOTAL	PRIMARY £	SECONDARY £	
KS1 & KS2	2,972		72.90%	3,508		75.60%	24,806		
KS3		4,180			4,776				8,100
KS4		4,746			4,776				5,212
Ever 6 FSM	584	849	3.94%	788	1,437	6.91%	7,372	5,537	
IDACI A	622	876	5.16%	80	100	3.18%	473	356	
IDACI B	454	649			125		175	1,826	1,238
IDACI C	422	606			225		500	1,937	1,202
IDACI D	390	557			200		500	4,856	2,550
IDACI E	260	422			200		500	4,373	2,286
IDACI F	216	314			200		500	5,918	2,966
EAL	557	1,499	1.20%	800	800	3.38%	7,477	933	
MOBILITY	1,000	1,000	0.10%	1,000	1,000	0.20%	310	91	
LOW PRIOR ATTAINMENT	1,136	1,677	7.40%	600	600	2.24%	4,330	3,098	
LUMP SUM	119,024	119,024	6.80%	90,000	125,000	3.38%	51	17	

	NFF + ACA			LFF 2017-18			NFF HIGHER (+) OFR LOWER (-) THAN LFF				
	PRIMARY £	SECONDARY £	TOTAL £	PRIMARY £	SECONDARY £	TOTAL £	PRIMARY £	SECONDARY £	TOTAL £		
KS1 & KS2	73,730,972	0	132,324,960	87,017,694	0	150,597,382	-13,286,722	0	-18,272,422		
KS3	0	33,858,736			0		38,687,176	0		-4,828,440	
KS4	0	24,735,253			0		24,892,512	0		-157,259	
Ever 6 FSM	4,307,239	4,703,498	9,010,737	5,808,822	7,957,283	13,766,105	0	-157,259	-4,755,368		
IDACI A	293,997	312,244	11,409,822	37,803	35,626	8,485,621	256,194	276,618	2,924,201		
IDACI B	829,904	803,575			228,268		216,606	601,636		586,970	
IDACI C	817,301	728,613			435,769		601,223	381,532		127,390	
IDACI D	1,891,448	1,421,112			971,133		1,275,111	920,315		146,002	
IDACI E	1,135,662	964,619			874,630		1,142,925	261,032		-178,306	
IDACI F	1,280,666	930,682			1,183,566		1,482,961	97,100		-552,280	
EAL	4,166,669	1,398,504	5,565,172	5,981,752	746,553	6,728,305	-1,815,083	651,951	-1,163,133		
MOBILITY	309,799	91,000	400,799	309,799	91,000	400,799	0	0	0		
LOW PRIOR ATTAINMENT	4,919,885	5,196,589	10,116,475	2,598,206	1,859,065	4,457,271	2,321,679	3,337,524	5,659,203		
LUMP SUM	6,070,244	2,023,415	8,093,659	4,590,000	2,125,000	6,715,000	1,480,244	-101,585	1,378,659		
TOTAL	99,753,786	77,167,839	176,921,625	110,037,443	81,113,041	191,150,483	-10,283,657	-3,945,202	-14,228,858		

APPENDIX B1: Headline changes between the models and the LFF factors

MODEL A1		
Primary AWPU	+£17.54	+0.5%
Secondary AWPU	+£23.88	+0.5%

MODEL B1		
Primary AWPU	+£5.65	+0.2%
Secondary AWPU	+£7.69	+0.2%
Primary Lump Sum	+£10,000	

MODEL A2		
Primary AWPU	+£17.54	+0.5%
Secondary AWPU	+£23.88	+0.5%
New IDACI Resource Ladder		

MODEL B2		
Primary AWPU	+£5.65	+0.2%
Secondary AWPU	+£7.69	+0.2%
Primary Lump Sum	+£10,000	
New IDACI Resource Ladder		

Appendix B2: Details of the changes between the models and the LFF factors

	LFF		MODEL A1		MODEL A2		MODEL B1		MODEL B2	
	Primary	Secondary	Primary	Secondary	Primary	Secondary	Primary	Secondary	Primary	Secondary
AWPU										
Primary (Years R-6)	£3,508.00		£3,525.54		£3,525.54		£3,513.65		£3,513.65	
Key Stage 3 (Years 7-9)		£4,776.00		£4,799.88		£4,799.88		£4,783.69		£4,783.69
Key Stage 4 (Years 10-11)		£4,776.00		£4,799.88		£4,799.88		£4,783.69		£4,783.69
Deprivation										
FSM6	£788.00	£1,437.00	£788.00	£1,437.00	£788.00	£1,437.00	£788.00	£1,437.00	£788.00	£1,437.00
IDACI Band F	£80.00	£100.00	£80.00	£100.00	£102.29	£205.03	£80.00	£100.00	£102.29	£205.03
IDACI Band E	£125.00	£175.00	£125.00	£175.00	£123.12	£275.55	£125.00	£175.00	£123.12	£275.55
IDACI Band D	£225.00	£500.00	£225.00	£500.00	£184.68	£363.70	£225.00	£500.00	£184.68	£363.70
IDACI Band C	£200.00	£500.00	£200.00	£500.00	£199.84	£395.70	£200.00	£500.00	£199.84	£395.70
IDACI Band B	£200.00	£500.00	£200.00	£500.00	£214.99	£423.78	£200.00	£500.00	£214.99	£423.78
IDACI Band A	£200.00	£500.00	£200.00	£500.00	£294.55	£572.00	£200.00	£500.00	£294.55	£572.00
English as an Additional Language	£800.00	£800.00	£800.00	£800.00	£800.00	£800.00	£800.00	£800.00	£800.00	£800.00
Mobility	£1,000.00	£1,000.00	£1,000.00	£1,000.00	£1,000.00	£1,000.00	£1,000.00	£1,000.00	£1,000.00	£1,000.00
Prior Attainment										
Low Attainment % new EFSP	£600.00		£600.00		£600.00		£600.00		£600.00	
Low Attainment % old FSP 73	£600.00		£600.00		£600.00		£600.00		£600.00	
Secondary low attainment (year 7)		£600.00		£600.00		£600.00		£600.00		£600.00
Secondary low attainment (year 8)		£600.00		£600.00		£600.00		£600.00		£600.00
Secondary low attainment (years 9 to 11)		£600.00		£600.00		£600.00		£600.00		£600.00
Lumpsum	£90,000.00	£125,000.00	£90,000.00	£125,000.00	£90,000.00	£125,000.00	£100,000.00	£125,000.00	£100,000.00	£125,000.00

Changes	MODEL A1		MODEL A2		MODEL B1		MODEL B2	
	Value	%	Value	%	Value	%	Value	%
Primary AWPU	£17.54	0.5%	£17.54	0.5%	£5.65	0.2%	£5.65	0.2%
KS3 AWPU		0.5%	£23.88	0.5%		0.2%	£7.69	0.2%
KS4 AWPU		0.5%	£23.88	0.5%		0.2%	£7.69	0.2%
Primary IDACI								
IDACI Band F			£22.29	27.9%			£22.29	27.9%
IDACI Band E			£-1.88	-1.5%			£-1.88	-1.5%
IDACI Band D			£-40.32	-17.9%			£-40.32	-17.9%
IDACI Band C			£-0.16	-0.1%			£-0.16	-0.1%
IDACI Band B			£14.99	7.5%			£14.99	7.5%
IDACI Band A			£94.55	47.3%			£94.55	47.3%
Secondary IDACI								
IDACI Band F			£105.03	105.0%			£105.03	105.0%
IDACI Band E			£100.55	57.5%			£100.55	57.5%
IDACI Band D			£-136.30	-27.3%			£-136.30	-27.3%
IDACI Band C			£-104.30	-20.9%			£-104.30	-20.9%
IDACI Band B			£-76.22	-15.2%			£-76.22	-15.2%
IDACI Band A			£72.00	14.4%			£72.00	14.4%
Primary Lump Sum					£10,000.00	11.1%	£10,000.00	11.1%

Appendix B3: Headline Impact of varying levels of the MFG on the models

	2017-18 APT			
MFG				-1.5%
GAINS CAP				1.7%
GAIN SCALE				100.0%
REDISTRIBUTES				£418,977
CONTRIBUTE				17
BENEFIT				13

	MODEL A1			
	0%	-0.5%	-1.0%	-1.5%
MFG				
GAINS CAP	2.60%	4.06%	9.22%	9.67%
GAIN SCALE	100.00%	100.00%	100.00%	100.00%
REDISTRIBUTES	£156,567	£59,006	£10,480	£7,273
CONTRIBUTE	8	3	1	1
BENEFIT	9	7	1	1

	MODEL A2			
	0%	-0.5%	-1.0%	-1.5%
MFG				
GAINS CAP	2.58%	3.89%	9.41%	9.85%
GAIN SCALE	100.00%	100.00%	100.00%	100.00%
REDISTRIBUTES	£146,592	£61,227	£10,138	£6,931
CONTRIBUTE	8	4	1	1
BENEFIT	9	6	1	1

	MODEL B1			
	0%	-0.5%	-1.0%	-1.5%
MFG				
GAINS CAP	3.27%	6.30%	10.49%	11.94%
GAIN SCALE	100.00%	100.00%	100.00%	100.00%
REDISTRIBUTES	£140,828	£50,094	£10,331	£0
CONTRIBUTE	7	2	1	0
BENEFIT	12	4	2	0

	MODEL B2			
	0%	-0.5%	-1.0%	-1.5%
MFG				
GAINS CAP	2.97%	6.10%	10.39%	12.08%
GAIN SCALE	100.00%	100.00%	100.00%	100.00%
REDISTRIBUTES	£153,355	£54,151	£11,993	£0
CONTRIBUTE	7	2	2	0
BENEFIT	12	5	2	0

Appendix B5: Comparison of changes to pre-MFG budgets by the models at 0% MFG (models include filled SRP places as on roll)

SRP*	School	APT 2017-18	MODEL A1			MODEL A2			MODEL B1			MODEL B2		
		PRE MFG	PRE MFG	INCREASE £	INCREASE %	PRE MFG	INCREASE £	INCREASE %	PRE MFG	INCREASE £	INCREASE %	PRE MFG	INCREASE £	INCREASE %
	Ainslie Wood Primary School	£1,816,369	£1,823,367	£6,998	0.39%	£1,819,723	£3,354	0.18%	£1,828,622	£12,254	0.67%	£1,824,978	£8,609	0.47%
	Barclay Primary	£4,688,731	£4,707,499	£18,768	0.40%	£4,705,000	£16,268	0.35%	£4,704,775	£16,043	0.34%	£4,702,275	£13,544	0.29%
	Barn Croft Primary	£931,529	£934,791	£3,262	0.35%	£937,021	£5,492	0.59%	£942,579	£11,051	1.19%	£944,809	£13,280	1.43%
17	Buxton School	£7,946,526	£8,068,673	£122,147	1.54%	£8,063,151	£116,625	1.47%	£8,047,418	£100,892	1.27%	£8,041,896	£95,370	1.20%
	Chapel End Infants	£1,367,534	£1,372,200	£4,666	0.34%	£1,374,875	£7,341	0.54%	£1,379,037	£11,502	0.84%	£1,381,712	£14,177	1.04%
	Chapel End Junior Academy	£1,647,710	£1,654,270	£6,560	0.40%	£1,657,401	£9,691	0.59%	£1,659,822	£12,112	0.74%	£1,662,953	£15,244	0.93%
	Chase Lane Primary	£3,167,803	£3,178,976	£11,173	0.35%	£3,172,945	£5,143	0.16%	£3,181,400	£13,598	0.43%	£3,175,370	£7,568	0.24%
	Chingford C of E Primary School	£1,671,598	£1,678,631	£7,034	0.42%	£1,674,773	£3,176	0.19%	£1,683,863	£12,265	0.73%	£1,680,005	£8,407	0.50%
19	Chingford Foundation School	£6,956,076	£7,092,136	£136,060	1.96%	£7,078,224	£122,147	1.76%	£7,072,270	£116,194	1.67%	£7,058,358	£102,282	1.47%
	CHINGFORD HALL PRIMARY	£1,318,454	£1,323,312	£4,859	0.37%	£1,320,900	£2,446	0.19%	£1,330,018	£11,564	0.88%	£1,327,606	£9,152	0.69%
	Connaught School for Girls	£3,562,178	£3,576,578	£14,400	0.40%	£3,588,024	£25,846	0.73%	£3,566,815	£4,637	0.13%	£3,578,262	£16,083	0.45%
	Coppermill Primary School	£1,159,273	£1,163,746	£4,473	0.39%	£1,165,026	£5,753	0.50%	£1,170,713	£11,440	0.99%	£1,171,993	£12,720	1.10%
18	Davies Lane Primary School	£3,182,973	£3,269,673	£86,700	2.72%	£3,270,394	£87,421	2.75%	£3,270,564	£87,590	2.75%	£3,271,284	£88,311	2.77%
	Dawlish Primary School	£926,012	£929,450	£3,438	0.37%	£930,967	£4,955	0.54%	£937,119	£11,107	1.20%	£938,636	£12,624	1.36%
	Downsell Primary School	£2,822,531	£2,831,897	£9,366	0.33%	£2,830,552	£8,021	0.28%	£2,835,547	£13,016	0.46%	£2,834,202	£11,671	0.41%
	Eden Girls' School Waltham Forest	£2,603,672	£2,613,403	£9,731	0.37%	£2,613,923	£10,251	0.39%	£2,606,791	£3,120	0.12%	£2,607,312	£3,640	0.14%
	Edinburgh Primary	£2,863,145	£2,874,055	£10,910	0.38%	£2,874,750	£11,605	0.41%	£2,876,658	£13,513	0.47%	£2,877,353	£14,208	0.50%
	EmmanuelCommunity School	£714,944	£717,518	£2,574	0.36%	£717,860	£2,916	0.41%	£725,773	£10,829	1.51%	£726,115	£11,171	1.56%
21	Frederick Bremer School	£5,943,587	£6,091,924	£148,337	2.50%	£6,098,045	£154,458	2.60%	£6,077,806	£134,219	2.26%	£6,083,927	£140,340	2.36%
	George Mitchell School	£4,935,687	£4,953,878	£18,191	0.37%	£4,964,455	£28,767	0.58%	£4,941,545	£5,858	0.12%	£4,952,121	£16,434	0.33%
	George Tomlinson Primary	£2,357,929	£2,367,278	£9,349	0.40%	£2,368,897	£10,968	0.47%	£2,370,940	£13,010	0.55%	£2,372,559	£14,629	0.62%
	GREENLEAF PRIMARY SCHOOL	£1,903,337	£1,911,107	£7,770	0.41%	£1,915,407	£12,070	0.63%	£1,915,839	£12,502	0.66%	£1,920,139	£16,802	0.88%
	Gwyn Jones Primary	£1,653,103	£1,659,838	£6,735	0.41%	£1,660,818	£7,716	0.47%	£1,665,271	£12,169	0.74%	£1,666,252	£13,149	0.80%
	HANDSWORTH PRIMARY SCHOOL	£1,709,446	£1,716,813	£7,367	0.43%	£1,719,032	£9,586	0.56%	£1,721,818	£12,372	0.72%	£1,724,037	£14,591	0.85%
8	Heathcote Secondary School & Science Colle	£5,731,529	£5,798,417	£66,888	1.17%	£5,771,661	£40,132	0.70%	£5,784,251	£52,721	0.92%	£5,757,494	£25,965	0.45%
	Henry Maynard Primary School	£3,512,877	£3,527,383	£14,506	0.41%	£3,522,995	£10,118	0.29%	£3,527,548	£14,671	0.42%	£3,523,161	£10,283	0.29%
2	Highams Park School	£6,677,562	£6,717,089	£39,526	0.59%	£6,728,391	£50,829	0.76%	£6,697,644	£20,081	0.30%	£6,708,946	£31,384	0.47%
14	Hillyfield Primary Academy	£4,762,750	£4,840,249	£77,499	1.63%	£4,843,457	£80,707	1.69%	£4,837,858	£75,108	1.58%	£4,841,066	£78,316	1.64%
	Holy Family Catholic School	£5,268,187	£5,289,106	£20,919	0.40%	£5,284,750	£16,563	0.31%	£5,274,923	£6,736	0.13%	£5,270,567	£2,380	0.05%
	Kelmscott Secondary School	£5,483,765	£5,504,397	£20,632	0.38%	£5,513,704	£29,939	0.55%	£5,490,408	£6,644	0.12%	£5,499,715	£15,950	0.29%
	Larkswood Primary	£3,065,325	£3,076,884	£11,559	0.38%	£3,073,050	£7,725	0.25%	£3,079,047	£13,722	0.45%	£3,075,213	£9,888	0.32%
	Leytonstone Business and Enterprise Specia	£4,975,284	£4,994,842	£19,558	0.39%	£4,997,671	£22,387	0.45%	£4,981,582	£6,298	0.13%	£4,984,411	£9,127	0.18%
	Longshaw Primary School	£1,720,443	£1,727,248	£6,806	0.40%	£1,721,133	£690	0.04%	£1,732,634	£12,191	0.71%	£1,726,519	£6,076	0.35%
	Mayville Primary School	£1,712,330	£1,718,872	£6,542	0.38%	£1,718,704	£6,374	0.37%	£1,724,436	£12,107	0.71%	£1,724,268	£11,938	0.70%
	Mission Grove Primary School	£3,375,933	£3,389,070	£13,137	0.39%	£3,385,760	£9,827	0.29%	£3,390,163	£14,230	0.42%	£3,386,853	£10,920	0.32%
	Newport School	£3,380,732	£3,394,308	£13,576	0.40%	£3,397,895	£17,163	0.51%	£3,395,103	£14,371	0.43%	£3,398,690	£17,959	0.53%
	Norlington Boys	£3,421,123	£3,433,994	£12,871	0.38%	£3,440,642	£19,519	0.57%	£3,425,268	£4,145	0.12%	£3,431,916	£10,793	0.32%
3	Oakhill Primary	£995,744	£1,011,374	£15,630	1.57%	£1,011,963	£16,218	1.63%	£1,018,580	£22,835	2.29%	£1,019,168	£23,423	2.35%
	Our Lady and St George's Catholic Primary S	£1,810,995	£1,818,257	£7,262	0.40%	£1,816,901	£5,906	0.33%	£1,823,333	£12,338	0.68%	£1,821,978	£10,983	0.61%
	Parkside Primary School	£2,166,898	£2,175,107	£8,209	0.38%	£2,169,362	£2,463	0.11%	£2,179,542	£12,643	0.58%	£2,173,796	£6,898	0.32%
	Riverley Primary School	£1,946,683	£1,953,453	£6,770	0.35%	£1,957,164	£10,481	0.54%	£1,958,863	£12,180	0.63%	£1,962,573	£15,891	0.82%
	Roger Ascham Primary	£2,080,654	£2,088,652	£7,998	0.38%	£2,097,062	£16,408	0.79%	£2,093,229	£12,575	0.60%	£2,101,639	£20,985	1.01%
	Rushcroft Foundation School	£3,566,457	£3,579,950	£13,492	0.38%	£3,575,739	£9,282	0.26%	£3,570,802	£4,344	0.12%	£3,566,592	£134	0.00%
	Selwyn Primary School	£2,592,439	£2,602,700	£10,261	0.40%	£2,604,253	£11,814	0.46%	£2,605,743	£13,304	0.51%	£2,607,296	£14,857	0.57%
13	South Grove Primary	£2,281,120	£2,346,007	£64,887	2.84%	£2,342,535	£61,415	2.69%	£2,350,715	£69,595	3.05%	£2,347,243	£66,123	2.90%
	St Joseph's Catholic Infants	£888,495	£891,582	£3,087	0.35%	£892,562	£4,067	0.46%	£899,489	£10,994	1.24%	£900,469	£11,974	1.35%
	St Mary's Catholic Primary	£927,040	£930,864	£3,824	0.41%	£929,535	£2,495	0.27%	£938,271	£11,231	1.21%	£936,943	£9,903	1.07%
	St. Joseph's Catholic Junior	£1,011,404	£1,015,333	£3,929	0.39%	£1,016,351	£4,947	0.49%	£1,022,669	£11,265	1.11%	£1,023,687	£12,283	1.21%

