

Appendix B: Criteria for the allocation of funding through the Growth Fund

Permanent Expansions:

AWPU and other Pupil Driven factors:

- Any primary and secondary school and academy undergoing planned expansion e.g. reception classes and at year 7 will be eligible. Payment will be 7/12ths of the annual factor rates for AWPU, FSM6, IDACI, EAL, Low Prior Attainment and mobility to cover the additional costs incurred between 1 September and 31 March. Schools and academies will be funded for the planned number of pupils e.g. 30 per extra FE. However from the next financial year, funding for the year group will be based on the actual NOR in the October census. It will cease when schools have reached capacity in all year groups. This planned growth is net of any bulge classes in the outgoing year 6 or year 11.

Leadership and Management Allowance:

- Where the planned expansion of the maintained school or academy is by at least 2FE, the Local Authority will provide additional Leadership and Management funding worth £150,000 over the first three financial years in recognition of the increase in management costs associated with significant expansion.

Expansion onto Second Sites:

- Where the planned expansion of the maintained school or academy involves a second site, the Local Authority will provide a split-site payment through delegated funding. In addition, the Local Authority will make a tapered payment to such schools in the first few years of expansion on to the second site in recognition of the fact that all the costs incurred for utilities, caretaking and cleaning and maintenance are now met solely out of AWPU and that in the first few years, the additional pupils do not generate sufficient funding to meet these costs.
- Broadly speaking the average costs (per pupil) have been calculated at £150 per pupil, e.g. 3FE site costs @£94,500 utilities and caretaking cost. The Local Authority plans to meet the shortfall in funding until the NOR on the new site reaches 70% of capacity e.g. normally the September when the growth in the size of the school reached Year 5. Thus, for a 3FE expansion the funding (in academic years) would be as set out in Table 1 below:

Table 1: Additional funding for expanding on a second site

September	Shortfall	Additional Funding
Year 1	540	£81,000
Year 2	450	£67,500
Year 3	360	£54,000
Year 4	270	£40,500
Year 5	180	£27,000

Bulge Classes:

AWPU and other Pupil Driven factors:

- Any primary and secondary school and academy accepting an additional temporary (bulge) class will be eligible. Payment will be 7/12ths of the annual factor rates for AWPU, FSM6, IDACI, EAL, Low Prior Attainment and mobility to cover the additional costs incurred between 1 September and 31 March. Schools and academies will be funded for the planned number of pupils e.g. 30 per extra FE. However from the next financial year, funding for the year group will be based on the actual NOR in the October census, subject to applying a class size funding guarantee.

Resources:

- Where there is only a temporary one-off expansion, the maintained school or academy will receive an extra £200 per pupil towards the cost of additional resources over and above the AWPU and targeted pupil support. This is equivalent to a one-off £6,000 payment per class.

Class size guarantee:

- The Local Authority will give a minimum class size guarantee of AWPU funding for 25 pupils per class or FE. This means that if the actual NOR in the class are only 23 at the time of the October census, the Local Authority will increase AWPU funding as if the actual NOR were 25. This guarantee applies for as long as the bulge class remains within the school. If numbers in the bulge class fall to zero, the class size protection will cease.

Reception and Key Stage 1 classes:

- Where as a result of an appeal or the Local Authority asks a school to take a child (e.g. looked-after or with SEN) which takes the number in the reception or Key stage class to over 30, the Local Authority will meet the cost of an additional teacher to keep the class size at 30 or below. Payments will be made termly in arrears based on submitted evidence of costs incurred by the school.

Good and outstanding schools with surplus places over 20%:

- Where a school has more than 20% surplus places and has a current Ofsted judgement of good or outstanding and is in an area where the Local Authority expects school rolls to rise within three years, the Local Authority will allocate additional funding according to the following formula:

Number of pupils below 80% capacity x 75% of AWPU rate.

Example: secondary school with capacity of 900 has 700 on roll in October census. AWPU rate is £4,800. Calculation would be 80% of 900 = 720; surplus places above 20% = 720-700 = 20. 75% of £4,800 = £3,600. Calculation is 20 x £3,600 = £72,000.