

Appendix A: Criteria for the allocation of funding through the Growth Fund

Permanent Expansions:

AWPU and other Pupil Driven factors:

- Any primary and secondary school and academy undergoing planned expansion e.g. reception classes and at year 7 will be eligible. Payment will be 7/12ths of the annual factor rates for AWPU, FSM6, IDACI, EAL, Low Attainment and Mobility to cover the additional costs incurred between 1 September and 31 March.
- From September 2015 the LA will now fund permanently expanding schools on the actual October Census data averaged for the previous 2 years. Where growth data is not available the LA will use actual NOR + 30 per form of additional entry. New schools will be funded on 30 per form for the first year.
- These figures will then be adjusted to take account of the actual number of pupils in the existing Year 6 on the October School Census. This is done as some schools undergoing permanent expansion previously had bulge classes and there is a need to avoid double funding.
- This will apply to all schools, academies and free schools who are funded through the Schools Block DSG. The table below shows three examples:

Table 1: Calculation of additional funding for permanently expanding schools

School	Expansion / new school	Average NOR 2013 and 2014 (where applicable)	Number in Year 6	Additional Funding
Davies Lane	2FE to 4FE	117	64	53
Mayville Primary	2FE to 3FE	86	68	18
Emmanuel Primary	1FE	28	0	28

- These provisional allocations will be adjusted where the actual NOR in the October census is higher than the forecasted figure. It is not proposed to claw back funding if NOR are lower, as schools need a baseline figure with which to work.
- For the next financial year, following admission, funding for the year group will be based on the actual NOR in the October census. Additional funding through the Growth Fund will cease when schools have reached capacity in all year groups. This funding of planned growth in pupil numbers is net of any bulge classes in the outgoing year 6 or year 11.

Leadership and Management Allowance:

- Where the planned expansion of the maintained school or academy is by at least 2FE, the Local Authority will provide additional Leadership and Management funding worth £150,000 over the first three financial years in recognition of the increase in management costs associated with a significant school expansion.

Expansion onto Second Sites:

- Where the planned expansion of the maintained school or academy involves a second site, the Local Authority will provide a split-site payment through delegated funding. In addition, the Local Authority will make a tapered payment to such schools in the first few years of expansion on to the second site in recognition of the fact that all the premises costs incurred for utilities, caretaking and cleaning and maintenance are now met solely out of AWPU. In the first few years the additional pupils do not generate sufficient funding to meet these costs. Broadly speaking the average costs (per pupil) have been calculated at £150 per pupil, e.g. 3FE site costs @£94,500 utilities and caretaking cost.
- From April 2015 a revised formula will be used as set out in the table below. This relates to a 2FE expansion but the same method would apply to a 1FE or 3FE expansion on a second site.
 - Applies to any school permanently expanding onto an additional site.
 - An additional payment is made for the first 5 years of the expansion.
 - Additional payment is based upon the capacity of the new site less the planned number of pupils in the expansion e.g. the shortfall in pupils compared with site capacity.
 - Payment will be £150 per pupil to cover premises costs other than rates.

Table 2: Calculation of funding for planned expansions onto a second site

Capacity	Year of expansion	Planned number of pupils on site	Shortfall	Funding at £150 per pupil
420	1	60	360	£54,000
420	2	120	300	£45,000
420	3	180	240	£36,000
420	4	240	180	£27,000
420	5	300	120	£18,000

Bulge Classes:

AWPU and other Pupil Driven factors:

- Any primary and secondary school and academy accepting an additional temporary (bulge) class will be eligible.
- Payment will be 7/12ths of the annual factor rates for AWPU, FSM6, IDACI, EAL, Low Attainment and Mobility to cover the additional costs incurred between 1 September and 31 March.
- Schools and academies will be funded for the planned number of pupils e.g. 30 per extra FE. However from the next financial year, funding for the year group will be based on the actual NOR in the October school census, subject to applying a class size funding guarantee (see below).

Resources:

- Where there is only a temporary one-off expansion, the maintained school or academy will receive an extra £200 per pupil towards the cost of additional resources over and above the AWPU and targeted pupil support. This is equivalent to a one-off payment of £6,000 per class.

Class size guarantee:

- The Local Authority will give a minimum class size guarantee of AWPU funding for 25 pupils per class or FE. This means that if the actual NOR in the class are only 23 at the time of the October census, the Local Authority will increase AWPU funding as if the actual NOR were 25. This guarantee applies for as long as the bulge class remains within the school. If numbers in the bulge class fall to zero, the class size protection will cease.

Reception and Key Stage 1 classes:

- Where as a result of an appeal or the Local Authority asks a school to take a child (e.g. looked-after or with SEN) which takes the number in the reception or Key stage class to over 30, the Local Authority will meet the cost of an additional teacher to keep the class size at 30 or below. Payments will be made termly in arrears based on submitted evidence of costs incurred by the school.

Good and outstanding schools with surplus places over 20%:

- Where a school has more than 20% surplus places and has a current Ofsted judgement of good or outstanding and is in an area where the Local Authority expects school rolls to rise within three years, the Local Authority will allocate additional funding according to the following formula:

Number of pupils below 80% capacity x 75% of AWPU rate.

Example: Secondary school with capacity of 900 has 700 on roll in October census.

$$80\% \text{ capacity} = 900 \times 0.8 = 720$$

$$\text{No. of pupils below 80\% capacity} = 720 - 700 = 20$$

AWPU rate is £4,800.

$$75\% \text{ of AWPU rate} = £4,800 \times 0.75 = £3,600$$

$$\text{Funding} = 20 \times £3,600 = £72,000.$$