Appendix B5: Comparison of changes to pre-MFG budgets by the models at 0% MFG (models include filled SRP places as on roll)

SRP*	School	APT 2017-18	MODEL A1			MODEL A2			MODEL B1			MODEL B2		
		PRE MFG	PRE MFG	INCREASE £	INCREASE %	PRE MFG	INCREASE £	INCREASE %	PRE MFG	INCREASE £	INCREASE %	PRE MFG	INCREASE £	INCREASE %
	St. Mary's C of E Primary	£2,281,363	£2,291,168	£9,805	0.43%	£2,291,244	£9,882	0.43%	£2,294,520	£13,157	0.58%	£2,294,597	£13,234	0.58%
	St. Patricks Catholic Primary	£1,825,413	£1,832,587	£7,174	0.39%	£1,832,751	£7,338	0.40%	£1,837,723	£12,310	0.67%	£1,837,887	£12,474	0.68%
	St. Saviour's C of E Primary	£1,542,783	£1,548,852	£6,069	0.39%	£1,549,063	£6,280	0.41%	£1,554,737	£11,954	0.77%	£1,554,948	£12,165	0.79%
	Stoneydown Park Primary	£2,027,763	£2,035,481	£7,718	0.38%	£2,035,567	£7,804	0.38%	£2,040,248	£12,485	0.62%	£2,040,335	£12,572	0.62%
	Sybourn Primary School	£2,649,749	£2,659,764	£10,015	0.38%	£2,657,223	£7,474	0.28%	£2,662,974	£13,225	0.50%	£2,660,432	£10,684	0.40%
	The Jenny Hammond Pri. School	£1,395,385	£1,400,927	£5,543	0.40%	£1,399,403	£4,018	0.29%	£1,407,170	£11,785	0.84%	£1,405,645	£10,260	0.74%
	The Lammas School and Sixth Form	£5,151,345	£5,167,846	£16,501	0.32%	£5,165,842	£14,497	0.28%	£5,156,659	£5,313	0.10%	£5,154,654	£3,309	0.06%
	THE WINNS PRIMARY	£3,581,530	£3,595,544	£14,014	0.39%	£3,600,375	£18,845	0.53%	£3,596,043	£14,513	0.41%	£3,600,874	£19,344	0.54%
16	The Woodside Primary Academy	£4,350,204	£4,439,430	£89,226	2.05%	£4,436,577	£86,374	1.99%	£4,438,192	£87,988	2.02%	£4,435,339	£85,136	1.96%
	THOMAS GAMUEL PRIMARY	£1,846,843	£1,853,859	£7,016	0.38%	£1,854,895	£8,052	0.44%	£1,859,102	£12,259	0.66%	£1,860,138	£13,295	0.72%
	Thorpe Hall Primary	£2,258,840	£2,267,698	£8,858	0.39%	£2,273,290	£14,450	0.64%	£2,271,693	£12,852	0.57%	£2,277,285	£18,444	0.82%
	Walthamstow Academy	£5,534,697	£5,555,783	£21,086	0.38%	£5,540,052	£5,355	0.10%	£5,541,487	£6,790	0.12%	£5,525,756	£-8,942	-0.16%
	Walthamstow Primary Academy	£392,033	£393,274	£1,241	0.32%	£394,339	£2,306	0.59%	£402,432	£10,400	2.65%	£403,498	£11,465	2.92%
	WALTHAMSTOW SCHOOL FOR GIRLS	£5,488,850	£5,510,246	£21,396	0.39%	£5,526,266	£37,416	0.68%	£5,495,739	£6,890	0.13%	£5,511,759	£22,910	0.42%
16	Whitehall Primary School	£1,838,182	£1,912,474	£74,292	4.04%	£1,906,291	£68,109	3.71%	£1,917,467	£79,285	4.31%	£1,911,285	£73,103	3.98%
	Whittingham Primary Academy	£1,902,015	£1,909,294	£7,279	0.38%	£1,914,979	£12,964	0.68%	£1,914,359	£12,344	0.65%	£1,920,043	£18,029	0.95%
	Willow Brook Primary Academy	£2,228,629	£2,237,049	£8,419	0.38%	£2,238,776	£10,146	0.46%	£2,241,340	£12,711	0.57%	£2,243,067	£14,438	0.65%
	Willowfield Humanities College	£4,920,501	£4,938,865	£18,364	0.37%	£4,935,751	£15,250	0.31%	£4,926,414	£5,913	0.12%	£4,923,301	£2,800	0.06%
	Woodford Green Primary	£901,836	£905,274	£3,438	0.38%	£903,372	£1,536	0.17%	£912,943	£11,107	1.23%	£911,041	£9,205	1.02%
	Yardley Primary	£1,854,465	£1,862,288	£7,823	0.42%	£1,861,723	£7,258	0.39%	£1,866,984	£12,519	0.68%	£1,866,419	£11,954	0.64%

* **Note**
Models include these filled SRP places as on roll
2017-18 APT treated these as off-roll

Appendix C1: MFG Redistribution in 2017-18

2017-18 APT

MFG	1.5%
GAINS CAP	1.7%
GAIN SCALE	100.0%
REDISTRIBUTES	£418,977
CONTRIBUTE	17
BENEFIT	13

CONTRIBUTORS BY VALUE	£	% of pre-MFG budget
St Joseph's Catholic Infants	-£73,973	-8.3%
Chapel End Infants	-£59,740	-4.4%
Chase Lane Primary	-£47,225	-1.5%
Chingford C of E Primary School	-£46,062	-2.8%
Chingford Foundation School	-£44,924	-0.6%
Barclay Primary	-£23,981	-0.5%
St Mary's Catholic Primary	-£23,852	-2.6%
Parkside Primary School	-£17,370	-0.8%
HANDSWORTH PRIMARY SCHOOL	-£17,315	-1.0%
Stoneydown Park Primary	-£17,051	-0.8%
Yardley Primary	-£15,136	-0.8%
Dawlish Primary School	-£11,425	-1.2%
Riverley Primary School	-£4,798	-0.2%
THOMAS GAMUEL PRIMARY	-£4,673	-0.3%
Our Lady and St George's Catholic Primary School	-£4,531	-0.3%
South Grove Primary	-£3,568	-0.2%
St. Joseph's Catholic Junior	-£3,355	-0.3%

CONTRIBUTORS BY %	£	% of pre-MFG budget
St Joseph's Catholic Infants	-£73,973	-8.3%
Chapel End Infants	-£59,740	-4.4%
Chingford C of E Primary School	-£46,062	-2.8%
St Mary's Catholic Primary	-£23,852	-2.6%
Chase Lane Primary	-£47,225	-1.5%
Dawlish Primary School	-£11,425	-1.2%
HANDSWORTH PRIMARY SCHOOL	-£17,315	-1.0%
Stoneydown Park Primary	-£17,051	-0.8%
Yardley Primary	-£15,136	-0.8%
Parkside Primary School	-£17,370	-0.8%
Chingford Foundation School	-£44,924	-0.6%
Barclay Primary	-£23,981	-0.5%
St. Joseph's Catholic Junior	-£3,355	-0.3%
THOMAS GAMUEL PRIMARY	-£4,673	-0.3%
Our Lady and St George's Catholic Primary School	-£4,531	-0.3%
Riverley Primary School	-£4,798	-0.2%
South Grove Primary	-£3,568	-0.2%

BENEFITERS BY VALUE	£	% of pre-MFG budget
St. Saviour's C of E Primary	£1,375	0.1%
Newport School	£2,614	0.1%
Mayville Primary School	£5,267	0.3%
Coppermill Primary School	£16,090	1.4%
Whittingham Primary Academy	£16,801	0.9%
EmmanuelCommunity School	£19,400	2.7%
George Mitchell School	£28,140	0.6%
Edinburgh Primary	£28,681	1.0%
St. Mary's C of E Primary	£31,354	1.4%
Davies Lane Primary School	£53,445	1.7%
Norlington Boys	£56,743	1.7%
The Lammas School and Sixth Form	£57,494	1.1%
Buxton School	£101,573	1.3%

BENEFITERS BY %	£	% of pre-MFG budget
EmmanuelCommunity School	£19,400	2.7%
Davies Lane Primary School	£53,445	1.7%
Norlington Boys	£56,743	1.7%
Coppermill Primary School	£16,090	1.4%
St. Mary's C of E Primary	£31,354	1.4%
Buxton School	£101,573	1.3%
The Lammas School and Sixth Form	£57,494	1.1%
Edinburgh Primary	£28,681	1.0%
Whittingham Primary Academy	£16,801	0.9%
George Mitchell School	£28,140	0.6%
Mayville Primary School	£5,267	0.3%
St. Saviour's C of E Primary	£1,375	0.1%
Newport School	£2,614	0.1%

MFG	0%
GAINS CAP	2.60%
GAIN SCALE	100.00%
REDISTRIBUTES	£156,567
CONTRIBUTE	8
BENEFIT	9

0%
2.34%
75.00%
£156,567
9
9

0%
1.85%
50.00%
£156,567
11
9

0%
1.12%
25.00%
£156,567
24
9

St Joseph's Catholic Infants	-£58,212	-6.53%
Whitehall Primary School	-£28,964	-1.51%
Mayville Primary School	-£22,093	-1.29%
Chingford C of E Primary School	-£13,090	-0.78%
South Grove Primary	-£11,857	-0.51%
Walthamstow Primary Academy	-£11,217	-2.85%
St Mary's Catholic Primary	-£6,645	-0.71%
Chingford Foundation School	-£4,490	-0.06%

St Joseph's Catholic Infants	-£45,062	-5.05%
Whitehall Primary School	-£25,022	-1.31%
Mayville Primary School	-£19,605	-1.14%
Chingford Foundation School	-£16,493	-0.23%
South Grove Primary	-£12,947	-0.55%
Chingford C of E Primary School	-£12,797	-0.76%
Frederick Bremer School	-£9,123	-0.15%
Walthamstow Primary Academy	-£8,957	-2.28%
St Mary's Catholic Primary	-£6,562	-0.70%

St Joseph's Catholic Infants	-£31,675	-3.55%
Chingford Foundation School	-£26,277	-0.37%
Whitehall Primary School	-£20,522	-1.07%
Frederick Bremer School	-£18,675	-0.31%
Mayville Primary School	-£16,603	-0.97%
South Grove Primary	-£13,352	-0.57%
Chingford C of E Primary School	-£12,000	-0.71%
Walthamstow Primary Academy	-£6,606	-1.68%
St Mary's Catholic Primary	-£6,212	-0.67%
The Woodside Primary Academy	-£4,310	-0.10%
Chase Lane Primary	-£334	-0.01%

Chingford Foundation School	-£26,144	-0.37%
Frederick Bremer School	-£20,054	-0.33%
St Joseph's Catholic Infants	-£17,228	-1.93%
Whitehall Primary School	-£13,529	-0.71%
Mayville Primary School	-£11,309	-0.66%
South Grove Primary	-£10,693	-0.46%
The Woodside Primary Academy	-£10,330	-0.23%
Chingford C of E Primary School	-£8,952	-0.53%
Heathcote Secondary School & Science College	-£7,915	-0.14%
Hillyfield Primary Academy	-£6,241	-0.13%
Chase Lane Primary	-£5,788	-0.18%
St Mary's Catholic Primary	-£4,670	-0.50%
Walthamstow Primary Academy	-£3,843	-0.98%
Davies Lane Primary School	-£1,853	-0.06%
HANDSWORTH PRIMARY SCHOOL	-£1,637	-0.10%
Dawlish Primary School	-£1,362	-0.15%
Chapel End Infants	-£999	-0.07%
Yardley Primary	-£824	-0.04%
Longshaw Primary School	-£756	-0.04%
Stoneydown Park Primary	-£683	-0.03%
Selwyn Primary School	-£584	-0.02%
Parkside Primary School	-£570	-0.03%
Oakhill Primary	-£552	-0.05%
Eden Girls' School Waltham Forest	-£49	0.00%

Thorpe Hall Primary	£5,675	0.25%
George Tomlinson Primary	£9,257	0.39%
Coppermill Primary School	£9,705	0.83%
Whittingham Primary Academy	£9,786	0.51%
EmmanuelCommunity School	£16,894	2.35%
Mission Grove Primary School	£17,141	0.51%
Edinburgh Primary	£20,749	0.72%
St. Mary's C of E Primary	£21,737	0.95%
The Lammas School and Sixth	£45,622	0.88%

Thorpe Hall Primary	£5,675	0.25%
George Tomlinson Primary	£9,257	0.39%
Coppermill Primary School	£9,705	0.83%
Whittingham Primary Academy	£9,786	0.51%
EmmanuelCommunity School	£16,894	2.35%
Mission Grove Primary School	£17,141	0.51%
Edinburgh Primary	£20,749	0.72%
St. Mary's C of E Primary	£21,737	0.95%
The Lammas School and Sixth Form	£45,622	0.88%

Thorpe Hall Primary	£5,675	0.25%
George Tomlinson Primary	£9,257	0.39%
Coppermill Primary School	£9,705	0.83%
Whittingham Primary Academy	£9,786	0.51%
EmmanuelCommunity School	£16,894	2.35%
Mission Grove Primary School	£17,141	0.51%
Edinburgh Primary	£20,749	0.72%
St. Mary's C of E Primary	£21,737	0.95%
The Lammas School and Sixth Form	£45,622	0.88%

Thorpe Hall Primary	£5,675	0.25%
George Tomlinson Primary	£9,257	0.39%
Coppermill Primary School	£9,705	0.83%
Whittingham Primary Academy	£9,786	0.51%
EmmanuelCommunity School	£16,894	2.35%
Mission Grove Primary School	£17,141	0.51%
Edinburgh Primary	£20,749	0.72%
St. Mary's C of E Primary	£21,737	0.95%
The Lammas School and Sixth Form	£45,622	0.88%

MFG	-0.5%
GAINS CAP	4.06%
GAIN SCALE	100.00%
REDISTRIBUTES	£59,006
CONTRIBUTE	3
BENEFIT	7

-0.5%
3.59%
75.00%
£59,006
4
7

-0.5%
3.00%
50.00%
£59,006
7
7

-0.5%
2.21%
25.00%
£59,006
9
7

St Joseph's Catholic Infants	-£47,678	-5.35%
Walthamstow Primary Academy	-£7,125	-1.81%
Whitehall Primary School	-£4,203	-0.22%

St Joseph's Catholic Infants	-£38,345	-4.30%
Whitehall Primary School	-£9,233	-1.61%
Walthamstow Primary Academy	-£6,348	-0.48%
Mayville Primary School	-£5,079	-0.30%

St Joseph's Catholic Infants	-£27,691	-3.11%
Whitehall Primary School	-£11,156	-1.29%
Mayville Primary School	-£7,987	-0.58%
Walthamstow Primary Academy	-£5,059	-0.46%
Chingford C of E Primary School	-£3,541	-0.21%
South Grove Primary	-£1,841	-0.19%
St Mary's Catholic Primary	-£1,731	-0.08%

St Joseph's Catholic Infants	-£15,255	-1.71%
Whitehall Primary School	-£8,891	-0.78%
Chingford Foundation School	-£7,689	-0.46%
Mayville Primary School	-£7,041	-0.41%
South Grove Primary	-£4,992	-0.28%
Frederick Bremer School	-£4,846	-0.26%
Chingford C of E Primary School	-£4,763	-0.21%
Walthamstow Primary Academy	-£3,077	-0.11%
St Mary's Catholic Primary	-£2,451	-0.08%

Whittingham Primary Academy	£700	1.91%
Mission Grove Primary School	£899	0.47%
Coppermill Primary School	£4,440	0.41%
Edinburgh Primary	£7,394	0.38%
St. Mary's C of E Primary	£10,665	0.26%
EmmanuelCommunity School	£13,687	0.04%
The Lammas School and Sixth Form	£21,220	0.03%

Whittingham Primary Academy	£700	1.91%
Mission Grove Primary School	£899	0.47%
Coppermill Primary School	£4,440	0.41%
Edinburgh Primary	£7,394	0.38%
St. Mary's C of E Primary	£10,665	0.26%
EmmanuelCommunity School	£13,687	0.04%
The Lammas School and Sixth Form	£21,220	0.03%

Whittingham Primary Academy	£700	1.91%
Mission Grove Primary School	£899	0.47%
Coppermill Primary School	£4,440	0.41%
Edinburgh Primary	£7,394	0.38%
St. Mary's C of E Primary	£10,665	0.26%
EmmanuelCommunity School	£13,687	0.04%
The Lammas School and Sixth Form	£21,220	0.03%

Whittingham Primary Academy	£700	1.91%
Mission Grove Primary School	£899	0.47%
Coppermill Primary School	£4,440	0.41%
Edinburgh Primary	£7,394	0.38%
St. Mary's C of E Primary	£10,665	0.26%
EmmanuelCommunity School	£13,687	0.04%
The Lammas School and Sixth Form	£21,220	0.03%

Appendix D Consultation Results

Q1.	Please give your name	26 Responses
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Q2.	Please say which school you are representing	Primary	12
		Secondary	12
		Other	2

Q3.	Please enter in which capacity you are completing the survey	Head Teacher / Principal	12
		Governor	4
		School Business Manager	7
		Other	3

Q4.	Should the local formula be changed to match the National Formula as far as is practicable?	NO	63%	(15/24)
Q5.	Is the current balance of funding in the local formula right?	YES	72%	(18/25)

Q6.	If the current local balance of funding is not right, what balance would be better?
<ul style="list-style-type: none"> • There should be a gradual increase in the low prior attainment and IDACI proportion to ensure Fairness. This could be achieved by reducing lump sum in line with the other increases.(2 respondents) • National proportion for premises is way too low for PFI schools in London who have no control over their spending in this area due to the nature of PFI contracts 	

- More weighting for deprivation and prior attainment
- AWPU based to take into account more stability for schools for increased cost pressures on increased TPS contributions for example. More money placed through AWPU should mean those schools with more pupils will be affected by this increased and compounded costs. Any further residual funding should be placed through EVER6
- A higher % should go to low prior attainment
- I do like the increased lump sum in the local formula although concerned re the EAL decrease(which Primary schools would feel more) - the premises decrease is also a concern we are not a new build so school improvements are expensive
- I would like to see a greater consideration of IDACI scores in determining the proportion accorded to deprivation as not all families claim FSM who may be entitled to do so or who are just above the FSM threshold.
- The basic AWPU and deprivation factors should more closely reflect the national figures.
- A more even balance between deprivation FSM and deprivation IDACI

Q7.	Should the values attached to the IDACI funding factors in the local formula be changed so that increasing levels of deprivation attract increasing levels of funding?	YES	76%	(19/25)
Q8.	Should the local formula target more funding at Low Prior Attainment?	YES	58%	(15/26)
Q9.	Should the Lump Sum for primary schools be the same as for secondary schools?	YES	54%	(13/24)
Q10.	Should the Minimum Funding Guarantee be increased from -1.5% to reduce any loss in per pupil funding?	YES	78%	(18/23)

Q11.	If Yes, what level should the new minimum funding guarantee be?	-1.0%	41%	(7/17)
		-0.5%	18%	(3/17)
		0%	41%	(7/17)

Meeting / Date	SCHOOLS FORUM 6 December 2017	Agenda Item	5
Report Title	Central School Support Services Block 2018-19		
Decision/Discussion/ Information	For Discussion and Decision by all		
Report Author/ Contact details	Duncan James-Pike, Strategic Finance Advisor, 020 8496 3502 duncan.james-pike@walthamforest.gov.uk		
Appendices	Appendix A: Full list of services		

1. SUMMARY

- 1.1. This report sets out the services funded from the new Central School Services Block (CSSB) and requests that this funding is retained centrally.

2. RECOMMENDATIONS

- 2.1 Subject to final confirmation from the EFSA of the size of the CSSB in 2018-19, **Schools Forum agrees to retain centrally funding for services as follows:**

- 2.1.1 Admissions: £745,000
- 2.1.2 Retained Duties: £623,000
- 2.1.3 Copyright Licences: £160,000
- 2.1.4 Schools Forum: £56,000

3. REASON

- 3.1 The Schools revenue funding 2018 to 2019 Operational guide states that responsibilities held by local authorities for all schools are funded from the central schools services block, with the agreement of schools forums.
- 3.2 Schools Forum approval is required each year to confirm the amounts on each line.

4. BACKGROUND

4.1. Composition of the CSSB

- 4.1.1 The CSSB is being introduced in 2018- 2019 to fund local authorities for the statutory duties that they hold for both maintained schools and academies. The CSSB brings together:
- 4.1.2 Funding previously allocated through the retained duties element of the Education Services Grant (which was added to the Schools Block in 2017-18 and top-sliced for that year with the agreement of Schools Forum);
- 4.1.3 Funding for ongoing central functions previously top-sliced from the Schools Block. (In Waltham Forest these are Admissions, Schools Forum administration and Copyright Licences); and
- 4.1.4 Residual funding for historic commitments, previously top-sliced from the Schools Block (None in Waltham Forest).
- 4.1.5 The duties included in the CSSB are set out in Appendix A.

4.2 Education Services Grant (ESG) Exit strategy

- 4.2.1 The new CSSB includes a continuation of the Retained Duties funding: originally the £15 per pupil in schools and academies in the ESG; and forms the second of the three elements of phase 2 (2018-19) of the ESG Exit Strategy presented to the September 2017 Schools Forum. The three elements of Phase 2 are: the “Purchasing Intentions” exercise; the retained duties funding from the CSSB; and the request for de-delegation by maintained schools of £19.78 per pupil to cover costs that the local authority incurs for its maintained schools and that academy trusts have to fund for themselves.
- 4.2.2 The agreement of Schools Forum for the Retained Duties element of the CSSB to be retained centrally is therefore crucial for the successful completion of the ESG Exit Strategy and will enable the LA to keep its commitments to maintain services that schools are contributing to through the Purchasing Intentions exercise.

4.3 Regulations

- 4.3.1 Where local authorities hold duties in relation to all schools (as set out in Schedule 2, Parts 1 to 5 of the School and Early Years Finance Regulations 2017), all schools must be treated on an equivalent basis.

- 4.3.2 Local authorities should not be treating voluntary aided schools, foundation schools, or academies, differently from maintained schools in the services they provide to them; this is set out in the DSG conditions of grant.
- 4.3.3 Schools such as voluntary aided schools, foundation schools, and academies, cannot therefore be charged for services that are provided free of charge to community and voluntary controlled schools, and paid for out of the centrally held DSG.
- 4.3.4 This does not include funding that has been retained centrally from maintained school budgets only (as set out in Schedule 2, Parts 6 and 7), where some statutory duties relate to community and voluntary controlled schools only. However, in these situations authorities should not charge voluntary aided and foundation schools if requested to provide services to these schools and where there is no charge to community and voluntary controlled schools for the same service.
- 4.3.5 Services will also include administrative costs and overheads relating to these services (regulation 1(4)) for:
- Expenditure related to functions imposed by or under Chapter 4 of Part 2 of the 1998 Act (financing of maintained schools), the administration of grants to the authority (including preparation of applications) and, where it's the authority's duty to do so, ensuring payments are made in respect of taxation, national insurance and superannuation contributions;
 - Expenditure on recruitment, training, continuing professional development, performance management and personnel management of staff who are funded by expenditure not met from schools' budget shares and who are paid for services;
 - Expenditure in relation to the investigation and resolution of complaints; and
 - Expenditure on legal services.

4.4 Services funded from the CSSB

- 4.4.1 The CSSB Baseline for 2018-19 issues by the EFSA is set out in the table below:

Admissions	£745,000
Retained Duties	£623,000

Copyright Licences	£160,000
Schools Forum	£56,000
TOTAL	£1,584,000

- 4.4.2 The cost of servicing Schools Forum was previously met by each of the three funding blocks: Schools Block £44,000; High Needs Block £8,000; and Early Years Block £4,000. These separate contributions are no longer required as the funding is met within the CSSB.
- 4.4.3 Services that are covered by the CSSB were subject to a limitation of no new commitments or increases in expenditure from 2017 to 2018. This limit does not now apply to admissions or the servicing of schools forums, but the LA does not propose to increase these amounts.
- 4.4.4 The DFES has indicated that the CSSB is likely to be reduced by 5% each year and Schools Forum is asked to approve funding for 2018-19, subject to final funding announcements.

APPENDIX A

Central services that may be funded with agreement of schools forums

Source: Table 4 from Schools Revenue Funding 2018 to 2019 Operational Guide (left)

Central services that may be funded with agreement of schools forums
Responsibilities local authorities hold for all schools (funded by the central school services block) with the agreement of schools forums)
<p><u>Statutory and regulatory duties</u></p> <ul style="list-style-type: none">• Director of children’s services and personal staff for director (Sch 2, 15a)• Planning for the education service as a whole (Sch 2, 15b)• Revenue budget preparation, preparation of information on income and expenditure relating to education, and external audit relating to education (Sch 2, 22)• Authorisation and monitoring of expenditure not met from schools’ budget shares (Sch 2, 15c)• Formulation and review of local authority schools funding formula (Sch 2, 15d)• Internal audit and other tasks related to the authority’s chief finance officer’s responsibilities under Section 151 of LGA 1972 except duties specifically related to maintained schools (Sch 2, 15e)• Consultation costs relating to non-staffing issues (Sch 2, 19)• Plans involving collaboration with other LA services or public/voluntary bodies (Sch 2, 15f)• Standing Advisory Committees for Religious Education (SACREs) (Sch 2, 17)• Provision of information to or at the request of the Crown other than relating specifically to maintained schools (Sch 2, 21)
<p><u>Education welfare</u></p> <ul style="list-style-type: none">• Functions in relation to the exclusion of pupils from schools, excluding any provision of education to excluded pupils (Sch 2, 20)• School attendance (Sch 2, 16)• Responsibilities regarding the employment of children (Sch 2, 18)
<p><u>Asset management</u></p> <ul style="list-style-type: none">• Management of the LA’s capital programme including preparation and review of an asset management plan, and negotiation and management of private finance transactions (Sch 2, 14a)• General landlord duties for all buildings owned by the local authority, including those leased to academies (Sch 2, 14b)
<ul style="list-style-type: none">• <u>Other ongoing duties</u>• Licences negotiated centrally by the Secretary of State for all publicly funded schools (Sch 2, 8) – this does not require schools forum approval• Admissions (Sch 2, 9)• Places in independent schools for non-SEN pupils (Sch 2, 10)

<ul style="list-style-type: none"> • <i>Remission of boarding fees at maintained schools and academies (Sch 2, 11)*</i> • Servicing of schools forums (Sch 2, 12) • Back-pay for equal pay claims (Sch 2, 13) • Writing to parents of year 9 pupils about schools with an atypical age of admission, such as UTCs and studio schools, within a reasonable travelling distance (new addition to CSSB, to be included in 2018-19 regulations).
<p><u>Premature retirement and redundancy</u></p> <ul style="list-style-type: none"> • No functions
<p><u>Central support services</u></p> <ul style="list-style-type: none"> • No functions
<p><u>Monitoring national curriculum assessment</u></p> <ul style="list-style-type: none"> • No functions
<p><u>Therapies</u></p> <ul style="list-style-type: none"> • No functions

**Not current in Waltham Forest*

Source: Schools Revenue Funding 2018 to 2019 Operational Guide

https://www.gov.uk/guidance/pre-16-schools-funding-guidance-for-2018-to-2019?utm_source=EFA%20e-bulletin&utm_medium=email&utm_campaign=e-bulletin&mxmroi=2305-30871-96671-0

References are to relevant sections of The School and Early Years Finance (England) Regulations 2017

<http://www.legislation.gov.uk/uksi/2017/44/contents/made>

Meeting / Date	SCHOOLS FORUM 6 December 2017	Agenda Item	6
Report Title	Growth Fund 2018-19		
Decision/Discussion/ Information	For Discussion and Decision by all		
Report Author/ Contact details	Duncan James-Pike, Strategic Finance Advisor, 020 8496 3502 duncan.james-pike@walthamforest.gov.uk		
Appendices	Appendix A: Growth Fund Scheme Appendix B: Illustrative allocations from the Growth Fund 2018-19		

1. SUMMARY

1.1.1 This report sets out the draft budget for the Growth Fund 2018-19 and asks Schools Forum to approve that this budget is centrally retained.

2. RECOMMENDATIONS

2.1 Schools Forum to note:

2.1.1 That the indicative Growth Fund allocation for 2018-19 is £2.7 million.

2.2 Schools Forum to agree:

2.2.1 That the Growth Fund allocation is retained centrally.

3. REASON

3.1 Growth funding is within local authorities' schools block national funding formula allocation, and has been calculated based on historic spend.

3.2 Schools Forum must also be consulted on the total size of the growth fund.

4. BACKGROUND

4.1 Growth Fund Budget 2018-19

4.1.1 The Growth Fund forms a separate part of the national funding formula allocation. It is based on historic data and for 2018-19, Waltham Forest's allocation anticipated to be **£2.7 million**.

4.1.2 This should be sufficient to meet the indicative allocations shown in Appendices B41 and B2 of **£2.602 million** allowing for the balance to be held as a contingency of £97,822.

4.1.3 The allocations are indicative as they are subject to the final October 2017 census position and the rate of AWPU and average pupil-led funding which will be set following Schools Forum decisions on the local funding formula and the data in the Authority Pro forma Tool (APT).

4.1.4 Key changes from 2017-18 are that seven primary bulge classes have matured; four primary permanent expansions have been completed; and there is a new budget for two secondary bulge classes which are subject to consultation currently.

4.2 Regulations

4.2.1 As the Growth Fund is within the schools block, a movement of funding from the schools formula into the growth fund would not be treated as a transfer between blocks. The schools forum would still need to agree the total growth fund. The LA does not propose any movement for 2018-19.

4.2.2 The growth fund can only be used only to:

- support growth in pre-16 pupil numbers to meet basic need
- support additional classes needed to meet the infant class size regulation
- meet the costs of new schools

4.2.3 Local authorities are responsible for funding these growth needs for all schools in their area, including new and existing maintained schools and academies.

4.2.4 Local authorities are required to produce criteria on which any growth funding is to be allocated, which must be agreed by the schools forum and should fund all schools on the same criteria, see Appendix A for the current Growth Fund scheme.

4.2.5 Where growth occurs in academies that are funded by ESFA on estimates, ESFA will use the pupil number adjustment process to ensure the academy is only funded for the growth once.

4.2.6 The growth fund may not be used to support:

- schools in financial difficulty; any such support for maintained schools should be provided from a de-delegated contingency
- general growth due to popularity; which is managed through lagged funding

Growth Fund Scheme 2017-18

In this document “school” means any maintained school, academy or free school

1 Permanent Expansions

- 1.1 When a school admits an additional form of entry as part of a permanent expansion planned by the LA it will be eligible for support from the Growth Fund.

First year funding guarantee

- 1.2 Each new form of entry will receive a first year funding guarantee: a minimum of $7/12 \times 25 \times$ average pupil-led funding rate for the school, i.e. for a minimum of 25 places for seven months (September to March).
- 1.3 If more than 25 pupils appear on the following October census for Reception / Year 7, additional average pupil-led funding will be allocated. There will be no claw-back if less than 25 pupils appear.

Second and subsequent entry years of expansion

- 1.4 Ordinarily, the first year funding guarantee will apply in the second and subsequent years to each new form entry until the fully expanded PAN has been reached.
- 1.5 Where more than one form of entry has been implemented, and more than 30 additional pupils appear on the following October census, the first year funding guarantee will apply for the first form of entry up to 30, then for any subsequent forms up to the NOR.

Years after admission

- 1.6 Funding for the year group for the financial year following admission will reflect the actual NOR in the October census, in line with all other year groups.

Permanent expansion when a bulge class is in its final year

- 1.7 When a permanent expansion occurs in the same year as a maturing bulge class, an adjustment is necessary as the school would benefit from the bulge class final year protection but does not require assistance with a contraction in resources. The bulge class final year protection will be deducted from the permanent expansion’s first year funding guarantee to avoid double-funding

Leadership and Management Allowance

- 1.8 Where there is a planned expansion of a school by at least 1FE, the local authority will provide additional leadership and management funding worth a maximum of £150,000 in recognition of the increase in management costs associated with expansion.
- 1.9 This shall be released in staged payments. If at any stage the proposal to expand is cancelled the staged payments shall only be paid up to the end of the stage at which the project is stopped.

Leadership And Management Allowance		Primary
Stage 1	At point of the second bulge class intake	£20,000
Stage 2	At point of approval of the permanent expansion of PAN by Cabinet/Secretary of State.	£30,000
Stage 3	September of the first form entry of the permanent expansion.	£75,000
Stage 4	April preceding the September entry of the second year of expansion.	£25,000

Leadership And Management Allowance		Secondary
Stage 1	At point of approval of the permanent expansion of PAN by Cabinet/Secretary of State.	£50,000
Stage 2	September of the first form entry of the permanent expansion.	£50,000
Stage 3	April preceding the September entry of the second year of expansion.	£50,000

Second sites

1.10 Commitments made to schools which have expanded onto second sites in agreement with the local authority will be honoured, but further expansions onto second sites will not receive additional funding.

Capacity	Year of expansion	Planned number of pupils on site	Shortfall	Funding at £150 per pupil
420	1	60	360	£54,000
420	2	120	300	£45,000
420	3	180	240	£36,000
420	4	240	180	£27,000
420	5	300	120	£18,000

Resources

1.11 An additional £5,000 will be paid for each new FE towards the cost of additional resources when the first year funding guarantee is applicable.

2 Temporary (Bulge Class) Expansions

- 2.1 When a school admits an additional form of entry as a temporary expansion (bulge class) planned by the LA it will be eligible for support from the Growth Fund.

First year funding guarantee

- 2.2 Each new bulge class will receive a first year funding guarantee: a minimum of $7/12 \times 25 \times$ average pupil-led funding rate for the school, i.e. for a minimum of 25 places for seven months (September to March).
- 2.3 Where more than one bulge class has been implemented for the same year group in an academic year, and more than 30 additional pupils appear on the following October census, the first year funding guarantee will apply for the first bulge class up to 30, then for any subsequent classes up to the NOR.
- 2.4 If more than 25 pupils appear on the following October census for Reception / Year 7, additional average pupil-led funding will be allocated. There will be no claw-back if less than 25 pupils appear.

Years after admission: minimum class size guarantee (see Table below)

- 2.5 The Local Authority will give a minimum class size guarantee of AWPU funding for 25 pupils per bulge class until the final year the bulge class is in the school unless the numbers in the bulge class fall to zero, when the class size guarantee will cease.
- 2.6 Class size protection for bulge classes is capped at 15 AWPU.
- 2.7 In the final year the class size protection will be reduced to $5/12$ of AWPU to reflect that the bulge class matures after five months.

Final year protection

- 2.8 The final year of a bulge class gives protection to the school. When a bulge class matures, the seven months (September to March) funding generated by the bulge class pupils is retained by the school to assist with the contraction in resources.

Resources

- 2.9 An additional £5,000 will be paid for each new FE towards the cost of additional resources when the first year funding guarantee is applicable

3 Reception and Key Stage 1 classes

- 3.1 Where as a result of an appeal or the Local Authority asks a school to take a pupil which takes the number in the reception or Key Stage 1 class to over 30, the Local Authority will meet the cost of an additional teaching assistant to keep the class size at 30 or below. Payments will be made termly in arrears based on submitted evidence of costs incurred by the school.

Table: Minimum Class Size Guarantee

MINIMUM CLASS SIZE GUARANTEE - SECONDARY				MINIMUM CLASS SIZE GUARANTEE - PRIMARY			
over PAN	top up places	places funded	secondary AWPU	over PAN	top up places	places funded	primary AWPU
			£4,776				£3,482
25 or more	0	0	£0	25 or more	0	0	£0
24	1	25	£4,776	24	1	25	£3,482
23	2	25	£9,552	23	2	25	£6,964
22	3	25	£14,328	22	3	25	£10,446
21	4	25	£19,104	21	4	25	£13,928
20	5	25	£23,880	20	5	25	£17,410
19	6	25	£28,656	19	6	25	£20,892
18	7	25	£33,432	18	7	25	£24,374
17	8	25	£38,208	17	8	25	£27,856
16	9	25	£42,984	16	9	25	£31,338
15	10	25	£47,760	15	10	25	£34,820
14	11	25	£52,536	14	11	25	£38,302
13	12	25	£57,312	13	12	25	£41,784
12	13	25	£62,088	12	13	25	£45,266
11	14	25	£66,864	11	14	25	£48,748
10	15	25	£71,640	10	15	25	£52,230
9	15	24	£71,640	9	15	24	£52,230
8	15	23	£71,640	8	15	23	£52,230
7	15	22	£71,640	7	15	22	£52,230
6	15	21	£71,640	6	15	21	£52,230
5	15	20	£71,640	5	15	20	£52,230
4	15	19	£71,640	4	15	19	£52,230
3	15	18	£71,640	3	15	18	£52,230
2	15	17	£71,640	2	15	17	£52,230
1	15	16	£71,640	1	15	16	£52,230
0	0	0	£0	0	0	0	£0

BULGE CLASSES

TOTAL FIRST YEAR FUNDING GUARANTEE	£137,088
LAST YEARS NEW FORM ENTRY TOP UP IF OVER 25	£0
YEAR AFTER ADMISSION MINIMUM CLASS SIZE FUNDING GUARANTEE	£261,771
	£398,860

PERMANENT EXPANSIONS

PRIMARY

TOTAL FIRST YEAR FUNDING GUARANTEE	£1,204,379
LAST YEARS NEW FORM ENTRY TOP UP IF OVER 25	£60,940
LEADERSHIP & MANAGEMENT	£0
SECOND SITES	£43,500
	£1,308,819

SECONDARY

NEW FORM ENTRY PROTECTION	£536,272
LAST YEARS NEW FORM ENTRY TOP UP IF OVER 25	£233,227
LEADERSHIP & MANAGEMENT	£100,000
SECOND SITES	£0
	£869,499

EXTRA PUPILS TAKING CLASS SIZE OVER 30	£25,000
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SUBTOTAL	£2,602,178
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CONTINGENCY	£97,822
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TOTAL GROWTH FUND 2018-19	£2,700,000
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BULGE CLASSES	FIRST YEAR FUNDING GUARANTEE	LAST YEARS NEW FORM ENTRY TOP UP IF OVER 25	YEAR AFTER ADMISSION MINIMUM CLASS SIZE FUNDING GUARANTEE	TOTAL
BARCLAY	£0	£0	£26,735	£26,735
CHAPEL END JUNIOR	£0	£0	£30,848	£30,848
CHASE LANE	£0	£0	£21,153	£21,153
CHINGFORD HALL	£0	£0	£7,051	£7,051
COPPERMILL	£0	£0	£7,051	£7,051
GWYN JONES	£0	£0	£14,396	£14,396
LARKSWOOD 1	£0	£0	£31,142	£31,142
NEWPORT	£0	£0	£12,339	£12,339
OAKHILL	£0	£0	£14,102	£14,102
ROGER ASCHAM	£0	£0	£26,442	£26,442
SELWYN	£0	£0	£31,730	£31,730
THORPE HALL 2	£0	£0	£38,781	£38,781
2 SECONDARIES	£137,088	£0	£0	£137,088
SUB TOTAL BULGE CLASSES	£137,088	£0	£261,771	£398,860

PERMANENT EXPANSIONS - PRIMARY	FIRST YEAR FUNDING GUARANTEE	LAST YEARS NEW FORM ENTRY TOP UP IF OVER 25	LEADERSHIP & MANAGEMENT	SECOND SITES	TOTAL
Barclay	£134,940	£0	£0	£21,750	£156,690
Chingford Hall	£69,793	£0	£0	£0	£69,793
Davies Lane	£129,227	£0	£0	£0	£129,227
George Mitchell School	£0	£12,742	£0	£0	£12,742
Gwyn Jones	£0	£9,448	£0	£0	£9,448
Hillyfield	£267,783	£0	£0	£0	£267,783
The Jenny Hammond Primary School	£64,835	£11,967	£0	£0	£76,802
Mayville Primary School	£68,411	£0	£0	£0	£68,411
Mission Grove	£135,045	£0	£0	£0	£135,045
Newport School	£0	£7,346	£0	£0	£7,346
Parkside	£0	£10,285	£0	£0	£10,285
St Mary's Walthamstow CofE	£0	£9,153	£0	£0	£9,153
Stoneydown Park	£134,753	£0	£0	£21,750	£156,503
Thorpe Hall	£66,510	£0	£0	£0	£66,510
Walthamstow Primary Academy 1	£133,082	£0	£0	£0	£133,082
SUB TOTAL PERMANENT EXPANSIONS - PRIMARY	£1,204,379	£60,940	£0	£43,500	£1,308,819

PERMANENT EXPANSIONS - SECONDARY	FIRST YEAR FUNDING GUARANTEE	LAST YEARS NEW FORM ENTRY TOP UP IF OVER 25	LEADERSHIP & MANAGEMENT	SECOND SITES	TOTAL
Holy Family	£176,064	£61,400	£50,000	£0	£287,463
Willowfield	£181,827	£171,827	£0	£0	£353,655
Heathcote	£178,380	£0	£50,000	£0	£228,380
SUB TOTAL PERMANENT EXPANSIONS - SECONDARY	£536,272	£233,227	£100,000	£0	£869,499

Meeting / Date	SCHOOLS FORUM 6 December 2017	Agenda Item	7
Report Title	Services for Maintained Schools 2018-19		
Decision/Discussion/ Information	For Discussion and Decision by Maintained Schools only		
Report Author/ Contact details	Duncan James-Pike, Strategic Finance Advisor, 020 8496 3502 duncan.james-pike@walthamforest.gov.uk		
Appendices	Appendix A: Full list of services Appendix B: Illustration of the cost to each maintained school		

1. SUMMARY

1.1.1 This report completes the second phase of the Education Services Grant (ESG) exit strategy by requesting de-delegation of £19.78 per pupil from maintained schools for services previously funded from the general funding rate of the ESG.

2. RECOMMENDATIONS

2.1 Maintained School members of Schools Forum to agree

2.1.1 To de-delegate £19.78 per pupil for maintained schools and £19.78 per place for the maintained special school and PRU in financial year 2018-19.

3. REASON

3.1 LAs can fund services previously funded from the general funding rate of the ESG (for maintained schools only) from maintained school budget shares with the agreement of maintained school members of the Schools Forum.

3.2 The relevant maintained schools members of the Schools Forum (primary, secondary, special and pupil referral units (PRUs), should agree the amount the local authority will retain.

3.3 If the LA and Schools Forum are unable to reach a consensus on the amount to be retained by the local authority, the matter can be referred to the Secretary of State.

4. BACKGROUND

4.1 Education Services Grant Exit Strategy

4.1.1 In the Director of Learning's letter to Chairs of Governors, "ESG purchasing intentions activity; new arrangements for schools to purchase services", 22 May 2017, the LA stated that:

- *The services that the Council provides to maintained schools only (and that academies have to perform for themselves or pay their MATs to do) have direct staffing costs of £500,000 and these will be charged to maintained schools through de-delegation at £19.78 per maintained pupil. This charge excludes any overheads worth approximately £175,000 which will continue to be borne by the Council.*

4.1.2 In the report "Update on ESG Exit Strategy" to September 2017 Schools Forum the LA stated again that:

- *...maintained schools will be asked to de-delegate £19.78 per pupil in 2018-19 to cover costs that the local authority incurs for its maintained schools and that academy trusts have to fund for themselves, such as preparing annual consolidated accounts and performance information, and health and safety and asset management responsibilities.*

4.1.3 The LA will honour this commitment to limit the contribution of maintained schools to £19.78 per maintained pupil for financial year 2018-19 and will limit the contribution of the maintained special school and PRU to £19.78 per place.

4.1.4 This is less than the £21.40 per pupil that was added to the baseline funding in 2017-18 and less than 0.5% of each maintained school's budget share.

4.1.5 This de-delegation request forms the third of the three elements of phase 2 (2018-19) of the ESG Exit Strategy presented to the September 2017 Schools Forum. The three elements of Phase 2 are: the "Purchasing Intentions" exercise; the retained duties funding from the CSSB; and the request for de-delegation by maintained schools.

4.1.6 The agreement of maintained schools to this de-delegation is therefore crucial for the successful completion of the ESG Exit Strategy and will enable the LA to keep its commitments to maintain services that schools are contributing to through the Purchasing Intentions exercise.

4.1.7 The list of services that may be funded from maintained schools is attached as Appendix A.

4.1.8 An illustration of the cost to each maintained school based on the 2017-18 APT (Authority Pro forma Tool) is attached as Appendix B. These figures will be updated when the DFES release the 2018-19 APT.

4.2 Methodology permitted

4.2.1 LAs should set a single rate per 5 to 16 year old pupil for all mainstream maintained schools, both primary and secondary; in the interests of simplicity, this should be deducted from basic entitlement funding.

4.2.2 No adjustments are allowed to other factors, and the rate will not include early years or post-16 pupils, who are funded through different formulae.

4.2.3 LAs can choose to establish differential rates for special schools and PRUs, if the cost of fulfilling the duty is substantially different for these schools. The rate will be expressed per-place rather than per-pupil for special schools and PRUs.

4.2.4 As with de-delegation, the amount to be held by the local authority will be determined after MFG has been applied.

4.2.5 Services can also include administrative costs and overheads relating to these services for:

- Expenditure related to functions imposed by or under Chapter 4 of Part 2 of the 1998 Act (financing of maintained schools), the administration of grants to the authority (including preparation of applications) and, where it's the authority's duty to do so, ensuring payments are made in respect of taxation, national insurance and superannuation contributions
- Expenditure on recruitment, training, continuing professional development, performance management and personnel management of staff who are funded by expenditure not met from schools' budget shares and who are paid for services
- Expenditure in relation to the investigation and resolution of complaints
- Expenditure on legal services.

4.3 Schools that convert to academy status

4.3.1 If a school converts to academy status, the ESFA will recoup the amount retained for that school from the local authority's DSG for the remaining months of the financial year that the school is an academy.

4.3.2 The academy will be reimbursed in its monthly general annual grant (GAG) payment from the point of conversion.

- 4.3.3 Unlike for de-delegated services, there will be no phased transfer of funding following conversion so there will be immediate recoupment of this part of the budget.
- 4.3.4 For example, if a school converts on 1 January 2019 (three months prior to the end of the financial year), ESFA will recoup three twelfths of the retained amount relating to that school.

APPENDIX A

Services to Maintained Schools

Source: Table 4 from *Schools Revenue Funding 2018 to 2019 Operational Guide* (right)

Central services that may be funded with agreement of schools forums
Responsibilities local authorities hold for maintained schools (funded from maintained school budgets only) with agreement of the maintained school members of the schools forum)
<u>Statutory and regulatory duties</u> <ul style="list-style-type: none">• Functions of LA related to best value and provision of advice to governing bodies in procuring goods and services (Sch 2, 56)• Budgeting and accounting functions relating to maintained schools (Sch 2, 73)• Functions relating to the financing of maintained schools (Sch 2, 58)• Authorisation and monitoring of expenditure in respect of schools which do not have delegated budgets, and related financial administration (Sch 2, 57)• Monitoring of compliance with requirements in relation to the scheme for financing schools and the provision of community facilities by governing bodies (Sch 2, 58)• Internal audit and other tasks related to the authority's chief finance officer's responsibilities under Section 151 of LGA 1972 for maintained schools (Sch 2, 59)• Functions made under Section 44 of the 2002 Act (Consistent Financial Reporting) (Sch 2, 60)• Investigations of employees or potential employees, with or without remuneration to work at or for schools under the direct management of the headteacher or governing body (Sch 2, 61)• Functions related to local government pensions and administration of teachers' pensions in relation to staff working at maintained schools under the direct management of the headteacher or governing body (Sch 2, 62)• Retrospective membership of pension schemes where it would not be appropriate to expect a school to meet the cost (Sch 2, 75)• HR duties, including: advice to schools on the management of staff, pay alterations, conditions of service and composition/organisation of staff (Sch 2, 63); determination of conditions of service for non-teaching staff (Sch 2, 64); appointment or dismissal of employee functions (Sch 2, 65)• Consultation costs relating to staffing (Sch 2, 66)• Compliance with duties under Health and Safety at Work Act (Sch 2, 67)• Provision of information to or at the request of the Crown relating to schools (Sch 2, 68)• <i>School companies</i> (Sch 2, 69)*

- Functions under the Equality Act 2010 (Sch 2, 70)
- *Establish and maintaining computer systems, including data storage (Sch 2, 71)**
- Appointment of governors and payment of governor expenses (Sch 2, 72)

Education welfare

- Inspection of attendance registers (Sch 2, 78)

Asset management

- General landlord duties for all maintained schools (Sch 2, 76a & b (section 542(2)) Education Act 1996; School Premises Regulations 2012) to ensure that school buildings have:
 - appropriate facilities for pupils and staff (including medical and accommodation)
 - the ability to sustain appropriate loads
 - reasonable weather resistance
 - safe escape routes
 - appropriate acoustic levels
 - lighting, heating and ventilation which meets the required standards
 - adequate water supplies and drainage
 - playing fields of the appropriate standards
- General health and safety duty as an employer for employees and others who may be affected (Health and Safety at Work etc Act 1974)
- Management of the risk from asbestos in community school buildings (Control of Asbestos Regulations 2012)

Central support services *

- *Clothing grants (Sch 2, 52)*
- *Provision of tuition in music, or on other music-related activities (Sch 2, 53)*
- *Visual, creative and performing arts (Sch 2, 54)*
- *Outdoor education centres (but not centres mainly for the provision of organised games, swimming or athletics) (Sch 2, 55)*

Premature retirement and redundancy

- Dismissal or premature retirement when costs cannot be charged to maintained schools (Sch 2, 77)

Monitoring national curriculum assessment

- Monitoring of National Curriculum assessments (Sch 2, 74)

Therapies

- This is now covered in the high needs section of the regulations and does not require schools forum approval

**Not current in Waltham Forest*

Source: Schools Revenue Funding 2018 to 2019 Operational Guide

https://www.gov.uk/guidance/pre-16-schools-funding-guidance-for-2018-to-2019?utm_source=EFA%20e-bulletin&utm_medium=email&utm_campaign=e-bulletin&mxmroi=2305-30871-96671-0

References are to relevant sections of The School and Early Years Finance (England) Regulations 2017

<http://www.legislation.gov.uk/uksi/2017/44/contents/made>

APPENDIX B

	per pupil	£19.78			
	NOR 2017-18 APT	Education functions for mainstream maintained schools	2017-18 Post MFG Budget	2017-18 Post MFG per pupil Budget	Education functions for mainstream maintained schools as % of budget
Chase Lane Primary	637	£12,600	£3,120,577	£4,907	0.40%
Whitehall Primary School	405	£8,011	£1,838,182	£4,539	0.44%
Downsell Primary School	534	£10,563	£2,822,531	£5,286	0.37%
Newport School	774	£15,310	£3,383,346	£4,371	0.45%
Chapel End Infants	266	£5,261	£1,307,794	£4,935	0.40%
Edinburgh Primary	622	£12,303	£2,891,826	£4,664	0.43%
GREENLEAF PRIMARY SCHOOL	443	£8,763	£1,903,337	£4,296	0.46%
HANDSWORTH PRIMARY SCHOOL	420	£8,308	£1,692,131	£4,029	0.49%
Thorpe Hall Primary	505	£9,989	£2,258,840	£4,473	0.44%
THE WINNS PRIMARY	799	£15,804	£3,581,530	£4,483	0.44%
Woodford Green Primary	196	£3,877	£901,836	£4,601	0.43%
Oakhill Primary	232	£4,589	£995,744	£4,292	0.46%
Henry Maynard Primary School	827	£16,358	£3,512,877	£4,248	0.47%
South Grove Primary	432	£8,545	£2,277,553	£5,272	0.38%
Dawlish Primary School	196	£3,877	£914,587	£4,714	0.42%
Gwyn Jones Primary	384	£7,596	£1,653,103	£4,327	0.46%
George Tomlinson Primary	533	£10,543	£2,357,929	£4,441	0.45%
Mission Grove Primary School	749	£14,815	£3,375,933	£4,507	0.44%
Coppermill Primary School	255	£5,044	£1,175,363	£4,646	0.43%
Stoneydown Park Primary	440	£8,703	£2,010,713	£4,570	0.43%
Parkside Primary School	468	£9,257	£2,149,528	£4,643	0.43%
The Jenny Hammond Pri. School	316	£6,250	£1,395,385	£4,416	0.45%
Ainslie Wood Primary School	399	£7,892	£1,816,369	£4,552	0.43%
Barn Croft Primary	186	£3,679	£931,529	£5,008	0.39%
Chingford C of E Primary School	401	£7,932	£1,625,536	£4,064	0.49%
St Mary's Catholic Primary	218	£4,312	£903,188	£4,143	0.48%
St. Joseph's Catholic Junior	224	£4,431	£1,008,050	£4,500	0.44%
St Joseph's Catholic Infants	176	£3,481	£814,522	£4,654	0.43%
Our Lady and St George's Catholic Primary School	414	£8,189	£1,806,464	£4,363	0.45%
St. Patricks Catholic Primary	409	£8,090	£1,825,413	£4,463	0.44%
Frederick Bremer School	851	£16,833	£5,943,587	£6,984	0.28%
Heathcote Secondary School & Science College	867	£17,149	£5,731,529	£6,611	0.30%
Willowfield Humanities College	769	£15,211	£4,920,501	£6,399	0.31%
Leytonstone Business and Enterprise Specialist School	819	£16,200	£4,975,284	£6,075	0.33%
WALTHAMSTOW SCHOOL FOR GIRLS	896	£17,723	£5,488,850	£6,126	0.32%
Kelmscott Secondary School	864	£17,090	£5,483,765	£6,347	0.31%
The Lammas School and Sixth Form	691	£13,668	£5,208,839	£7,538	0.26%
Holy Family Catholic School	876	£17,327	£5,268,187	£6,014	0.33%
Buxton School	1,505	£29,769	£8,048,099	£5,348	0.37%
George Mitchell School	860	£17,011	£4,963,827	£5,785	0.34%
TOTAL	21,858	£432,351	£114,284,183	£5,228	0.38%

	2017-18 Places	Education functions
Belmont Park	62	£1,226
Hawkswood PRUs	271	£5,360

2017-18 Budget	2017-18 Budget per place
£990,883	£15,981.98
£3,478,000	£12,833.95

Education functions as % of budget
0.12%
0.15%

LONDON BOROUGH OF WALTHAM FOREST

Meeting / Date	SCHOOLS FORUM 6 DECEMBER 2017	Agenda Item	8
Report Title	Occupational Health Services: De-delegation for 2018-19		
Decision/ Discussion/ Information	For Discussion and Decision by Maintained Schools only		
Report Author/ Contact details	Stuart Petrie, Head of Human Resources 020 8496 8076 stuart.petrie@walthamforest.gov.uk		
Appendices	Appendix A: Estimated cost of de-delegation by school		

1. SUMMARY

- 1.1 This report provides the opportunity for Primary and Secondary maintained schools to de-delegate funding for Occupational Health services.

2. RECOMMENDATIONS

- 2.1 **That voting members of Schools Forum, who are Governors and Head Teachers of maintained primary schools only, agree:**

To de-delegate Occupational Health and Employee Assistance funding of up to £3.77 per pupil with a total estimate recovery cost of £46,900

- 2.2 **That voting members of Schools Forum, who are Governors and Head Teachers of maintained secondary and all-through schools only, agree:**

To de-delegate Occupational Health and Employee Assistance funding of up to £3.77 per pupil with a total estimated recovery cost of £29,800.

- 2.3 **Schools Forum to note:**

If the contract is not renewed beyond July 2018 and the service is ceased from August 2018, maintained schools will only be charged for the period April 2018 to July 2018.

3. REASON

- 3.1 Maintained mainstream schools can opt to return (“de-delegate”) some elements of central funding. These amounts are deducted from the final school budget shares after applying the Local Funding Formula.
- 3.2 Regulations require that Schools Forum by sector must decide to de–delegate these amounts i.e. Primary and Secondary sectors separately.
- 3.3 De-delegation is not an option for academies, special schools, nurseries or PRUs; however these settings may buy services provided by the Occupational Health contract.

4 BACKGROUND

- 4.1 In July 2015 the Council awarded new contracts for its Occupational Health and Employee Assistance Programmes, this involved School representatives. The contracts were awarded to the incumbent providers based on an assessment of quality and value for money. The contracts have been awarded for three years with a possible one year extension. It is due for renewal in July 2018. The Council leads a quarterly contract performance review meeting. At present Malcolm Doolan represents the secondary schools and Annette House represents the primary schools. They have been asked to report back on whether schools wish to continue with this service or have the service cease from August 2018 and schools seek their own OH provision.
- 4.2 The Council currently receives its Occupational Health Service from Medigold. The service assesses the fitness for work of staff referred by schools. They give advice on fitness and on adjustments that may need to be provided. They can also assess workplaces and workstations for staff with specialist needs. Finally the service offers pre-employment health checks for staff.
- 4.3 Workplace Options provides a confidential counselling, advice, support and information line to all staff in the Council including staff in maintained schools. This is a 24 hours day, 365 days/year service.
- 4.4 These services assist schools in their duty of care to staff through ensuring their fitness for work and helping staff to either remain in work or return to work. Usage statistics are shown in the table below:

Occupational Health – Medigold	2016/17	2017/18
Pre-employment questionnaires	594 (446 primary: 75%)	489 (428 primary: 87%)
Management Referrals	303 (149 primary: 49%)	275 (130 primary:47%)
Employees contacting the employee assistance programme	55	95

- 4.6 The annual cost of the Occupational Health service is currently **£106,236** for schools. The annual cost of Employee Assistance is **£23,339**, totalling £129,575. The share for maintained schools is **£76,700** (59.2%).
- 4.7 At the time of preparing this report the final 2017 Census figures were not available. As a best estimate for NOR the December 2016 APT figures have been used to calculate the estimated per pupil sum of £3.64 and indicate the annual sum due from each maintained school. **See Appendix A.** These are indicative figures and will be revised.
- 4.8 The final figures will be reported back to School Forum once December 2017 APT pupil data becomes available. Schools Forum is asked to de delegate up to the previous per pupil amount of **£3.77** as the estimated per pupil amount of £3.64 could increase or decrease.
- 4.9 Academies are not able to de-delegate funding for this service but will be able to purchase these services from the Waltham Forest contract. These costs will be based on the same per pupil calculation but with a 10p per pupil addition, therefore up to **£3.87** per pupil, to recognise the Council costs incurred in procuring, monitoring and administering the services.

Schools Forum 8 December 2017 - OH De delegation

Estimated De Delegation amount using 2017-18 APT FIGURES

For Information only

	NOR using 2017 18 APT	Estimated recovery at £3.64 pp
		£
Ainslie Wood Primary School	399	1,452
Barn Croft Primary School	186	677
Chapel End Infant School and Early Years Centre	265	965
Chase Lane Primary School	636	2315
Chingford CofE Primary School	400	1456
Coppermill Primary School	253	921
Dawlish Primary School	194	706
Downsell Primary School	534	1944
Edinburgh Primary School	620	2257
George Tomlinson Primary School	531	1933
Greenleaf Primary School	443	1613
Gwyn Jones Primary School	382	1390
Handsworth Primary School	420	1529
Henry Maynard Primary School	827	3010
Mission Grove Primary School	749	2726
Newport School	774	2817
Oakhill Primary School	235	855
Our Lady and St George's Catholic Primary School	414	1507
Parkside Primary School	463	1685
South Grove Primary School	445	1620
St Joseph's Catholic Infant School	175	637
St Joseph's Catholic Junior School	224	815
St Mary's Catholic Primary School	218	794
St Patrick's Catholic Primary School	409	1489
Stoneydown Park School	440	1602
The Jenny Hammond Primary School	316	1150
The Winns Primary School	800	2912
Thorpe Hall Primary School	505	1838
Whitehall Primary School	421	1532
Woodford Green Primary School	196	713
MAINTAINED PRIMARY	12,874	£46,861
Buxton School	1,522	£5,540
George Mitchell School Academy	859	£3,127
MAINTAINED ALL THROUGH	2,381	£8,667
Frederick Bremer School	873	3178
Heathcote School & Science College	875	3185
Holy Family Catholic School	877	3192
Kelmscott School	864	3145
Lammas School and Sixth Form	692	2519
Leytonstone School	819	2981
Walthamstow School for Girls	897	3265
Willowfield Humanities College	770	2803
MAINTAINED SECONDARY	6,667	£24,268
MAINTAINED SECONDARY AND ALL THROUGH	9,048	£29,808
	21,922	£79,796

NB These figures are indicative and will change when the 2017 census data is finalised

Meeting / Date	SCHOOLS FORUM 6 December 2017	Agenda Item	9
Report Title	High Needs Block Budget Setting for Financial Year 2018-19		
Decision/Discussion/ Information	For Discussion and Decision by all schools		
Report Author/ Contact details	Andrew Beckett, Director Disability Enablement Service, 020 8496 6512 andrew.beckett@walthamforest.gov.uk Michael Hallick, High Needs Accountant michael.hallick@walthamforest.gov.uk Collins Elechi, High Needs Accountant Collins.Elechi@walthamforest.gov.uk , 0208 496 3442		
Appendices	Appendix A: Draft 2018-19 High Needs Budget Appendix B: Other High Needs Block Commitments 2018-19 Appendix C: Report to Inclusion Group, 27 November 2017 Appendix D: Inclusion Group Excel Budget, 27 November 2017		

1. SUMMARY

- 1.1 The purpose of this report is to update Schools Forum on the pressures experienced within High Needs Block (HNB) and the short-term actions planned in order to balance the Financial Year (FY) 18-19 budget. The Local Authority and schools will need to work together to address these pressures.
- 1.2 Within London 26 out of 31 Local Authorities are already facing budget deficits. This is a result of growing demand, greater level of diagnosis and changes in regulations. The London Borough of Waltham Forest (LBWF) is one of the few Local Authorities (LAs) not yet in a deficit position. In fact FY 2017-18 was the first year that brought forward balances were used to support core services.
- 1.3 Considering the pressures faced by the LA in order to set a balanced budget in FY 2018-19 the following actions will be taken:
- Review and reduction in independent placement costs;
 - Review and limit growth in commissioned places; and
 - More rigorous demand management
- 1.4 Over and above the actions being taken to balance the budget for FY 2018-19, further more structural solutions need to be found in order to manage the future budgets.

2. RECOMMENDATIONS

2.1 Schools Forum to note:

- 2.1.1 The forecast budget attributable to the Local Authority in Financial Year 2018-19 is **£28.192 million** after Academy recoupment and Early Years contributions.
- 2.1.2 That this is **£366,467** less than the budget for Financial Year 2017-18 due to increased commissioned places in Academies increasing Academy recoupment (**£260,000**) and the reduction in Early Years Funding for Family Visitors (**£106,000**).
- 2.1.3 The changes to commissioned places submitted to the Education and Skills Funding Agency: an increase of 40 places, of which 25 places are within the Further Education sector.
- 2.1.4 That management actions will be undertaken in the short term to bridge the budget deficit of **£651,000** in Financial Year 2018-19 by:
- a. Targeting **£200,000** of savings from high cost independent non-maintained school placements; and
 - b. Making an **average 2.5% of savings** against all remaining non-contract budget lines.

3 HIGH NEEDS BLOCK INCOME FOR FINANCIAL YEAR 2018-19

- 3.1 The DSG allocation to the Waltham Forest High Needs Block (HNB) for Financial Year (FY) 2018-19 is **£36.263 million**, an increase of **£0.207 million**, or **0.5%**.
- 3.2 The increased funding is in accordance with the EFSA's commitment to ensure that no Local Authority (LA) loses from the changes to the funding formula and that all LAs see growth of at least 0.5%.
- 3.3 The LA budget after Academy recoupment and transfers to the Schools Block is **£27.469 million**, which takes into account the increase in deductions due to the commissioned places submitted to the ESFA in November 2017.
- 3.4 Additionally the HNB is supported by a transfer of funding from the Early Years Block. This is projected to be **£724,000** which comprises **£631,000** for the Inclusion Fund and **£93,000** for three Early Years Area SENCO posts within the DES team.
- 3.5 Adding these transfers brings the total HNB attributable to the LA to **£28.192 million**. This is **£366,467** less than FY2017-18 budget due to increased

commissioned places in Academies increasing Academy recoupment (£260,000) and the reduction in Early Years Funding for Family Visitors (£106,000).

4. HIGH NEEDS BLOCK PRESSURES

4.1 Waltham Forest, like many other LAs, has experienced rapid growth in demand for SEN places in recent years. This growth can be explained by two key factors:

- The increase in diagnosis of young children with Autism Spectrum Disorder (ASD); and
- The introduction of the Children and Families Act 2014 which has resulted in more children with SEN staying in education over the age of 16 years old.

4.2 The results of the above pressures are evident in the latest high needs commissioned place return where another 40 places have been required. See detailed table below.

Table 1: High Needs Place Funding Return to the ESFA

Initial Proposal

Type of Provision	AY 2016/17 Places	AY 2017/18 Places	Year on Year Change	AY 2018/19 Proposed Places	Year on Year Change	AY 2018/19 Cost of changes	FY 2018-19 Cost of changes
Academies - Special Schools and Resource Provision	768	795	27	805	10	£100,000	£58,333
FE Provisions	67	81	14	132	51	£306,000	£178,500
Maintained Schools	455	455	0	470	15	£150,000	£87,500
Total	1,290	1,331	41	1,407	76	£556,000	£324,333

Submitted Figures:

Type of Provision	AY 2016/17 Places	AY 2017/18 Places	Year on Year Change	AY 2018/19 Proposed Places	Year on Year Change	AY 2018/19 Cost of changes	FY 2018-19 Cost of changes
Academies - Special Schools and Resource Provision	768	795	27	800	5	£50,000	£29,167
FE Provisions	67	81	14	106	25	£150,000	£87,500
Maintained Schools	455	455	0	465	10	£100,000	£58,333
Total	1,290	1,331	41	1,371	40	£300,000	£175,000

- 4.3 During this same period of rapid increase in demand for SEN places there have been only minor increases in funding:
- 4.3.1 Some additional funding was allocated to the HNB but it did not compensate LAs for anything like the increased costs of providing the support.
 - 4.3.2 The ESFA has been providing additional funding for capital projects but has not been allocating revenue funding alongside the capital to run the provisions once built. LAs that have built new provisions have therefore had to fund the costs of running these provisions from their existing HNBs.
 - 4.3.3 Prior to the import/export adjustment which comes into effect on the 1 April 2018 Waltham Forest would have been funding non-resident places its local provisions.
 - 4.3.4 It is possible that the LA could receive more than the £378,000 of income from the Import/Export adjustment that is assumed in the current budget. We will know this figure in January 2018 after the October 2017 census figures and Individual Learner record (ILR) data have been collated.

5 IMPACT OF THE CHANGES IN EARLY YEARS FUNDING

- 5.1 Due to the statutory size of the centrally retained element of the Early Years Block (EYB) being reduced from 7% to 5% the result is pressure on the funding provided by the EYB to support SEND.
- 5.2 The result is a likely cutting back of the non-statutory funding that is currently provided by Early Years. The assumption within the draft FY 2018-19 budget that **£631,000** of Early Years funding will be transferred to the High Needs Block is contingent on the Early Years Task and Finish Group (EYTFG) agreeing to this funding,
- 5.3 An additional **£105,800** of Early Years funding is at risk that currently supports three Early Years Family Visitor posts (portage) that work with families with 0-3 year olds.

6 BALANCING THE FY 2018-19 BUDGET

- 6.1 The Inclusion Group met on 27 November 2017 to agree short term actions that could be taken to balance the HNB block budget in FY 2018-19.
- 6.2 The Inclusion Group also set a timetable for developing longer term strategies that will result in implementation of structural changes to HNB expenditure from April 2019. These structural changes aim to ensure that the HNB remains financially viable within FY 2019-20 and into the foreseeable future.

- 6.3 It was agreed that management actions would be undertaken in the short term to bridge the budget deficit of **£651,000** in FY 2018-19 by targeting **£200,000** of savings from high cost independent non-maintained school placements and an **average 2.5% of savings** against all remaining non-contract budget lines.

Table 2: Movement in shortfall since November 2017 Schools Forum and proposed budget reductions

Revised FY 2018-19 budget pressures and initiatives as at November 2017	
Description	Value
Shortfall Previously Reported to Inclusion group	£342,697
Reduction in proposed increase in places at the PRU from 24 to 18	-£60,000
Impact of Academic Year 17/18 commissioned place increases	£88,000
Impact of Academic Year 18/19 commissioned place increases	£175,000
Subtotal - Impact of Commissioned Place Changes	£545,697
Impact of reduction in Centrally Retained Early Years Funding (NFF)	£105,800
Total Revised Total budget shortfall as at November 2017	£651,497

Budget lines reduced in anticipation of in-year demand management	
Description	Value
Reduction - Independent Placements	-£200,000
Reduction - Special Schools Top-up funding	-£221,339
Reduction - Special Resource Provision Top-up funding	-£74,445
Reduction - Mainstream Schools Top-up funding	-£112,655
Reduction - Post-16 Top-up funding	-£29,419
Reduction - PRU Top-up Funding	-£5,493
Reduction - Social Inclusion	-£8,145
Total revised total budget shortfall after reductions at November 2017	£0

*Top-up funding = Element 3 funding

Revised FY 2018-19 contingency as at December 2017

Description	Value
Previous draft budget contingency level	£100,000
Release of FY 2017-18 unspent contingency	£200,000
Total revised FY 2018-19 contingency budget	£300,000
Remaining unspent contingency held for the remainder of FY 2017-18	£182,442
Total forecast contingency	£482,442

7 CONTINGENCY BUDGETS

- 7.1 Based on our updated FY 2017-18 outturn forecast there should be a larger contingency budget in FY 2018-19 than previously reported to Schools Forum. The contingency will provide a buffer to mitigate the risk that not all management actions are achieved.

- 7.2 Current outturn projections for FY 2017-18 indicate an under spend in FY 2017-18 against the **£500,000** contingency budget of **£350,000**.
- 7.3 As a result FY 2017-18 closing reserves are expected to be greater than previously reported. From these reserves we can increase the FY 2018-19 contingency from **£100,000** to **£300,000** and possibly to as much as £482,000.
- 7.4 **It is important to note that FY 2018-19 is the second year that contingency budgets have been set using brought forward reserves.**

8 LONGER TERM STRUCTURAL CHANGES TO SCHOOLS FUNDING

- 8.1 The short-term measures outlined earlier in this report will only help bridge the budget deficit in FY 2018-19. It is therefore important that structural changes to expenditure are implemented that ensure the HNB budget is balanced from FY 2019-20 onwards.
- 8.2 Waltham Forest is considered a well-funded Local Authority. For this reason, an appeal to the EFSA for additional funding is likely to meet with less sympathy than those appeals from other local authorities with lower levels of funding. It is therefore important that we consider actions that we can take to contain and reduce expenditure within the HNB.
- 8.3 A transfer from the Schools Block to the HNB is an option available to the LBWF if structural changes to expenditure are unable bridge the budget deficit. Our next opportunity to implement a block change would be in October 2018 and would come into effect from FY 2019-20.
- 8.4 Benchmarking data from the Department of Education (DFE) illustrates that LBWF schools are well funded for High Needs compared to their nearest statistical neighbours and outer London. A review of the resource ladder has not been undertaken in LBFW for a number of years. This could be an opportune time to assess the resource ladder.
- 8.5 In order for these structural changes to be implemented by 1 April 2019 the preparations for these changes will start in earnest with the Inclusion Group in early May 2018.

APPENDIX A: Revised Proposed High Needs Block funding distribution FY 2018-19

Description	Budget -2017-18	Full Year Forecast Outturn - 2017-18	Variance (Under)/Over spend	Budget -2018-19	Changes in since the last Schools Forum		Budget -2018-19 (including place funding changes)	Movement between 2017- 18 budget and proposed 2018-19 budget
					Impact of Commissioned Place Number Increases (Decrease) / Increase	Initiatives to balance the budget (decrease) / Increase		
INCOME								
Baseline Funding from Education Funding Agency (EFA)	£36,055,592	£36,055,592	£0	£36,263,017			£36,263,017	£207,425
Transfer to SB AWPU				-£791,396			-£791,396	-£791,396
Increase in Academy/ FE commissioned places -5/12 months - AC17/18	£0	£0	£0	£0	-£88,000	£0	-£88,000	-£88,000
Deductions	-£8,326,000	-£8,539,491	£213,491	-£7,798,000	-£116,667	£0	-£7,914,667	£411,333
Education Funding Agency High Needs Block Allocation	£27,729,592	£27,516,101	£213,491	£27,673,621	-£204,667	£0	£27,468,954	-£260,638
Early Years DSG Income								
Early Years Contribution to staffing	£198,800	£198,800	£0	£198,800	£0	-£105,800	£93,000	-£105,800
Transfer from the Early Years Block	£631,000	£631,000	£0	£631,000	£0	£0	£631,000	£0
Transfers between Blocks	£829,800	£829,800	£0	£829,800	£0	-£105,800	£724,000	-£105,800
HIGH NEEDS BLOCK INCOME TOTAL	£28,559,392	£28,345,901	£213,491	£28,503,421	-£204,667	-£105,800	£28,192,954	-£366,438
EXPENDITURE								
Special Schools								
Place Funding	£428,000	£428,000	£0	£428,000	£11,667	£0	£439,667	£11,667
Early Years (Reception and Nursery)EHC top up funding	£127,400	£127,400	£0	£127,400	£0	£0	£127,400	£0
Top-up	£9,157,929	£9,085,929	-£72,000	£8,967,689	£0	-£221,339	£8,746,350	-£411,578
TOTAL SPECIAL SCHOOLS BUDGET	£9,713,329	£9,641,329	-£72,000	£9,523,089	£11,667	-£221,339	£9,313,417	-£399,911
Specialist Provisions								
Special Resourced Provisions	£3,588,122	£3,220,628	-£367,494	£3,016,210	£29,167	-£74,445	£2,970,931	-£617,190
TOTAL SRP BUDGET	£3,588,122	£3,220,628	-£367,494	£3,016,210	£29,167	-£74,445	£2,970,931	-£617,190
Mainstream Schools								
Top-up : Mainstream schools	£4,466,188	£4,714,266	£248,078	£4,564,304	£17,500	-£112,655	£4,469,149	£2,961
Inclusion Fund - SEN Inclusion Fund Top up for Early Years children(Reception age children and below) not on an EHC plan	£503,600	£503,600.00	£0	£503,600	£0	£0	£503,600	£0
Additional funding for low level SEN in mainstream schools	£300,000	£71,053.63	-£228,946	£0.00	£0.00	£0.00	£0	-£300,000
TOTAL PVI AND MAINSTREAM SCHOOLS BUDGET	£5,269,788	£5,288,919	£19,131	£5,067,904	£17,500	-£112,655	£4,972,749	-£297,039
Post-16 Provision								
Place-led funding for further education providers	£56,000	£56,000	£0	£56,000	£0		£56,000	£0
Top up fees for further education providers	£1,191,949	£1,191,949	£0	£1,191,949	£0	-£29,419	£1,162,529	-£29,419
TOTAL FURTHER EDUCATION BUDGET	£1,247,949	£1,247,949	£0	£1,247,949	£0	-£29,419	£1,218,529	-£29,419
Alternative Provision								
Alternative Provision (AP)	£1,350,000	£1,350,000	£0	£1,350,000	£0	£0	£1,350,000	£0
Pupil Referral Units (PRU)	£2,128,000	£2,135,372	£7,372	£2,368,000	-£60,000	£0	£2,308,000	£180,000
Top-up Funding (PRU)	£222,566	£222,566	£0	£222,566	£0	-£5,493	£217,073	-£5,493
TOTAL AP AND PRU BUDGET	£3,700,566	£3,707,938	£7,372	£3,940,566	-£60,000	-£5,493	£3,875,073	£174,507
Independent and Other LA Placements								
Independent, NMSS and other LA's	£3,573,600	£3,714,950	£141,350	£3,573,600	£0	-£200,000	£3,373,600	-£200,000
Speech and Language Therapy Service (Contracted Special School)	£140,000	£140,000	£0	£140,000	£0		£140,000	£0
TOTAL INDEPENDENT & OTHER LA BUDGET	£3,713,600	£3,854,950	£141,350	£3,713,600	£0	-£200,000	£3,513,600	-£200,000
Assessment Services								
Assessment Services	£1,773,400	£1,742,637	-£30,763	£1,736,400	£0		£1,736,400	-£37,000
TOTAL ASSESSMENT SERVICES	£1,773,400	£1,742,637	-£30,763	£1,736,400	£0		£1,736,400	-£37,000
Support Services LA:								
Social Inclusion	£330,000	£330,000	£0	£330,000	£0	-£8,145	£321,855	-£8,145
Fair Access Panel	£71,600	£171,600	£100,000	£71,600	£0		£71,600	£0
Early Years Area SENCO and Home Visitors	£198,800	£198,800	£0	£198,800	£0		£198,800	£0
Schools Forum administration	£8,247	£8,247	£0	£0	£0		£0	-£8,247
TOTAL SUPPORT SERVICES BUDGET	£608,647	£708,647	£100,000	£600,400	£0	-£8,145	£592,255	-£16,392
HIGH NEEDS BLOCK EXPENDITURE TOTAL	£29,615,400	£29,412,998	-£202,402	£28,846,118	-£1,667	-£651,497	£28,192,954	-£1,422,446
Core Deficit / (Surplus)	£1,056,008	£1,067,097	£11,089	£342,697	£203,000	-£545,697	£0	-£1,056,008

APPENDIX B: Other High Needs Block commitments and planned use of reserves

Description	Budget -2017-18	Full Year Forecast Outturn - 2017-18	Variance (Under)/Over spend	Budget -2018-19	Budget -2018-19 (assuming larger brought forward reserves)	Movement between 2017-18 budget and proposed 2018-19 budget
OTHER HIGH NEEDS BLOCK COMMITMENTS						
Employment Strategist & co-ordinator (2 Years)	£55,000	£55,000	£0	£55,000	£55,000	£0
In Year contingency - 17-18 & 18-19	£500,000	£150,000	£-350,000	£100,000	£300,000	£-200,000
High Needs Budget ESG Replacement				£214,000	£214,000	£214,000
TOTAL REOCCURRING COMMITMENTS	£555,000	£205,000	£-350,000	£369,000	£569,000	£14,000
Fair Access Panel - committed in 2015-16	£22,800	£22,800	£0	£0	£0	£-22,800
Education Psychology for conversions - 2017-18	£150,000	£150,000	£0	£0	£0	£-150,000
Early years(EY) Psychology - 2017-18	£41,250	£41,250	£0	£0	£0	£-41,250
Prior year Commit - Joseph Clark	£60,000	£60,000	£0	£0	£0	£-60,000
TOTAL ONE-OFF COMMITMENTS	£274,050	£274,050	£0	£0	£0	£-274,050
TOTAL OTHER HIGH NEEDS BLOCK COMMITMENTS	£829,050	£479,050	£-350,000	£369,000	£569,000	£-260,050

PLANNED USE OF RESERVES	£-1,885,058	£-1,546,147	£-338,911
Net In-Year Position	£0	£0	

RESERVES MOVEMENT						
Previous Years Reserves						
Brought Forward Balances	£2,446,000	£2,725,589	£279,589	£1,179,442	£1,179,442	
Use of Brought Forward Balances:						
Use of balances FY 2018-19				£-369,000	£-569,000	
Use of balances FY 2019-20				£-214,000	£-214,000	
Use of balances FY 20-21	£-1,885,058	£-1,546,147	£338,911	£-214,000	£-214,000	
CLOSING BALANCES 1718 -	£560,942	£1,179,442	£618,500	£382,442	£182,442	

Meeting / Date	HIGH NEEDS INCLUSION GOUP 27 November 2017	Agenda Item	X
Report Title	High Needs Block Budget Setting for 18/19		
Decision/Discussion/ Information	For Discussion and Decision		
Report Author/ Contact details	Andrew Beckett, Director Disability Enablement Service, 020 8496 6512 andrew.beckett@walthamforest.gov.uk Michael Hallick, High Needs Accountant michael.hallick@walthamforest.gov.uk Collins Elechi, High Needs Accountant Collins.Elechi@walthamforest.gov.uk , 0208 496 3442		
Appendices	Appendix A: Draft 2018-19 High Needs Budget		

1. SUMMARY

1.1 The purpose of this report is to inform the Inclusion Group of the following:

- An update following the Academic Year (AC) 18/19 High Needs Place Funding Return to the Education Funding Agency (EFA)
- To discuss and recommend to schools forum ways of setting a balanced High Needs Block (HNB) budget for FY2018-19.

2. RECOMMENDATIONS

2.1 Inclusion Group to:

2.1.1 Review, amend and agree the HNB budget for FY 2018-19 as set out in **Appendix A** to this report.

2.1.2 Note the changes to commissioned places submitted to the Education Funding Agency (EFA), an increase in expenditure of £175,000 for FY18-19.

2.1.3 Note the impact of the changes to Early Years funding for SEND pupils, a reduction of £105,800 in funding.

2.1.4 Consider and agree short term actions that bridge the immediate FY18-19 budget deficit.

2.1.5 Discuss some of the possible structural changes that could be implemented from September 2018 to provide the High Needs Block with sustainable long term financial viability.

3 HIGH NEEDS BLOCK INCOME FOR FY18-19

- 3.1 The DSG allocation to the Waltham Forest HNB for FY 2018-19 is **£36.263 million**, an increase of **£0.207 million**, or **0.5%**.
- 3.2 The increased funding is in accordance with the EFA's commitment to ensure that no Local Authority loses from the changes to the funding formula and that all Local Authority's see growth of at least 0.5%.

4. HIGH NEEDS BLOCK PRESSURES

- 4.1 Waltham Forest, like many other local authorities, has experienced rapid growth in demand for SEN places in recent years. There are two key reasons for this rapid growth:
- 4.1.1 The increase in diagnosis of young children with Autism Spectrum Disorder (ASD)
- 4.1.2 The introduction of the Children's and Family's Act (CFA) which has resulted in more children with SEN staying in education post the age of 16 years old.
- 4.2 During this same period of rapid increased demand for SEN places there has been only a minor increase in funding.
- 4.2.1 Some additional funding was allocated to the HNB but it did not compensate the LA's for anything like the increased costs of providing the support.
- 4.2.2 The EFA has been allocating funding for capital projects but very little funding to run the provisions once built. The result has been that those local authorities that have built new provisions have had to fund the costs of running these provisions from their existing HNB's.
- 4.2.3 Prior to the import/export adjustment which comes into effect on 1 April 2018 these same local authorities have also been funding places for non-resident places in their new provisions.
- 4.2.3.1 Waltham Forest is a local authority with a large amount of local SEN provision. The previous funding regulations incentivised Local Authorities to place SEN pupils in other Boroughs.
- 4.2.3.2 The London Borough of Waltham Forest benefits from the import/export adjustment by approximately £378,000 based on Oct-17 and Jan-17 census figures. This is an indication of the value of funding we have been providing other LA's annually over the past few years.

- 4.2.3.3 It is possible that the local authority will receive more than the £378,000 of income from the Import/Export adjustment that is assumed in the current budget. We will know this figure in January 2018 after the October 2017 census figures and Individual Learner record (ILR) data have been collated.

5. IMPACT OF THE HIGH NEEDS PLACE FUNDING RETURN TO THE EFA

- 5.1 The top-sliced deductions to the HNB have increased since the last Inclusion Group. This is due to the Local Authority increasing the number of commissioned places within the Local Authority.
- 5.2 The initial proposal was for an increase of 76 places at an annual academic year cost of **£556,000**. This was however unaffordable and after review the total increase in commissioned places was reduced to 40 at a cost of **£300,000** per academic year.
- 5.3 The review resulted in the Further Education (FE) sector seeing the biggest decline in commissioned places. The Local Authority based this decision on the principle that it will initially only fund named pupils who are currently on roll within FE provisions or are certain to continue education at the provision. This reduces the risk that the LA funds unfilled places for other local authorities residents.

Table 1: High Needs Place Funding Return to the EFA

Initial Proposal

Type of Provision	2016-17 Places	2017-18 Places	YoY Change	2018-19 Proposed Places	YoY Change	AY18-19 - Cost of changes	FY18-19 - Cost of changes
Academies - Special Schools and Resource Provision	768	795	27	805	10	£100,000	£58,333
FE Provisions	67	81	14	132	51	£306,000	£178,500
Maintained Schools	455	455	0	470	15	£150,000	£87,500
Total	1,290	1,331	41	1,407	76	£556,000	£324,333

Submitted Figures:

Type of Provision	2016-17 Places	2017-18 Places	YoY Change	2018-19 Proposed Places	YoY Change	AY18-19 - Cost of changes	FY18-19 - Cost of changes
Academies - Special Schools and Resource Provision	768	795	27	800	5	£50,000	£29,167
FE Provisions	67	81	14	106	25	£150,000	£87,500
Maintained Schools	455	455	0	465	10	£100,000	£58,333
Total	1,290	1,331	41	1,371	40	£300,000	£175,000

5.4 If in January the final import/export adjustment figures released by the EFA shows that the Local Authority submitted too few commissioned places at FE provisions the Local Authority can always fund other LA's for these places separately with the income it receives for those places.

5.5 Additionally there are also 5 months pro-rata deductions being made to the HNB as a result of the increased commissioned places which were submitted to the EFA in November 2016. The result is a deduction to the HNB of **£88,000** in FY18-19.

5.6 In total the commissioned place growth has increased our shortfall in funding from **£342,697** to **£545,697**. See below for an illustration of the changes.

6. IMPACT OF THE CHANGES IN EARLY YEARS FUNDING

6.1 Due to the statutory size of the centrally retained element of the Early Years Block (EYB) being reduced from 7% to 5% the result is pressure on the funding provided by the EYB to support SEND.

6.2 The result is a likely cutting back of the non-statutory funding that is currently provided by Early Years. The assumption within the draft FY18-19 budget that **£631,000** of Early Years funding will be transferred to the High Needs Block is contingent on the Early Years Task and Finish Group (EYTFG) agreeing to this funding,

6.3 Other Early Years funding supporting the High Needs that we have identified as potentially being at risk totals **£105,800**.

6.4 The result is that the budget deficit of **£545,000** is then increased by the **£105,800** to become a shortfall of **£651,000**

Table 2: Movement in shortfall since last Inclusion Group

Revised FY18-19 budget shortfall summary as at Nov 2017	
Description	Value
Shortfall Previously Reported to Inclusion group	£342,697
Reduction in proposed increase in places at the PRU from 24 to 18	-£60,000
Impact of Academic Year 17/18 commissioned place increases	£88,000
Impact of Academic Year 18/19 commissioned place increases	£175,000
Subtotal - Impact of Commissioned Place Changes	£545,697
Impact of reduction in Centrally Retained Early Years Funding (NFF)	£105,800
Total Revised Total budget shortfall as at November 2017	£651,497
Overall budget	£28,645,651
Percentage reduction required	2.3%

7. SHORT TERM OPTIONS TO BALANCE THE FY18-19 BUDGET

- 7.1 In order to set a balanced HNB budget for FY18-19 the local authority believes that there are 2 options we can look at in the short term. These initiatives will provide us with some time to implement longer term structural changes that are required to provide financial stability within the High Needs Block. **The earlier we can implement the structural changes the smaller the changes will need to be.**

The 2 short term options are:

- 7.1.1 We reduce all budgets by approximately 2.3% to bridge the £651,000 current budget deficit with the proviso that management actions will be taken to achieve these savings. These are broad actions that will be taken across a range of initiatives.
- 7.1.2 The other option is to target some key areas of spend such as high cost independent non-maintained school placements, some in the region of £200,000, to be moved into cheaper provisions. We would then look to reduce only those specific budgets to make up the £651,000 shortfall
- 7.2 Some other initiatives can also be undertaken to improve administration and efficiency with the aim not only to improve the service provided but to provide increased accuracy. An example of this could be top-up payment accuracy.
- 7.2.1 Top-up funding is variable funding only provided to schools in order to support children with a statement or EHCP. It is funded on a pro-rata basis only for the period that the pupil is on roll at that provision. There will be a positive impact on the HNB outturn if we ensure that top-up funding is only provided for the period they are on roll at a provision.
- 7.3 In FY18-19 we should now be able to set aside reserves for a larger contingency budget. Our updated FY17-18 outturn forecast indicates an under spend of **£350,000** against the **£500,000** contingency budgeted. In FY18-19 **£200,000** of the additional expected reserves can be set aside to support a contingency budget of **£300,000** up from **£100,000** currently proposed. It is important to emphasise that this contingency is still funded from reserves.

8. LONGER TERM STRUCTURAL CHANGES TO SCHOOLS FUNDING

- 8.1 Waltham Forest is considered a well funded Local Authority and therefore an appeal to the EFA for additional funds is likely to be heard less sympathetically than appeals from some other local authorities. It is therefore important that we consider actions that we can take to contain and reduce expenditure.
- 8.2 In order to achieve balanced budgets in future periods, where we have little or no reserves, structural changes to HNB funding and expenditure will need to be made.

- 8.3 One example of an initiative to support the HNB that has been undertaken by other local authorities is to move funds from the Schools Block into the High Needs Block. This essentially increases the overall percentage of DSG used in the LA to support children and young adults with SEND. If long term structural changes are not sufficient this is an option from the FY19-20. We would need to submit a disapplication request to the EFA by the end of October 2018.
- 8.4 In order for these structural changes to be implemented by the 1st September 2018 the preparations for these changes should start in earnest from the 1st June 2018.

Inclusion Group

Description	Budget -2017-18	Full Year Forecast Outturn - 2017-18	Variance (Under)/Over spend	Budget -2018-19	Impact of Commissioned Place Number Increases	Budget -2018-19 (including place funding changes)	Movement between 2017-18 budget and proposed 2018-19 budget	
							Non NFF Impact (Reduction) / Increase	NFF Impact (Reduction) / Increase
INCOME								
Baseline Funding from Education Funding Agency (EFA)	£36,055,592	£36,055,592	£0	£36,263,017		£36,263,017		£207,425
Transfer to SB AWPU				-£791,396		-£791,396		-£791,396
Increase in Academy/ FE commissioned places -5/12 months - AC17/18					-£88,000	-£88,000	-£88,000	£0
Deductions	-£8,326,000	-£8,539,491	£213,491	-£7,798,000	-£116,667	-£7,914,667	-£116,667	£528,000
Education Funding Agency High Needs Block Allocation	£27,729,592	£27,516,101	£213,491	£27,673,621	-£204,667	£27,468,954	-£204,667	-£55,971
Early Years DSG Income								
Early Years Contribution to staffing	£198,800	£198,800	£0	£93,000	£0	£93,000	-£105,800	
Transfer from the Early Years Block	£631,000	£631,000	£0	£631,000	£0	£631,000	£0	
Transfers between Blocks	£829,800	£829,800	£0	£724,000	£0	£724,000	-£105,800	£0
HIGH NEEDS BLOCK INCOME TOTAL	£28,559,392	£28,345,901	£213,491	£28,397,621	-£204,667	£28,192,954	-£310,467	-£55,971
EXPENDITURE								
Special Schools								
Place Funding	£428,000	£428,000	£0	£428,000	£11,667	£439,667	£11,667	
Early Years (Reception and Nursery)EHC top up funding	£127,400	£127,400	£0	£127,400	£0	£127,400	£0	
Top-up	£9,157,929	£9,085,929	-£72,000	£8,967,689	£0	£8,967,689	-£190,240	
TOTAL SPECIAL SCHOOLS BUDGET	£9,713,329	£9,641,329	-£72,000	£9,523,089	£11,667	£9,534,756	-£178,573	£0
Specialist Provisions								
Special Resourced Provisions	£3,588,122	£3,220,628	-£367,494	£3,016,210	£29,167	£3,045,377	-£138,350	-£404,395
TOTAL SRP BUDGET	£3,588,122	£3,220,628	-£367,494	£3,016,210	£29,167	£3,045,377	-£138,350	-£404,395
Mainstream Schools								
Top-up : Mainstream schools	£4,466,188	£4,714,266	£248,078	£4,564,304	£17,500	£4,581,804	£115,616	£0
Inclusion Fund - SEN Inclusion Fund Top up for Early Years children(Reception age children and below) not on an EHC plan	£503,600	£503,600.00	£0	£503,600	£0	£503,600	£0	£0
Additional funding for low level SEN in mainstream schools	£300,000	£71,053.63	-£228,946	£0.00	£0.00	£0	-£300,000	£0
TOTAL PVI AND MAINSTREAM SCHOOLS BUDGET	£5,269,788	£5,288,919	£19,131	£5,067,904	£17,500	£5,085,404	-£184,384	£0
Post-16 Provision								
Place-led funding for further education providers	£56,000	£56,000	£0	£56,000	£0	£56,000	£0	£0
Top up fees for further education providers	£1,191,949	£1,191,949	£0	£1,191,949	£0	£1,191,949	£0	£0
TOTAL FURTHER EDUCATION BUDGET	£1,247,949	£1,247,949	£0	£1,247,949	£0	£1,247,949	£0	£0
Alternative Provision								
Alternative Provision (AP)	£1,350,000	£1,350,000	£0	£1,350,000	£0	£1,350,000	£0	£0
Pupil Referral Units (PRU)	£2,128,000	£2,135,372	£7,372	£2,368,000	-£60,000	£2,308,000	£180,000	£0
Top-up Funding (PRU)	£222,566	£222,566	£0	£222,566	£0	£222,566	£0	£0
TOTAL AP AND PRU BUDGET	£3,700,566	£3,707,938	£7,372	£3,940,566	-£60,000	£3,880,566	£180,000	£0
Independent and Other LA Placements								
Independent, NMSS and other LA's	£3,573,600	£3,714,950	£141,350	£3,573,600	£0	£3,573,600	£0	£0
Speech and Language Therapy Service (Contracted Special School)	£140,000	£140,000	£0	£140,000	£0	£140,000	£0	£0
TOTAL INDEPENDENT & OTHER LA BUDGET	£3,713,600	£3,854,950	£141,350	£3,713,600	£0	£3,713,600	£0	£0
Assessment Services								
Assessment Services	£1,773,400	£1,742,637	-£30,763	£1,736,400	£0	£1,736,400	-£37,000	£0
TOTAL ASSESSMENT SERVICES	£1,773,400	£1,742,637	-£30,763	£1,736,400	£0	£1,736,400	-£37,000	£0
Support Services LA:								
Social Inclusion	£330,000	£330,000	£0	£330,000	£0	£330,000	£0	
Fair Access Panel	£71,600	£171,600	£100,000	£71,600	£0	£71,600	£0	
Early Years Area SENCO and Home Visitors	£198,800	£198,800	£0	£93,000	£0	£198,800	£0	
Schools Forum administration	£8,247	£8,247	£0	£0	£0	£0	£0	-£8,247
TOTAL SUPPORT SERVICES BUDGET	£608,647	£708,647	£100,000	£494,600	£0	£600,400	£0	-£8,247
HIGH NEEDS BLOCK EXPENDITURE TOTAL	£29,615,400	£29,412,998	-£202,402	£28,740,318	-£1,667	£28,844,451	-£358,307	-£412,642
Core Deficit / (Surplus)	£1,056,008	£1,067,097	£11,089	£342,697	£203,000	£651,497	-£47,840	-£356,671
Movement				-£713,311		-£404,511		

OTHER HIGH NEEDS BLOCK COMMITMENTS								
Employment Strategist & co-ordinator (2 Years)	£55,000	£55,000	£0	£55,000	£0	£55,000	£0	£0
In Year contingency - 17-18 & 18-19	£500,000	£150,000	-£350,000	£100,000	£0	£300,000	-£400,000	£0
High Needs Budget ESG Replacement				£214,000	£0	£214,000	£214,000	£0
TOTAL REOCCURRING COMMITMENTS	£555,000	£205,000	-£350,000	£369,000	£0	£569,000	-£186,000	£0
Fair Access Panel - committed in 2015-16	£22,800	£22,800	£0	£0	£0	£0	£0	£0
Education Psychology for conversions - 2017-18	£150,000	£150,000	£0	£0	£0	£0	£0	£0
Early years(EY) Psychology - 2017-18	£41,250	£41,250	£0	£0	£0	£0	£0	£0
Prior year Commit - Joseph Clark	£60,000	£60,000	£0	£0	£0	£0	£0	£0
TOTAL ONE-OFF COMMITMENTS	£274,050	£274,050	£0	£0	£0	£0	£0	£0
TOTAL OTHER HIGH NEEDS BLOCK COMMITMENTS	£829,050	£479,050	-£350,000	£369,000	£0	£569,000	-£460,050	£0
TOTAL HIGH NEEDS BLOCK EXPENDITURE TOTAL	£30,444,450	£29,892,048	-£552,402	£29,109,318	-£1,667	£29,413,451	-£818,357	-£412,642
Total Deficit / (Surplus)	£1,885,058	£1,546,147	-£338,911	£711,697			-£507,890	-£356,671

PLANNED USE OF RESERVES				£18,009,384.36	
Net In-Year Position	-£1,885,058	-£1,546,147	-£338,911	£451,497	2.51%
	£0	£0			

RESERVES MOVEMENT								
Previous Years Reserves								
Brought Forward Balances	£2,446,000	£2,725,589	£279,589	£1,179,442	£0	£1,179,442		
Use of Brought Forward Balances:								
Use of balances FY 2018-19				-£369,000	£0	-£569,000		
Use of balances FY 2019-20				-£214,000	£0	-£214,000		
Use of balances FY 20-21	-£1,885,058	-£1,546,147	£338,911	-£214,000	£0	-£214,000		
CLOSING BALANCES 1718 -	£560,942	£1,179,442	£618,500	£382,442	£0	£182,442		

LONDON BOROUGH OF WALTHAM FOREST

Meeting / Date	SCHOOLS FORUM 6 December 2017	Agenda Item	10
Report Title	Early Years Block: Early Years funding formula planning for free education payments for 2, 3 and 4 year olds for 2018-19		
Decision/ Discussion/ Information	For Discussion and Decision by all		
Report Author/ Contact details	Eve McLoughlin, Head of Education Support Tel:- 020 8496 3576 eve.mcloughlin@walthamforest.gov.uk		
Appendices	Appendix A: Early Years Hub Newsletter Article regarding Early Years Task and Finish Group, 15 November 2017		

1. SUMMARY

- 1.1 This report sets out the proposed plan for the development of the early years funding formula for free education payments for 2, 3 and 4 year olds for 2018-19.

2 RECOMMENDATIONS

- 2.1 That Schools Forum **agrees:**

2.1.1 That the proposed timeline set out in **Table 1** should form the basis of development of the 2018-19 early years funding formula (EYFF).

2.1.2 That an Early Years Task and Finish Group (EYTFG) be established as set out in **Appendix A** to review and make recommendations on:

- The Early Years Block 2018-19 funding;
- The wider consultation with all FEEE providers regarding the 2018-19 Early Years National Funding Formula (EYNFF) for 2,3 & 4 year olds;
- The 2018-19 EYNFF hourly payment rates for 2,3 & 4 year olds

- 2.2 That School Forum **notes:**

2.2.1 The DfE's EYNFF operational guidance, issued in December 2016, states that from 2018-19 at least **95%** of the three and four year old budget **MUST** be passed through to providers. During 2017-18 at least **93%** of the three and four year old budget had to be passed through to providers. This represents a 2% reduction in the level of funding the Local Authority (LA) can retain for delivery of its statutory duties in respect of early years and childcare.

3. REASON

- 3.1 The LA is required to consult annually with Schools Forum on arrangements for Early Years provision.

4. BACKGROUND

- 4.1 The DfE/ESFA have not yet published early years block budget allocations for 2018-19. They plan to publish these mid December 2017.
- 4.2 The LA are not anticipating any changes for 2018-19 to the current EYNFF operational guidance, or the hourly rates paid to the Local Authority during 2017-18.

5. PROPOSED PLAN FOR THE DEVELOPMENT OF THE 2018-19 EYFF

- 5.1 The proposed plan is outlined below in **Table 1**:

Table 1

Date	Action
15 Nov 2017	Expression of interest sought from all provider of free early education to become a member of the Early Years EYTFG. (Appendix A)
24 Nov 2017	Closing Date for expression of interest for EYTFG
1 Dec 2017	EYTFG members finalised and announced
6 Dec 2017	First meeting of the EYTFG
Mid-December 2017	Publication by DfE/ESFA of provisional 2018-19 early years block allocations
3 to 5 January 2018 date tbc	Second meeting of the EYTFG
17 January 2018	Report to Schools Forum on the indicative modelling of 2018-19 EYFF
22-28 January 2018	Consultation with all FEEE providers on the proposed EYFF
29 January – 2 February 2018	Analysis of consultation responses
February 2018 date tbc	Third meeting of the EYTFG
21 February 2018	Report to Schools Forum on the proposed EYFF 2018-19 for approval

6 CONSULTATION

- 6.1 An online consultation is planned between 22 January 2017 and 28 January 2018. Providers will be made aware of the consultation via the Early Years newsletter on the Hub website. In addition, e-mails will be sent to all Chairs of Governors, Headteachers and Ofsted registered PVI sector providers advising them of the consultation and supplying a link to the online survey.
- 6.2 Q&A sessions will also be held during the consultation week which providers can attend should they wish to.
- 6.3 EYTFG members will also be required to make their respective sector aware of the consultation and encourage them to respond. A hard copy of the consultation questions will be made available to enable respondents to discuss and agree a collective response before submitting their answers online.

APPENDIX A- Early Years Hub Newsletter Article regarding Early Years Task and Finish Group, 15 November 2017

HAVE YOUR SAY ON EARLY YEARS FUNDING IN 2018/19 - DEADLINE FOR RESPONSE FRIDAY 24TH NOVEMBER

Schools FAO: Governors/Directors, Headteachers, Early Years Foundation Stage Leads and School Business Managers

PVI's FAO: Managing Directors/Chairs of Trustees/ Sole Traders/ Operation Managers and Finance Officers

Dear All,

In line with the LA's statutory duty, and as requested by the Borough's Schools Forum, I will be setting up and chairing an Early Years Task and Finish Group with representatives from each sector of FEEE providers.

The remit of this group will be to **review and make recommendations** on:

- The Early Years Block 18/19 funding;
- The wider consultation with all FEEE providers regarding new 2018-19 Early Years National Funding Formula (EYNFF) for 2,3 & 4 year olds;
- The 2018-19 Early Years National Funding Formula (EYNFF) hourly payment rates for 2,3 & 4 year olds

In order to ensure the effectiveness of the group it is important that we have diverse membership across range of roles within schools/settings. We are hoping to achieve 10% representation from the sector currently delivering FEEE places, this equates to the following number of representative from each sector:

- 3-4 Maintained Schools (including Maintained Nursery Schools)
- 1-2 Academy Schools
- 3-4 PVI term time providers
- 3-4 PVI year round/full daycare providers (open more than 38 weeks per year and open between 8am and 6pm as a minimum)
- 2-3 Childminders

Expressions of interest to be part of this group are invited from Senior Leaders from School and PVI sector providers who:

- Currently hold an Ofsted inspection outcome of Good or better;
- Are fully up to date with their Headcount returns, in line with the FEEE Financial procedures; and

- Have a good working knowledge of early years funding.

Members will be expected to disseminate information from the Early Years Task and Finish group to their respective sector and to collate their views for presentation at the Early Years Task and Finish Group. The membership of this group will also include LBWF service managers and officers.

We encourage those of you who provide free early education places to 2,3 & 4 year old children to become actively involved to ensure that your sector is truly represented and your opinions are heard.

Representatives will be expected to be able to attend all meetings between December 17 and February 18, so please do not express an interest in becoming a part of this group if you are unable to commit to attendance during this period.

Key dates:

- **Wednesday 6th December** - First Task and finish Group meeting between 1pm and 3pm venue at Walthamstow Town Hall
- January 18 and February 18 – monthly meetings for approximately 2 hours, dates to be agreed at Task and Finish Group meetings

All expressions of interest, and a pen portrait of no more than 200 words, should be returned by email no later than midnight on FRIDAY 24TH NOVEMBER to the email address below.

If we have more applicants than available places on the group, applicant's pen portraits will be circulated to all FEEE providers to vote on between 27th-28th November. The final group members will be announced on Friday 1st December.

Kinds Regards,
Eve

Contact

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